



THE CITY OF SAN DIEGO
REPORT TO THE CITY COUNCIL

DATE ISSUED: April 12, 2010 REPORT NO: 10-050
ATTENTION: Council President and City Council Docket of April 20, 2010
SUBJECT: Application for Sustainable Communities and Urban Greening Grants
REFERENCE: None

REQUESTED ACTION:

1. Approve the Resolution authorizing the Mayor apply to the California Strategic Growth Council (SGC) for funding under the Urban Greening Planning Program, Urban Greening Projects Program, and the Sustainable Communities Planning Grant and Incentive Program.
2. Authorize the Mayor to take all necessary actions to secure funding from the SGC for all grant applications.
3. Authorize the Chief Financial Officer to accept funds if grant funding is secured.
4. Authorize the Chief Financial Officer to establish a special interest-bearing fund for the grants
5. Authorize the Chief Financial Officer to appropriate and expend the grant funds, contingent upon receipt of a fully executed grant agreement.

STAFF RECOMMENDATION:

Approve the requested actions.

SUMMARY:

In November 2006, California voters passed the Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection bond Act of 2006 (Proposition 84). This act authorized \$17.5 million in state funds for Urban Greening Plans to develop more public green spaces, \$70 million for Urban Greening Projects and \$60 million (in 3 cycles) for Sustainable Communities Plans. Proposals are reviewed by the SGC, which is comprised of Secretaries from the Business Transportation and Housing Agency, Health and Human Services Agency, Natural Resources Agency, Environmental Protection Agency (EPA), the director of the Governor's Office of Planning and Research, and a governor-appointed public member.

The SGC is seeking proposals that fall under three categories: Urban Greening Planning Grants, Urban Greening Project Grants (**due April 30, 2010**) and Sustainable Communities Planning Grants (**due May 28, 2010**).

Urban Greening Planning: (maximum award- \$250,000)

Application guidelines are included in Attachment 1. Proposals should improve air and water quality, protect natural resources and agricultural lands, increase the availability of affordable housing, improve infrastructure systems, and promote public health. Plans should assist applicants in developing a master urban greening plan that will meet the following State goals:

- reducing greenhouse gas emissions, consumption of resources and energy
- improving the water supply
- improving water and air quality
- increasing adaptability to climate change.

Eligibility requirements for Urban Greening Plans:

- Serve as the master document guiding and coordinating greening projects in an urban area
- Consistent with the State's planning policies of promoting infill development and equity; protecting the environment; and encouraging efficient development patterns
- Consistent with the jurisdiction's general or regional plan
- Reduce greenhouse gas emissions on a permanent basis as feasible

More competitive proposals will demonstrate the need for a greening plan, community involvement, collaboration among entities; and promotion of public health. Priority consideration will be granted to regions that can demonstrate more vulnerability to climate change and/or that serve disadvantaged communities.

The following two proposals will be submitted for the Urban Greening Planning grant (see attachment 2 for detailed submission descriptions):

- 1) *Mid City & College Area Urban Greening Plan*-development of a greening plan that includes existing inventory and deficiencies analysis and park implementation strategies.
- 2) *Canyon Restoration Permit and Planning Project*- promote restoration activities within San Diego's heavily impacted urban canyons and would be a catalyst for strengthened open space preservation, more robust canyon restoration and enhancement.

Urban Greening Projects: (no maximum award)

Application guidelines are included in attachment 3. Although there is no maximum award, \$70M is available over three cycles. Project grant proposals should appeal to the same environmental goals as the planning grants but propose specific projects, such as enhancing or creating public green areas. Eligibility requirements are similar to Planning grants with the following additional criteria:

- Expand or enhance community green spaces
- Provide multiple environmental benefits
- Be acquired from a willing seller

- Provide public access and educational features where possible
- Use existing public lands and resources
- Demonstrate innovation and interagency cooperation/integration

The following five projects proposals will be submitted (see attachment 5 for detailed submission descriptions):

- 1) *Union Street: Green Street Pilot Project (Request Amount-\$1M)*-implementation of storm water management, bicycle facilities, widened sidewalks, energy efficient pedestrian lighting and traffic calming measures.
- 2) *East Village Green and St. Joseph Park Acquisition (Request Amount- \$1M)*- acquisition of East Village parcels for a new park.
- 3) *Streamview Drive Green/Complete Street Project (Request Amount- \$1M)*-construction of bicycle facilities, traffic calming measures, efficient pedestrian lighting and green areas with storm water management techniques.
- 4) *Mt. Abernathy Drive Green Street (Request Amount- \$650,000)*-implementation of green street filtration.
- 5) *Southcrest Park Infiltration (Request Amount- \$422,000)*- installation of porous pavement, infiltration basins and hydrodynamic separators.

