

RESOLUTION NUMBER R- 288838

ADOPTED ON JUN 10 1997

WHEREAS, each year during the fourth quarter, it is necessary to make, and a request is made, to transfer funds between and within City departments and funds to avoid projected deficits; and

WHEREAS, such deficits occur for many reasons, most of which relate to work load requirements or unanticipated expenditures for supplies and services; and

WHEREAS, it is recommended therein that the City Manager's calculations, transfers and carryovers be approved; NOW, THEREFORE,

BE IT RESOLVED, by the Council of The City of San Diego, that the City Auditor and Comptroller be and he is hereby authorized to appropriate, transfer and carryover within the General Fund 100, certain sums between departments and programs, and between various other funds, as specified and set forth in City Manager's Report No. 97-112, attached hereto as Exhibit A, relating to interdepartmental, and interfund transfers.

BE IT FURTHER RESOLVED, that the City Auditor and Comptroller be and he is hereby authorized to transfer monies between funds and Capital Improvement Program projects.

BE IT FURTHER RESOLVED, that the City Auditor and Comptroller be and he is hereby authorized to make any additional appropriation adjustments and fund transfers that may be necessary to reconcile revenues to expenditures and to maintain appropriate reserve balances.

APPROVED: CASEY GWINN, City Attorney

By Stuart H. Swett
Stuart H. Swett
Deputy City Attorney

SHS:smf
06/06/97
Or.Dept:Fin.Mgmt.
Aud.Cert:9701204
R-97-1333
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The City of San Diego

Exhibit A

MANAGER'S REPORT

DATE ISSUED: June 6, 1997

REPORT NO. 97-112

ATTENTION: Honorable Mayor and City Council
Docket of June 9, 1997

SUBJECT: Fiscal Year 1997 Year-End Budget Adjustments

SUMMARY


Issue - Should the City Council authorize the City Auditor and Comptroller to:

1. transfer General Fund monies between departments to offset projected department deficits and to the Allocated Reserve to fund projects that will not be completed in the current year;
2. appropriate General Fund revenues received in excess of the appropriated budget to offset projected department deficits;
3. transfer monies within and between funds, increase budgets, and authorize expenditures for the purposes specified in this report;
4. make any additional appropriation adjustments and fund transfers that may be necessary to reconcile revenues to expenditures and to maintain appropriate reserve balances; and
5. amend or increase Capital Improvements Program project appropriations for engineering costs.

Manager's Recommendation - Authorize the City Auditor and Comptroller to complete the transfers, appropriation increases, and other financial transactions listed.

Other Recommendations - None

Fiscal Impact - The total impact on the General Fund for transfers from appropriations in various departments to the Allocated Reserve is approximately \$179,000. The transfer from the Capital Improvements Program (CIP) reflects \$3,638,534 for engineering costs related to capital projects.

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OTHER ACTIONS

Council action is required to authorize the City Auditor and Comptroller to complete the following items which represent transfers between funds, programs, budget amendments and/or increases, or actions to carry forward funds.

A. Gas Tax Fund

1. Authorizing the carryover of up to \$3,331,350 of Gas Tax funding for Transportation - Street Division Resurfacing, Slurry Seal and Sidewalk Replacement contracts, at the direction of the Financial Management Director.
2. Authorizing the carryover of up to \$67,952 of Gas Tax funding for neighborhood revitalization activities, at the direction of the Financial Management Director unless Council action regarding the use of these funds is taken prior to the fiscal year end.

B. TransNet Fund

1. Authorizing the carryover of up to \$1,450,000 of TransNet funding for Transportation - Street Division Resurfacing, Slurry Seal, and Sidewalk Replacement contracts, at the direction of the Financial Management Director.
2. Authorizing the carryover of up to \$1,662,048 of TransNet funding for neighborhood revitalization activities, at the direction of the Financial Management Director unless Council action regarding the use of these funds is taken prior to the fiscal year end.

C. New Convention Facility Fund

Authorizing the carryover of up to \$782,946 of New Convention Facility Fund (10225) revenues to cover expenditures for furniture/fixtures and equipment.

E. Transfers Between Funds

1. Authorizing the City Auditor to transfer revenues in excess of estimate in the Transient Occupancy Tax Fund (10220) to a Stadium Reserve Fund.
2. Authorizing the City Auditor and Comptroller to transfer any unexpended balances or revenues in excess of estimates in the Trolley Extension Reserve Fund (10226) to the General Fund (100).

