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12/05/06
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RESOLUTION NUMBER R- 302200

DATE OF FINAL PASSAGE DEC 15 2006

RESOLUTION ADOPTING A CONFLICT OF INTEREST
CODE FOR DEBT MANAGEMENT

WHEREAS, Government Code sections 87300 and 87302 require local agencies to adopt conflict of interest codes designating positions that involve the making or participation in making of decisions which may foreseeably have a material effect on financial interests, and for each position, the financial interests which are reportable; and

WHEREAS, in compliance with Government Code section 87306.5, the City is in the midst of a City-wide biennial review of all conflict of interest codes for which the City Council serves as code reviewing body;

WHEREAS, Debt Management includes divisions related to the General Fund and Enterprise Financings, Special Districts Administration, Financial and Economic Analyses, and the Equipment and Vehicle Financing Program and Lease Options; and

WHEREAS, the restructuring and renaming of Financing Services into Debt Management requires a conflict of interest code that would include new positions, revise titles of existing positions, delete titles of positions that have been abolished and revise disclosure categories;

WHEREAS, the Mayor and City Attorney recommend that a Conflict of Interest Code be adopted for Debt Management; and

WHEREAS, the City Council concurs in this recommendation; NOW THEREFORE,

BE IT RESOLVED, by the Council of the City of San Diego, that a Conflict of Interest Code for Debt Management is hereby adopted, consisting of standard language embodied in title 2, section 18730 of the California Code of Regulations, and any amendments to that regulation duly adopted by the Fair Political Practices Commission, with Appendix A showing designated positions and their duties, and Appendix B showing the disclosure categories.

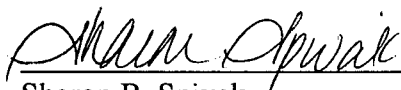
BE IT FURTHER RESOLVED, that a copy of Appendix A and Appendix B to the Conflict of Interest Code for Debt Management as adopted be placed on file in the Office of the City Clerk as Document No. RR- 302200.

BE IT FURTHER RESOLVED, that the persons whose positions are designated in the new Conflict of Interest Code for Debt Management shall file their statements of economic interest with the City Clerk.

BE IT FURTHER RESOLVED, that the statements of economic interest filed by designated persons be retained by the Office of the City Clerk and be made available for public inspection and reproduction.

BE IT FURTHER RESOLVED, that the Conflict of Interest Code for Debt Management becomes effective upon the date of final passage of this resolution.

APPROVED: MICHAEL J. AGUIRRE, City Attorney

By 
Sharon B. Spivak
Deputy City Attorney

SBS:als
11/14/06
Or.Dept: Debt Management
R-2007-501

I hereby certify that the foregoing Resolution was passed by the Council of the City of San Diego, at this meeting of DEC - 5 2008.

ELIZABETH S. MALAND
City Clerk

By [Signature]
Deputy City Clerk

Approved: 12.15.06
(date)

[Signature]
JERRY SANDERS, Mayor

Vetoed: _____
(date)

JERRY SANDERS, Mayor

R-302200

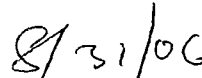
CERTIFICATION

The foregoing amendment to the Conflict of Interest Code was prepared by the City of San Diego's Debt Management, Office of the CFO
(name of department/agency/board)

after a fair opportunity was offered to the employees of the department subject to the updated Conflict of Interest Code to present their views.



(Debt Management Director)



(Date)

DOCUMENT NO. 302200
FILED DEC 05 2006
OFFICE OF THE CITY CLERK
SAN DIEGO, CALIFORNIA

**Debt Management
CONFLICT OF INTEREST CODE
Fiscal Years 2007-2008**

**APPENDIX A
DESIGNATED POSITIONS, DUTIES, AND CATEGORIES**

<u>Position</u>	<u>Duties</u>	<u>Category</u>
DEBT MANAGEMENT		
Debt Management Director	Directs a range of activities, including: the structuring and issuance of bond financings for General Fund, Enterprises, and Special Districts credits; the provision of consultations for economic development/redevelopment fund analyses; the development and implementation of financing related policies; and the oversight of certain post debt issuance administrative functions.	1
Program Manager	Manages a range of activities, including: the structuring and issuance of bond financings for General Fund, Enterprises, and/or Special Districts credits; the development and implementation of financing plans and financing policies; and the management of certain post debt issuance administrative activities.	1
Supervising Economist/Supervising Management Analyst	Plans, assigns, and supervises the work of a team of professional staff; supervises and participates in the preparation of financing plans; supervises and participates in the bond issuance and post debt issuance administrative processes; supervises and participates in the processes to select consultants and monitor consultant services and costs for specific financing projects/functions; and supervises the preparation of financial analyses.	2

Economist/Senior
Management Analyst

Assists in developing and implementing financing plans; assists with the bond issuance and post debt issuance administrative processes; develops appropriate quantitative models for analyses and forecasts; develops requests for proposals to select consultants and reviews consultants' work for accuracy, feasibility and reasonableness and tracks and monitors consultants' costs for specific financing projects/functions; and conducts a variety of financial analyses.

2

Associate
Economist/Associate
Management Analyst

Conducts journey-level analyses in connection with financing plans; provides staff support on bond issuance and post debt issuance administrative processes; assists with development of requests for proposals to select consultants and reviews consultants' work for accuracy, feasibility and reasonableness as well as tracks and monitors consultants' costs for specific financing projects/functions; and conducts a variety of journey-level financial analyses.

2

CONSULTANTS

Refer to the Disclosure Category section (Appendix B) for Consultants

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**Debt Management Department
CONFLICT OF INTEREST CODE**

**APPENDIX B
DISCLOSURE CATEGORIES**

- Category 1 Investments and business positions in any business entity located in or doing business with the City.
- Income and gifts from sources located in or doing business with the City.
- Interests in real property located in the City, including property located within a two-mile radius of any property owned or used by the City.
- Category 2 Investments and business positions in a firm or business entity that supplies goods or services to the City, receives goods or services from the City, that is a tenant of the City, that is an adverse party to the City in a legal proceeding, or that is granted authority by the City to use City facilities.
- Income and gifts from any person or business entity that supplies goods or services to the City, receives goods or services from the City, that is a tenant of the City, that is an adverse party to the City in a legal proceeding, or that is granted authority by the City to use City facilities.
- Interests in real property located in the City of San Diego, including property located within a two-mile radius of any property owned or used by the City.
- Category 3 Consultants:
- Consultants shall be included in the list of designated employees and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitation:
- The Director shall determine in writing that a particular consultant, although a "designated position," is hired to perform a range of duties that is limited, and thus is not required to comply with the broadest disclosure requirements in this Appendix. Such written determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. The Director's determination is a public record and shall be retained for public inspection in the same manner and location as this Conflict of Interest Code.

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passed by the Council of The City of San Diego on DEC 05 2006, by the following vote:

Council Members	Yeas	Nays	Not Present	Ineligible
Scott Peters	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Kevin Faulconer	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Toni Atkins	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Anthony Young	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Brian Maienschein	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Donna Frye	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Jim Madaffer	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Ben Hueso	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Date of final passage DEC 15 2006

AUTHENTICATED BY:

(Seal)

JERRY SANDERS
Mayor of The City of San Diego, California.

ELIZABETH S. MALAND
City Clerk of The City of San Diego, California.

By *Mary Zimm*, Deputy

Office of the City Clerk, San Diego, California

Resolution Number 302200