Sustainable Communities Planning Grants: (maximum \$1,000,000 award)

Application guidelines are included in attachment 4. Funds are designed to help local governments adopt land use plans and integrated strategies that transform communities to accomplish the following goals:

- Improve air and water quality and public health
- Promote equity and affordable housing
- Increase infill and compact development
- Revitalize urban and community centers
- Reduce automobile usage
- Improve infrastructure
- Promote water conservation and energy efficiency
- Strengthen the economy

Focus Area #1: Local Sustainable Planning- Seventy percent (\$14M) will be available for cities and counties to support the development and implementation of effective and/or innovative local plans that support the state's AB32 GHG emission reduction targets and implement SB375, while creating sustainable communities.

Focus Area #2: Regional SB 375 Plus- Twenty percent (\$4M) will be available for MPOs for collaboration with local governments on implementing SB375 and/or AB 32.

Focus Area #3: Regional Planning with Multiple Partners- Ten percent (\$2M) will be available for cities, counties, JPA's and COGs to work with local governments to implement regional plans that meet SB 375 goals.

Priority consideration will be given to applicants that demonstrate community involvement, outcomes that can serve as best practices, leverage of additional resources, address climate change impacts, and serve economically disadvantaged communities.

The following project will be submitted for Strategic Communities Funding (see attachment 6 for detailed submission description):

Southeastern San Diego and Skyline Paradise Hills Community Plan Update (Request Amount-\$1M) for preparation of a comprehensive community plan update.

FISCAL CONSIDERATIONS:

Receipt of Urban Greening Planning Grant awards would provide up to \$500,000 in funds for canyon and trail restoration and park implementation strategies.

Urban Greening Project funding would provide up to \$4,072,000 to augment existing greening projects within the City.

Receipt of Sustainable Communities Planning Grant funds would provide \$1,000,000 to augment the Southeastern San Diego and Skyline Paradise Hills Community Plan Update.

PREVIOUS COUNCIL and/or COMMITTEE ACTION:

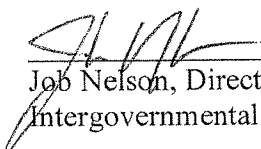
None (see memo from Job Nelson dated March 30, 2010)

COMMUNITY PARTICIPATION AND PUBLIC OUTREACH EFFORTS:

Each project has engaged in project specific public outreach efforts. Details about specific efforts are included in the Project Submission Descriptions (attachments 2, 4, and 6).

KEY STAKEHOLDERS AND PROJECTED IMPACTS:

All citizens interested in recreation in open spaces and the biological, storm water and other benefits provided by open spaces are considered key stakeholders. Neighborhood-focused community forums are an integral aspect of this proposal. Impacts from planning will be consensus on the future appearance, function, and use of open spaces and impacts from implementation will be a reduction in illegal activities and enhanced recreational opportunities, fostering a greater enjoyment of and respect for open spaces which could lead to improved health from increased outdoor activities and preservation of natural resources for the enjoyment of future generations. Details about specific efforts are included in the Project Submission Descriptions (attachments 2, 4, and 6).



Job Nelson, Director
Intergovernmental Relations

- Attachment 1: Urban Greening Planning Guidelines
- Attachment 2: Urban Greening Planning Submission Descriptions
- Attachment 3: Urban Greening Project Guidelines
- Attachment 4: Urban Greening Project Submission Descriptions
- Attachment 5: Sustainable Communities Planning Grant and Incentive Program Guidelines
- Attachment 6: Sustainable Communities Planning Grant Submission Descriptions

URBAN GREENING PLANNING GUIDELINES

FOR

SUSTAINABLE COMMUNITIES

FUNDED BY

**THE SAFE DRINKING WATER, WATER QUALITY AND SUPPLY, FLOOD CONTROL, RIVER
AND COASTAL PROTECTION BOND ACT OF 2006**

PROPOSITION 84

**State of California
Strategic Growth Council**



Technical Workshops

Four technical workshops will be held in the following locations -

NORTHERN CALIFORNIA

SACRAMENTO - February 24, 2010

(Wednesday)
9:00 a.m. – 12:00 noon
Department of Public Health
Building #172
1500 Capitol Mall
Training Rooms #1 and #2
Sacramento, CA 95814

CENTRAL VALLEY

FRESNO - February 25, 2010

(Thursday)
1:00 – 4:00 p.m.
Woodward Park Regional Library
Woodward Park Meeting Room
944 East Perrin Avenue
Fresno, CA 93720

BAY AREA

MOUNTAIN VIEW – March 2, 2010

(Tuesday)
9:30 a.m. – 12:30 p.m.
City of Mountain View Community
Center Auditorium
201 South Rengstorff Avenue
Mountain View, CA 94040

SOUTHERN CALIFORNIA

ANAHEIM – March 4, 2010

(Thursday)
9:00 a.m. – 12:00 noon
Anaheim City Hall
Gordon Hoyt Conference Room
201 South Anaheim Boulevard
2nd Floor (West Tower)
Anaheim, CA 92805

**Please feel free to contact our office for any questions and/or
additional help in completing the application.**

Please direct questions to:

Urban Greening for Sustainable Communities Program
c/o The California Natural Resources Agency
Attn: Bonds and Grants Unit
1416 Ninth Street, Suite 1311
Sacramento, CA 95814
Phone: (916) 653-2812, OR
Email: UrbanGreening@resources.ca.gov
Fax: (916) 653-8102

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INTRODUCTION

California voters passed the Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Bond Act of 2006 (Proposition 84) on November 7, 2006. Proposition 84 added Division 43, Chapter 9, Section 75065(a) to the Public Resources Code, authorizing the Legislature to appropriate up to \$17.5 million for the purpose of creating urban greening plans that will serve as the master document guiding and coordinating greening projects in the applicant's jurisdiction.