GENERAL FUND TRANSFER RECOMMENDATIONS

From Department	Amount	Department	To Category	Amount
Increased Appropriation - Transient Occupancy Tax (TOT)	\$1,800,000.00	110 Police Department	Salary and Wages	\$3,300,000.00
Increased Appropriation - Mission Bay Rents	\$1,000,000.00	110 Police Department	Fringe	\$700,000.00
Increased Appropriation - Property Transfer Tax	\$500,000.00	110 Police Department	Energy/Utilities	\$200,000.00
Increased Appropriation - Rental Unit Tax	\$700,000.00			
Increased Appropriation - Rental Unit Tax	\$100,000.00			
Increased Appropriation - Motor Vehicle License Fees	\$100,000.00			
	<u>\$4,200,000.00</u>			<u>\$4,200,000.00</u>
Financing Services	\$73,137.00	120 Fire	Salary and Wages	\$2,483,424.00
Purchasing	\$53,618.00	120 Fire	Fringe	\$716,576.00
Real Estate Assets	\$331,492.00	120 Fire	Supplies and Services	\$300,000.00
Transportation	\$358,047.00			
Information, Technology and Communications	\$139,520.00			
Park and Recreation	\$1,348,704.00			
Environmental Services	\$136,120.00			
Personnel	\$31,004.00			
Citizens Assistance	\$9,302.00			
Library	\$25,312.00			
Community and Neighborhood Services	\$421,609.00			
Development Services	\$272,135.00			
Increased Appropriation - Motor Vehicle License Fees	\$300,000.00			
	<u>\$3,500,000.00</u>			<u>\$3,500,000.00</u>
TOTAL GENERAL FUND TRANSFERS	<u>\$7,700,000.00</u>			<u>\$7,700,000.00</u>

[Handwritten Signature]

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Year-End Budget Adjustments
Capital Improvements Program Transfers

FUND/TITLE	CIP #	AMOUNT	FUNDING SOURCE	TRANSFER TOTAL
ISTEA/TRANSNET EXCHANGE (30303) West Bernardo Drive Bike Lanes I	58-103.0	\$20,128.12	FD 30303/CIP 58-004.0	\$20,128.12
SUBTOTAL TRANSFER - FUND (30303)				
MID-CITY - PARK DEVELOPMENT FUND (39094) Interstate 15 Cut and Cover Park #1 Interstate 15 Cut and Cover Park #1 Interstate 15 Cut and Cover Park #1 Mid-City Beautification Project Normal Heights Community Park - Development	29-685.0 29-685.0 29-685.0 29-614.0 29-702.0	\$546.68 \$132.20 \$336.90 \$3.93 \$191.21	FD 39094/CIP 29-684.0 FD 39094/CIP 29-701.0 FD 39094 FD 39094 FD 39094	
SUBTOTAL TRANSFER - FUND (39094)				
WATER UTILITY OPERATING FUND (41500) Alvarado Regulating Reservoir Roof Genesee Avenue Subsystem Genesee Avenue Subsystem Miramar Road Subsystem Miramar Road Subsystem Extension Miramar Road Subsystem Extension Miramar Storage Tank and Raw Water Connection Miramar Storage Tank and Raw Water Connection Miramar Storage Tank and Raw Water Connection Miramar Storage Tank and Raw Water Connection Reclaimed Water Distribution Master Plan EIR Reclaimed Water Distribution Master Plans Update Scripps Poway Parkway Subsystem Scripps Ranch Boulevard/ Interstate 15 Subsystem State Route 56/CUFEP Utility Relocation [Sublet of CIP 73-024.0, Annual Allocation - Freeway Relocation.] State Route 56/CUFEP Utility Relocation [Sublet of CIP 73-024.0, Annual Allocation - Freeway Relocation.] University City Subsystem	73-235.0 70-911.0 70-911.0 70-912.0 70-916.0 70-916.0 70-917.0 70-917.0 70-917.0 70-917.0 70-913.0 75-910.2 70-921.0 70-918.0 73-026.4 73-026.4 70-927.0	\$200,108.00 \$134,308.00 \$20,184.00 \$219,472.00 \$45,490.00 \$75,893.00 \$1,908.00 \$258,239.00 \$214,887.00 \$275,000.00 \$3,965.00 \$6,006.00 \$168,405.00 \$127,240.00 \$169,000.00 \$81,000.00 \$16,827.00	FD 41500/CIP 73-840.6 FD 41500/CIP 70-915.0 FD 41500/CIP 70-919.0 FD 41500/CIP 70-915.0 FD 41500/CIP 70-915.0 FD 41500/CIP 70-919.0 FD 41500/CIP 70-919.0 FD 41500/CIP 70-922.0 FD 41500/CIP 73-255.0 FD 41500/CIP 70-941.0 FD 41500/CIP 70-915.0 FD 41500/CIP 70-915.0 FD 41500/CIP 70-915.0 FD 41500/CIP 73-255.0 FD 41500/CIP 70-919.0 FD 41500/CIP 73-839.5 FD 41500/CIP 73-838.7 FD 41500/CIP 70-919.0	\$1,210.92

