

156  
of 12/15/06

RESOLUTION NUMBER R- 302225

DATE OF FINAL PASSAGE DEC 15 2006

RESOLUTION ADOPTING THE REVISED CONFLICT  
OF INTEREST CODE FOR THE FINANCIAL MANAGEMENT  
DEPARTMENT

WHEREAS, by Resolution No. R-299952, the City Council on December 7, 2004,  
adopted a revised Conflict of Interest Code for the Financial Management Department; and

WHEREAS, Government Code section 87306.5 requires biennial review of local agency  
conflict of interest codes every even-numbered year; and

WHEREAS, Government Code section 87306 requires agencies to amend their conflict  
of interest codes whenever an amendment is necessitated by changed circumstances, including  
the creation of new positions that must be designated, and relevant changes in the duties assigned  
to existing positions; and

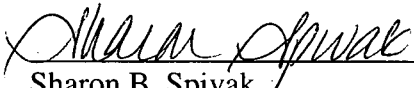
WHEREAS, the Financial Management Department has proposed changes to its code to  
include new positions that had not been previously designated, remove positions previously  
designated but now appropriately included in other City departments' codes, and to update  
required filing categories for designated positions; NOW THEREFORE,

BE IT RESOLVED, that the Financial Management Department's proposed changes to  
Appendix A and Appendix B of that department's Conflict of Interest Code are hereby approved  
and incorporated into its Conflict of Interest Code.

BE IT FURTHER RESOLVED, that revised Appendix A and Appendix B to the Conflict  
of Interest Code for the Financial Management Department be placed on file in the Office of the  
City Clerk as Document No. RR- 302225.

BE IT FURTHER RESOLVED, that the revised Conflict of Interest Code for the Financial Management Department shall become effective on the date of final passage.

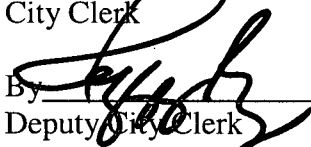
APPROVED: MICHAEL J. AGUIRRE, City Attorney

By   
Sharon B. Spivak  
Deputy City Attorney

SBS:als  
11/6/06  
Or.Dept: Financial Management  
R-2007-487

I hereby certify that the foregoing Resolution was passed by the Council of the City of San Diego, at this meeting of DEC 05 2006.

ELIZABETH S. MALAND  
City Clerk

By   
Deputy City Clerk

Approved: 12-15-06  
(date)

  
JERRY SANDERS, Mayor

Vetoed: \_\_\_\_\_  
(date)

\_\_\_\_\_  
JERRY SANDERS, Mayor

## CERTIFICATION

The foregoing amendment to the Conflict of Interest Code was prepared by the City of San Diego's Financial Management Department after a fair opportunity was offered to the members of the department/agency/board to present their views.

*Mary Lewis*

\_\_\_\_\_  
Mary Lewis, Financial Management Director

*9/8/06*

\_\_\_\_\_  
Date

DOCUMENT NO. *RL-302225*  
FILED DEC 05 2006  
OFFICE OF THE CITY CLERK  
SAN DIEGO, CALIFORNIA

*R-302225*

Resolution:  
Adoption:

**FINANCIAL MANAGEMENT DEPARTMENT  
CONFLICT OF INTEREST CODE**

**APPENDIX A  
DESIGNATED POSITIONS, DUTIES AND CATEGORIES**

<u>POSITION</u>	<u>DUTIES</u>	<u>CATEGORY</u>
Financial Management Director	Directs Financial Management Department and administers the annual budget.	1
Deputy Director	Manages and administers programs of the Financial Management Department.	2
Financial Manager for Budget Development, FMIS, & System Training	Develops department policies and procedures; prepares the City's Proposed and Annual budgets; provides analysis and monitoring of revenues and expenses; manages budgetary adjustments.	2
Financial Manager for Expenditure Monitoring, Department Liaison, & Capital Budgeting	Develops department policies and procedures; oversees analysis and monitoring of revenues and expenses; oversees budgetary adjustments; prepares quarterly departmental financial reports.	2

Resolution:  
Adoption:

FINANCIAL MANAGEMENT DEPARTMENT  
CONFLICT OF INTEREST CODE

APPENDIX A  
DESIGNATED POSITIONS, DUTIES AND CATEGORIES

<u>POSITION</u>	<u>DUTIES</u>	<u>CATEGORY</u>
Financial Manager for Revenue & Multi-Year Financial Planning	Develops department policies and procedures; provides analysis and monitoring of revenues and expenses; oversees budgetary adjustments; develops multi-year financial plan.	2
Supervising Economist	Under administrative direction, performs a wide variety of complex analyses in determining the impact of the economy on the City multi-year plan; develops short-and long-range econometric revenue projections on a City-wide basis; assists in developing the City's multi-year financial plan.	2
Senior Management Analyst for Revenue & Department Liaison	Under direction, performs budgetary, fiscal, organizational and project management functions; makes complex City-wide revenue analyses and multi-year forecasts.	2
Senior Management Analyst for Current Year Monitoring & Department Liaison	Under direction, performs fiscal, organizational, and project management functions; makes complex cost/revenue analyses and forecasts.	2

Resolution:  
Adoption:

**FINANCIAL MANAGEMENT DEPARTMENT  
CONFLICT OF INTEREST CODE**

**APPENDIX A  
DESIGNATED POSITIONS, DUTIES AND CATEGORIES**

<u>POSITION</u>	<u>DUTIES</u>	<u>CATEGORY</u>
Senior Management Analyst for Budget Development & Department Liaison	Under direction, performs fiscal, organizational, and project management functions; makes complex cost/revenue analyses and forecasts.	2
Senior Management Analyst for Capital Improvement Projects (CIP) Coordinator & Department Liaison	Under direction, performs fiscal, organizational, and project management functions; makes complex cost/revenue analyses and forecasts.	2
<u>CONSULTANTS</u>		
Consultant	Performs consultant services for Financial Management Department.	3

**FINANCIAL MANAGEMENT DEPARTMENT  
CONFLICT OF INTEREST CODE**

**APPENDIX B  
DISCLOSURE CATEGORIES**

**CATEGORY 1:**

- a. Investments and business positions in any business entity located in or doing business with the City of San Diego.
- b. Income and gifts from sources located in or doing business with the City of San Diego.
- c. Interest in real property located in the City of San Diego, including property located within a two-mile radius of any property owned or used by the City.

**CATEGORY 2:**

- a. Investments and business positions in any firm or business entity that supplies goods or services to the City, that is a tenant of the City, that is an adverse party to the City in a legal proceeding, or is granted authority by the City to use City facilities.
- b. Income and gifts from any person or business entity that supplies goods or services to the City, that is a tenant of the City, that is an adverse party to the City in a legal proceeding, or is granted authority by the City to use City facilities.
- c. Interest in real property located in the City of San Diego, including property located within a two-mile radius of any property owned or used by the City.

**CATEGORY 3:**

**Consultants:**

Consultants shall be included in the list of designated employees and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitation:

The Financial Management Director may determine in writing that a particular consultant, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements described in this section. Such written determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. The Financial Management

**FINANCIAL MANAGEMENT DEPARTMENT  
CONFLICT OF INTEREST CODE**

**APPENDIX B  
DISCLOSURE CATEGORIES**

cont.

CATEGORY 3:

Director's determination is a public record and shall be retained for public inspection in the same manner and location as this Conflict of Interest Code.

RECEIVED  
OFFICE OF THE  
COMPTROLLER  
AND CLERK OF  
THE HOUSE OF  
REPRESENTATIVES  
WASHINGTON, D.C. 20540



DEC 05 2006

Passed by the Council of The City of San Diego on \_\_\_\_\_, by the following vote:

Council Members	Yeas	Nays	Not Present	Ineligible
Scott Peters	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Kevin Faulconer	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Toni Atkins	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Anthony Young	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Brian Maienschein	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Donna Frye	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Jim Madaffer	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Ben Hueso	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Date of final passage DEC 15 2006

AUTHENTICATED BY:

JERRY SANDERS  
Mayor of The City of San Diego, California.

(Seal)

ELIZABETH S. MALAND  
City Clerk of The City of San Diego, California.

By Mary Cepeda, Deputy

Office of the City Clerk, San Diego, California

Resolution Number R-302225