Chapter 13, Statutes of 2008 (SB 732 Steinberg) added to Division 43 to the Public Resources Code and established the Strategic Growth Council (SGC). The SGC is composed of agency secretaries from the Business Transportation and Housing Agency, the California Health and Human Services Agency, California Environmental Protection Agency, the California Natural Resources Agency, the director of the Governor's Office of Planning and Research, and a public member, appointed by the Governor. One of the many goals of the SGC is to improve California's urban areas by advocating for and supporting development of greener, public spaces.

The Strategic Growth Council and SB 732 objectives are to improve air and water quality, protect natural resources and agricultural lands, increase the availability of affordable housing, improve infrastructure systems, promote public health, and assist state and local entities in the planning of sustainable communities and meet AB 32 goals. Through collaborative efforts at various levels of government and community stakeholders, urban greening plays an important role in creating sustainable communities. Therefore, in order to demonstrate a project's capacity for meeting these statewide goals, the SGC will be seeking written support, to be included as part of the application, from entities with jurisdiction over the project and any other relevant organizations that are critical to the success of the project.

While no matching funds are required in this program, the Strategic Growth Council encourages leveraging of all resources, including other sources of funds.

PURPOSE

Because of the built-out nature of California's urban areas, the Urban Greening Planning Program (Program) will provide funds to assist entities in developing a master urban greening plan that will ultimately result in projects to help the State meet its environmental goals and the creation of healthy communities. The plan must be consistent with the State's planning policies and any applicable general or regional plan. The plan must outline or layout projects that reduce greenhouse gas emissions and provide multiple benefits including, but not limited to, decreasing air and water pollution, reducing the consumption of natural resources and energy, increasing the reliability of local water supplies, or increasing adaptability to climate change.

ELIGIBILITY - Minimum Requirements

Applicants - Grant funds can be awarded to a council of governments, countywide authority, a metropolitan planning organization, local government or nonprofit organization.

Plans – Urban Greening plans must -

1. Serve as the master document guiding and coordinating greening projects in an urban area. For the purpose of this program an urban area is a geographic area where the existing or planned-for average density within a half mile radius of the project or an adjacent geographically-equivalent area (minus existing or planned for open space including the proposed project and non-residential uses) is approximately ten (10) dwelling units per acre.

2. Be consistent with the state's planning policies pursuant to Section 65041.1 of the Government Code, as they pertain to the following priorities:
 - promote infill development and equity
 - protect environmental and agricultural resources, and
 - encourage efficient development patterns
3. Be consistent with the jurisdiction's general plan or regional plan, where one exists.
4. Include projects that will reduce, on as permanent a basis that is feasible, greenhouse gas emissions consistent with the California Global Warming Solutions Act of 2006 (Division 25.5 (commencing with Section 38500) of the Health and Safety Code), and any applicable regional plan.
5. Comply with the California Environmental Quality Act (CEQA), Division 13 (commencing with Section 21000), if the plan will be incorporated into the jurisdiction's general plan or applicable regional plan.

ELIGIBILITY - Other Requirements

Maximum Grant Awards - Requests for funding are limited to a maximum of \$250,000. Larger grant awards may be considered for organizations that work together to develop joint planning documents that cover all jurisdictions involved.

Public Access – Plans must outline projects that provide public access, where feasible.

ELIGIBILITY – SGC Urban Greening Plan Objectives

Improving the sustainability and livability of California's communities is a goal of the SGC. The following areas have been identified as additional program objectives for urban greening plans that work toward meeting this goal -

1. Demonstrate the need for the development of an urban greening plan and specify objectives to meet the need.
2. Demonstrate how the community will be involved and participate in the development and coordination of urban greening plans.
3. Demonstrate how entities with jurisdiction over the plan service area and existing resources will be integrated in the development of the urban greening plan.
4. Demonstrate how the greening plan will promote public health and the development of a healthy community (e.g., increase access to safe areas for physical activity, improve access to healthy, local food sources, reduce effects of climate change, etc.)

PRIORITY CONSIDERATION – Communities More Vulnerable to Climate Change

The State recognizes that climate change will have varying impacts on communities across the state. The impacts will depend upon the degree of climate change to that locale, the sensitivity of existing plants and animals, the elevation of the community relative to sea level, the potential for flooding or drought, the existing water supply, the potential for extreme weather events, the economic base (such as agriculture, timber, or tourism) and the socio-economic and institutional

capacity of that local to respond. Taken together, these factors contribute to community vulnerability. Priority consideration will be given to plans proposed by or serving a community that can demonstrate that it is more vulnerable to climate change.