Year-End Budget Adjustments
Capital Improvements Program Transfers

FUND/TITLE	CIP #	AMOUNT	FUNDING SOURCE	TRANSFER TOTAL
<u>WATER UTILITY OPERATING FUND (41500)</u> University City Subsystem	70-927.0	\$75,361.00	FD 41500/CIP 73-255.0	
SUBTOTAL TRANSFER - FUND (41500)				\$2,093,293.00
<u>CLEAN WATER SEWER FUND (41509)</u> Mission Valley Water Reclamation Plant	46-159.0	\$118,505.00	FD 41509/CIP 46-147.0	
Otay Valley Water Reclamation Plant	42-910.3	\$3,738.00	FD 41509/CIP 45-910.3	
Otay Valley Water Reclamation Plant	42-910.3	\$182,255.00	FD 41509/CIP 46-147.0	
Point Loma Plant Secondary Conversion	42-910.9	\$384,981.00	FD 41509/CIP 46-147.0	
San Diego River Outfall	40-920.1	\$197,473.00	FD 41509/CIP 45-910.3	
San Diego River Outfall	40-920.1	\$436,384.00	FD 41509/CIP 46-147.0	
South Bay Secondary Sewers - Phase I and II	40-911.1	\$11,113.00	FD 41509/CIP 45-910.3	
South Bay Secondary Sewers - Phase I and II	40-911.1	\$103,656.00	FD 41509/CIP 46-147.0	
SUBTOTAL TRANSFER - FUND (41509)				\$1,438,105.00
TOTAL FUND TRANSFER (VARIOUS)				\$3,638,533.09

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**The City of San Diego
CERTIFICATE OF CITY AUDITOR AND COMPTROLLER**

CERTIFICATE OF UNALLOTTED BALANCE

AC 9701204
ORIGINATING DEPT. NO.: 055

I HEREBY CERTIFY that the money required for the allotment of funds for the purpose set forth in the foregoing resolution is available in the Treasury, or is anticipated to come into the Treasury, and is otherwise unallotted.

Amount SEE ATTACHED Fund SEE ATTACHED

Purpose Authorizing the transfer within and between funds, transfers to the Allocated Reserve, and other year - end adjustments requested in CM - 1472.

Date June 6, 1997

By:  **AUDITOR AND COMPTROLLER'S DEPARTMENT**

ACCOUNTING DATA										
ACCTG. LINE	CY PY	FUND	DEPT	ORG.	ACCOUNT	JOB ORDER	OPERATION ACCOUNT	BENF/ EQUIP	FACILITY	AMOUNT
			NOTE:	SEE	ATTACHED	CM	1472			
TOTAL AMOUNT										

FUND OVERRIDE

CERTIFICATION OF UNENCUMBERED BALANCE

I HEREBY CERTIFY that the indebtedness and obligation to be incurred by the contract or agreement authorized by the hereto attached resolution, can be incurred without the violation of any of the provisions of the Charter of the City of San Diego; and I do hereby further certify, in conformity with the requirements of the Charter of the City of San Diego, that sufficient moneys have been appropriated for the purpose of said contract, that sufficient moneys to meet the obligations of said contract are actually in the Treasury, or are anticipated to come into the Treasury, to the credit of the appropriation from which the same are to be drawn, and that the said money now actually in the Treasury, together with the moneys anticipated to come into the Treasury, to the credit of said appropriation, are otherwise unencumbered.

Not to Exceed \$ _____

Vendor _____

Purpose _____

Date _____

By: _____ **AUDITOR AND COMPTROLLER'S DEPARTMENT**

ACCOUNTING DATA										
ACCTG. LINE	CY PY	FUND	DEPT	ORG.	ACCOUNT	JOB ORDER	OPERATION ACCOUNT	BENF/ EQUIP	FACILITY	AMOUNT
TOTAL AMOUNT										

FUND OVERRIDE

Original Aud. Cert. Not Available
Q- 288838 JUN 10 1997