PRIORITY CONSIDERATION – Disadvantaged Communities

Priority consideration will be given to plans proposed by or serving a Disadvantaged Community or Severely Disadvantaged Community. For purposes of this program, the plan must layout urban greening projects that will be primarily or substantially within, adjacent to, or one mile from the geographic boundary of the Disadvantaged or Severely Disadvantaged Community to be considered “serving” that community.

For purposes of this program, a Disadvantaged Community is defined as a community with a median household income (MHI) less than 80% of the statewide average. A Severely disadvantaged community is defined as a community with a MHI less than 60% of the statewide average.

EVALUATION/SCORING CRITERIA

All Information provided in the application package provides the committee tools for evaluation and should demonstrate how effectively the plan will meet the minimum requirements, program priorities and objectives and due diligence. Applications will be scored in the following areas. A total of 100 points is possible.

URBAN GREENING PLAN OBJECTIVES	
Need for Plan/Development of Work Plan	0 – 30
<ul style="list-style-type: none"> ➤ Applicant demonstrates need for the development of the Urban Greening Plan ➤ Applicant provides a Work Plan to guide development of the Urban Greening Plan ➤ Work Plan identifies process and steps necessary to develop a Plan ➤ Work Plan identifies how the need will be formally assessed and documented. ➤ Work Plan establishes a specific timeline and budget. 	
Community Involvement and Participation	0 – 20
<ul style="list-style-type: none"> ➤ Applicant demonstrates active involvement and participation of the community in the development of the Urban Greening Plan. 	
Integration of Entities with Jurisdiction over Project & Existing Resources	0 – 15
<ul style="list-style-type: none"> ➤ Applicant demonstrates involvement of entities with jurisdiction over project ➤ Applicant demonstrates assessment and use of existing resources as feasible 	
Promotes Healthy Community Development and Public Health Benefits	0 – 15
<ul style="list-style-type: none"> ➤ Applicant demonstrates involvement of public health officials ➤ Applicant demonstrates steps taken to achieve a healthy community 	
PRIORITY CONSIDERATION	
<ul style="list-style-type: none"> ➤ Community More Vulnerable to Climate Change ➤ Disadvantaged Community <u>OR</u> ➤ Severely Disadvantaged Community 	4 4 6
ORGANIZATIONAL CAPACITY	0 – 10

EXAMPLES OF INELIGIBLE URBAN GREENING PLANS OR APPLICATIONS

The list below includes examples of applications and plans that will not be funded under this program. This is not a comprehensive list.

- Applications that include more than one proposed plan.
- Plans that revise rather than create urban greening plans.
- Plans that do not incorporate an existing plant palette consistent with this program, or do not create one, where none exists.
- Plans that do not comply with all applicable general plans, local and county ordinances, and all applicable state and federal laws and regulations, including the California Environmental Quality Act (CEQA), if applicable.
- Plans not consistent with State and regional planning policies.
- Plans that will not be completed in the allotted time.

GRANT SELECTION PROCESS

- Applicants submit a complete grant application to the State by the deadline (one application per plan).
- Application reviewed for completeness and eligibility.
- Incomplete or ineligible applications may not be evaluated or considered for funding at the sole discretion of the State.
- Applications evaluated by the Urban Greening Grant Committee (Committee), using the Evaluation Criteria.
- Committee recommends planning projects for funding to the SGC.
- SGC determines the final awards.

PREPARING YOUR GRANT APPLICATION

For technical assistance in preparing the application, the applicant should contact a Grants Administrator at (916) 653-2812.

The Grant Application has three sections -

- 1. Questions**
- 2. Work Plan**
- 3. What to Submit – Supporting Documents**

Titles used by applicants to represent elements of the planning document should be consistent throughout the application, including the Work Plan.

The information provided in the following sections will form the basis for the committee's evaluation, providing details on development of the plan and should demonstrate how effectively the proposed plan will meet the goals of the program.

QUESTIONS

Please respond to all questions in the order listed and clearly label each question and answer. Points will be attributed to each section and not to individual questions. If a question does not apply to your proposed plan, indicate that it is not applicable (“NA”).

- Limit this section to **10** numbered pages.
- Use 8 ½” x 11” paper with **12-point easy-to-read font.**
- The work plan summary and supporting documents **DO NOT** count as part of the 10 pages.

SGC Program Objectives

Need for Plan

1. How has the need for an urban greening plan been established?
2. Describe the assessments that have been conducted to date. If an assessment has not occurred, describe what is planned to develop a baseline of conditions.
3. How have community members and other stakeholders been involved in identifying the need to plan for future green spaces thus far?

Community Involvement and Participation

1. Describe how the affected community have been involved or will become involved in the development of the plan.
2. Identify the specific community, citizen, and/or business organizations that will be consulted regarding the development of the plan.
3. What steps are planned to ensure continued involvement of these organizations in the development and implementation of the plan.
4. Describe what is planned to foster interagency relationships and reach out to organizations that are currently not involved in the development of the plan.

Integration of Entities with Project Jurisdiction and Existing Resources

1. Describe how entities with jurisdiction over the plan service area, including governmental and regional entities, land use authorities, etc., will become involved in the development of the plan.
2. Describe what is planned to foster interagency relationships and reach out to organizations that are currently not involved in the development of the plan.
3. Describe how the plan will identify and utilize existing resources.
4. Specify how existing resource management frameworks (e.g. tree crews) will be incorporated into the development of the plan.

Promotion of Public Health and the Development of a Healthy Community

1. Describe the extent and nature of the coordination and collaboration with the local health officer and/or health department for the cities and counties included in the scope of the proposed plan.
2. Describe how the proposed plan will promote the development of a healthy community (see Glossary for definition).
3. Describe how, through the development of the proposed plan, public health benefits will be identified and potential adverse health consequences will be mitigated.
4. As you implement the projects in your plan, how will they address greenhouse gas reduction and adaptation?

Priority Consideration

Communities More Vulnerable to Climate Change

1. Is the plan proposed by or will it serve an area that is especially vulnerable to climate change? Please explain.
2. What effects of climate change are likely to impact the community (e.g. physical, ecological, or economic forces)?
3. Will the plan include elements that will respond directly to the negative impacts of climate change? How will the plan elements mitigate negative climate change effects?

Disadvantaged Community or Severely Disadvantaged Community

1. Indicate whether your project is eligible as a disadvantaged or severely disadvantaged community (see Appendix B for eligibility requirements).

Organizational Capacity

In addition to meeting SGC program objectives, Applicants must also demonstrate the ability to develop an urban greening plan and provide a specific framework to achieve sustainable projects in the future.

1. Describe the applicant's experience in developing similar plans or working on similar projects.
2. Does the Applicant have professional staff qualified to develop the plan? If not, how will this expertise be acquired?
3. Does the applicant have active, strong partnerships in place to assist with the development of goals and objectives, policies or draft ordinances?

Work Plan

Applicants must provide a detailed work plan that specifies what steps will be taken to develop the Urban Greening Plan, including establishing benchmarks with target completion dates and a cost estimate. Pursuant to Public Resources Code Section 75126 (a), the project cost estimate and schedule should be of sufficient detail to allow assessment of the progress of the work plan at regular intervals.

Elements of a work plan should include, but are not limited to –

- Needs Assessment
- Development of the Plan's Goals, Objectives, and Action Steps
- Public Outreach and Stakeholder Meetings
- Coordination with entities having jurisdiction over the plan service area and the community
- Developing first draft of the Plan and Plant Palette
- Public review of the Draft Plan
- State review of the Draft Plan
- Completion of the Plan

The work plan should clearly address –

- What are the goals and objectives of the proposed plan including implementation (e.g., strategy, timeline, committed resources, municipal and partner support)?
- What are the plan deliverables and when can the State expect them?
- Does the work plan demonstrate that the plan can be developed within allotted timeframes?
- Does the work plan demonstrate that the plan can be developed for the grant amount requested or in combination with other committed funds?
- Are the target completion dates reasonable and attainable?
- Are the cost estimates reasonable, logical and supported with other documentation, assumptions or estimates?
- Has an assessment of green spaces been conducted or will one be completed as part of the work plan?
- Does a plant palette exist for the plan that meets standards for this program or will one be created as part of the work plan?
- Provisions for periodic review by the applicant. If the Applicant is a non-profit, the Plan shall incorporate a provision for periodic review by the jurisdiction(s) identified.
- How will your plan be consistent with the State's planning priorities?

Work Plan Summary

Indicate the specific benchmarks of the work plan that will be funded by this grant. Specify the estimated cost for each benchmark and when these benchmarks will be completed. (Total estimated costs should equal grant amount requested plus other funders' contributions).

Plan Element / Deliverable / Benchmark	Estimated Cost	Target Completion Date
Total		

WHAT TO SUBMIT: SUPPORTING DOCUMENTATION

The Grant Application is composed of three (3) sections: Questions, Work Plan and Supporting Documents. Materials should be presented unbound in the order indicated below. Clearly number and label each item and number all pages in sequential order. Do not submit additional materials that have not been specifically requested (i.e., press clippings or brochures) as they will not be considered during the evaluation.

Submit 1 (one) unbound original and 5 (five) unbound copies of items 1-9 -

1. Application Form - Appendix G
2. Questions - No more than ten, numbered pages, on 8½ " X 11" paper, using twelve-point, easy-to-read font
3. Work Plan
4. Work Plan Summary (timeline with benchmarks)
5. Area Map - A map of the area covered by the proposed plan (the geographical purview of the plan). Streets and other notable landmarks should be clearly marked to allow easy identification. Maps or images must fit into an 8½" X 11" folder.
6. Signed Authorizing Resolution from Governing Body (Appendix C)
 - See Appendix C for required Resolution format and content.
 - For agencies without a governing board, see Appendix D for Certification Letter from Chief Executive Officer.
 - Resolution may be submitted subsequent to the application, if the board meeting schedule prohibits Applicant from obtaining a signed resolution before the filing deadline. Submit the draft resolution with the application package and indicate the board meeting date when the resolution will be adopted.
7. Eligibility for Nonprofit Applicants - Provide the following information:
 - Evidence that the corporation is qualified under Section 501(c) (3) of the Internal Revenue Service Code.
8. Disadvantaged Communities – Provide documentation to support the Disadvantaged or Severely Disadvantaged Community determination as defined in these guidelines (Appendix B).
9. Support/Collaboration Letters – Provide copies of letters from entities with jurisdiction over the plan service area and from the local community demonstrating support and/or a willingness to participate in development of the urban greening plan.

Submit 1 (one) unbound copy of item 10 -

10. CEQA compliance documents, if applicable.

URBAN GREENING PLAN GRANT ADMINISTRATION

General Overview of Grant Process

1. Applicant submits a grant application with supporting documents by specified deadline.
2. Committee evaluates proposals, and makes funding recommendations to the Strategic Growth Council.
3. Strategic Growth Council awards grants.
4. State sends grant agreement and materials for plan grant administration to grantee.
5. Grantee signs and returns all required copies to the State (a fully executed original will be returned to the grantee).
6. Grantee completes plan and submits it to the State for review and approval.
7. Grantee submits documents necessary to close project (list of documents to be provided under separate cover).
8. State approves final plan and approves final payment and/or release of any retention.
9. The grant may be audited as frequently as annually for three years after the plan is completed.

Changes to Approved Work Plans

A grantee wishing to make changes or amendments to an approved Work Plan must first obtain approval from the State. Changes to the work plan must continue to meet the need cited in the original application to be approved. The grantee jeopardizes funding should changes be made without approval.

Eligible Costs

Direct costs necessary for the development of the Urban Greening plan and incurred during the project performance period specified in the Grant Agreement will be eligible for reimbursement. All eligible costs must be supported by appropriate documentation. Costs incurred outside of the performance period and indirect costs are not eligible.

Payment of Grant Funds

Funds cannot be disbursed until there is a fully executed grant agreement between the State and the grantee.

- Payments will be made on a reimbursement basis (i.e., the grantee pays for services, products or supplies and is then reimbursed by the State).
- Periodic progress payments may be contingent upon satisfactory documentation of stated objectives in the application and administrative benchmarks (e.g., collaboration efforts, outreach, funding acknowledgement signs, etc.)
- Final payment may be contingent upon receipt of a letter from the appropriate Council of Governments or Metropolitan Planning Organization confirming the final plan is consistent with any applicable regional plan.
- Ten percent (10%) of the amounts submitted for reimbursement may be withheld and issued as a final payment upon project completion, at the sole discretion of the state.
- As a general rule, advance payments for plan development costs are not allowed.

Loss of Funding (Not a complete list)

The following are examples of actions that may result in a grantee's loss of funding:

- Grantee fails to obtain a grant agreement.
- Grantee withdraws from the grant program.
- Grantee fails to complete the funded urban greening plan.
- Grantee fails to submit all documentation within the time periods specified in the grant agreement.
- Grantee changes scope of plan without prior approval from the State.

STATE AUDIT AND ACCOUNTING REQUIREMENTS

Audit Requirements

Urban greening plans are subject to audit by the State of California annually and for three (3) years following the final payment of grant funds. If a plan is selected for audit, advance notice will be given. The audit shall include all books, papers, accounts, documents, or other records of the grantee, as they relate to the development of the plan for which the funds were granted.

The grantee must have the project records, including the source documents and evidence of payment, readily available, and provide an employee with knowledge of the development of the plan to assist the auditor. The grantee must provide a copy of any document, paper, record, or the like, requested by the auditor.

Accounting Requirements

The Grantee must maintain an accounting system that:

- Accurately reflects fiscal transactions, with the necessary controls and safeguards.
- Provides a good audit trail, including original source documents such as purchase orders, receipts, progress payments, invoices, time cards, evidence of payment, etc.
- Provides accounting data so the total cost of each individual plan element can be readily determined.

Records Retention

Plan development records must be retained for a period of three (3) years after final payment is made by the State. All project records must be retained by the grantee at least one (1) year following an audit. Grantees are required to keep source documents for all expenditures related to each grant for at least three (3) years following project completion and one year following an audit. A plan is considered complete upon receipt of final grant payment from the State.

APPENDIX A –RESOURCE LISTING

Strategic Growth Council website <http://sgc.ca.gov>

Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Bond Act of 2006 (Proposition 84)

http://www.parks.ca.gov/pages/1008/files/prop_84_text.pdf

Strategic Growth Council/Urban Greening Program Statutes (SB 732)

http://www.leginfo.ca.gov/pub/07-08/bill/sen/sb_0701-0750/sb_732_bill_20080930_chaptered.pdf

State's Planning Policies Statute

<http://www.leginfo.ca.gov/cgi-bin/displaycode?section=gov&group=65001-66000&file=65041-65049>

California Global Warming Solutions Act of 2006

http://www.leginfo.ca.gov/pub/05-06/bill/asm/ab_0001-0050/ab_32_bill_20060927_chaptered.pdf

California Environmental Quality Act (CEQA)

<http://www.leginfo.ca.gov/cgi-bin/displaycode?section=prc&group=20001-21000&file=21000-21006>

U.S. Census Bureau – California Quick Facts (Median Household Income for California)

<http://quickfacts.census.gov/qfd/states/06000.html>

Model Watering Ordinance

<http://www.water.ca.gov/wateruseefficiency/landscapeordinance/>

APPENDIX B – DISADVANTAGED COMMUNITIES

For the purposes of this program, the plan must layout Urban Greening projects that are primarily or substantially within, adjacent to, or one mile from the geographic boundary of the Disadvantaged Community to be considered “serving” the Disadvantaged Community.

To determine if the plan area is located within or serves a Disadvantaged or Severely Disadvantaged Community, the following questions should be addressed:

- What communities are located within the plan area?
- Will the plan result in projects that will serve any communities located adjacent to or within one mile of the plan area?
- Do any of the communities located within the plan area, immediately adjacent or within one mile have a median household income (MHI) of less than \$47,942?
- Do any of the communities located within the plan area have a MHI of less than \$35,956? (designating a Severely Disadvantaged Community)

Accessing Census Data for Plan Area:

Applicants may use California State Parks’ Community Fact Finder to pinpoint the plan area (within ½ mile radius) and determine its MHI using the following steps:

1. Open California State Parks’ website at <http://www.parks.ca.gov>
2. Under “Program Areas”, click on Funding Programs.
3. Under “Proposition 84 – Important Updates”, click on Statewide Park Program.
4. Click on “California State Parks Community Fact Finder (Beta Version).”
5. Once in the Community Fact Finder, obtain MHI data for the project area by (1) entering the plan area or (2) specifying a custom plan area by using the zoom feature on the map.
 - a. If the plan area has specific addresses, click in the box that says “Type Project Address”, type the address and click “Go”. This brings up the zoom map of the project area. The blue circle represents a ½ mile radius around the project address. To submit the MHI information for the project service area, click “Create Report (PDF)”, print report, and submit with the Grant Application as required in the What to Submit section.
 - b. If the plan does not have specific addresses or plans for a specific neighborhood, parts of the city or county, etc, a custom plan area needs to be specified. Double click on the California map on the right side of the screen in the area/city closest to the project. (Use the blue slide bar on the right to switch the map between Northern and Southern California.) Keep double clicking on the area until the map has zoomed sufficiently to show the project area. Also use the arrows on the left side of the map to move left, right, up or down, as necessary. Once the blue circle (representing ½ mile radius) “frames” the project area correctly, click “Create Report (PDF)”, print the report, and submit with the Grant Application.

For purposes of this program, MHI data must be obtained from the 2007-2008 U.S. Census Report (see Appendix A for U.S. Census website information).

APPENDIX C - RESOLUTION TEMPLATE

Resolution No: _____
RESOLUTION (GOVERNING BODY OF GRANTEE)
APPROVING THE APPLICATION FOR GRANT FUNDS FOR
THE URBAN GREENING PLANNING GRANT PROGRAM UNDER THE SAFE DRINKING WATER,
WATER QUALITY AND SUPPLY, FLOOD CONTROL, RIVER AND COASTAL PROTECTION
BOND ACT OF 2006 (PROPOSITION 84)

WHEREAS, the Legislature and Governor of the State of California have provided funds for the program shown above; and

WHEREAS, the Strategic Growth Council has been delegated the responsibility for the administration of this grant program, establishing necessary procedures; and

WHEREAS, said procedures established by the Strategic Growth Council require a resolution certifying the approval of application(s) by the Applicants governing board before submission of said application(s) to the State; and

WHEREAS, the Applicant, if selected, will enter into an agreement with the State of California to carry out development of the Urban Greening Plan

NOW, THEREFORE, BE IT RESOLVED that the _____ (Governing Body)

1. Approves the filing of an application for the (name of the Urban Greening Plan);
2. Certifies that Applicant understands the assurances and certification in the application, and
3. Certifies that applicant will have sufficient funds to develop the plan; or will secure the resources to do so, and
4. Certifies that applicant will work towards the Governor's State Planning Priorities intended to promote equity, strengthen the economy, protect the environment, and promote public health and safety as included in Government Code Section 65041.1, and
5. Appoints the (designate position, not person occupying position) _____, or designee, as agent to conduct all negotiations, execute and submit all documents including, but not limited to applications, agreements, payment requests and so on, which may be necessary for development of the aforementioned plan.

Approved and adopted the _____ day of _____ 20____. I, the undersigned, hereby certify that the foregoing Resolution Number _____ was duly adopted by the _____ (Governing Body)

Following Roll Call Vote: Ayes: _____
 Nos: _____
 Absent: _____

Clerk/Secretary for the Governing Board

APPENDIX D - CERTIFICATION LETTER TEMPLATE

If an Applicant does not have a governing board, a certification letter from the organization's director or chief executive officer must be furnished. The letter must:

1. Approve the application for grant funds from the Urban Greening Grant Program under the Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Bond Act of 2006.
2. Certify that the Applicant understands the assurances and certification in the application.
3. Certify that Applicant has or will have sufficient funds to develop the plan.
4. Certify that Applicant will work towards the Governor's State Planning Priorities intended to promote equity, strengthen the economy, protect the environment, and promote public health and safety as included in Government Code Section 65041.1,
5. Appoint the (designate position, not person occupying position), or designee, _____ as agent to conduct all negotiations, execute and submit all documents including, but not limited to applications, agreements, payment requests and so on, which may be necessary for the development of the aforementioned plan, and
6. Contain the signature of the Director or Chief Executive Officer.

APPENDIX E - ELIGIBLE COSTS

Direct costs necessary for the development of the Urban Greening plan and incurred during the Project Performance Period specified in the Grant Agreement will be eligible for reimbursement. All eligible costs must be supported by appropriate documentation. Costs incurred outside of the performance period are not eligible for funding. Indirect costs are not eligible.

1. Personnel or employee services – Costs for services of the grantee's employees directly engaged in plan development must be computed according to the Grantee's prevailing wage or salary scales, and may include fringe benefit costs such as vacations, sick leave, Social Security contributions, etc., that are customarily charged to the recipient's various projects. Costs charged to the plan development must be computed on actual time spent on the plan development and evidenced by time and attendance records describing the work performed on the plan development as well as payroll records. Overtime costs may be allowed under the recipient's established policy provided the regular work time was devoted to the same project.

Salaries and wages claimed for employees working on State grant funded plans must not exceed the Grantee's established rates for similar positions.

2. Consultant services – Costs for the services of consultants working on developing the plan or any related documents, processes, etc. are eligible.
3. Other expenditures - In addition to the major categories of expenditures, funding may be provided for miscellaneous costs necessary for development of the plan at the discretion of the State. Some of these costs may include:
 - Work performed by another section or department of the grantee's agency that can be documented as direct costs to the plan development. (See requirements above under Personnel or employee services).
 - Public Outreach including brochures, advertisements and costs attributed to the planning and conducting of meetings (except refreshments).
4. Contingency – Up to 10% of the grant may be budgeted for contingency costs. All such costs must be eligible per these guidelines.

APPENDIX F - APPLICATION CHECKLIST

Applications should be organized in the following order. Clearly number and label each item and number all pages in sequential order. The appropriate number of copies should be provided. Bind packages with binder clips only. Do not put in folders, binders or notebooks.
Note: Incomplete applications may not be evaluated or considered for funding.

Submit 1 (one) unbound original and 5 (five) unbound copies of items 1 - 9:

- | | |
|---|--------------------------|
| 1. Application Form | <input type="checkbox"/> |
| 2. Questions | <input type="checkbox"/> |
| 3. Work Plan | <input type="checkbox"/> |
| 4. Work Plan Summary (timeline with benchmarks) | <input type="checkbox"/> |
| 5. Area Map | <input type="checkbox"/> |
| 6. Signed Authorizing Resolution or Certification Letter from CEO | <input type="checkbox"/> |
| 7. Eligibility for Nonprofit Applicants | <input type="checkbox"/> |
| 8. Disadvantaged Community/
Severely Disadvantaged Community | <input type="checkbox"/> |
| 9. Support/Collaboration Letters | <input type="checkbox"/> |

Submit 1 (one) copy of item 10

- | | |
|---|--------------------------|
| 10. CEQA Compliance Documents (if applicable) | <input type="checkbox"/> |
|---|--------------------------|

APPENDIX G - APPLICATION FORM

URBAN GREENING PLANNING GRANT PROGRAM State of California – Strategic Growth Council

APPLICANT (Agency and address - including zip) Council of Governments <input type="checkbox"/> Countywide Authority <input type="checkbox"/> Metropolitan Planning Organization <input type="checkbox"/> Local Government <input type="checkbox"/> Non-Profit organization <input type="checkbox"/>	CHECK ONE	Grant Amount Requested: \$ _____ Estimated Date of Completion: _____ Estimated Total Plan Cost: \$ _____ (including State Grant, other funds and In-Kind donations) County _____ City _____
Describe the Geographic Area of the Plan, i.e., Service Area		
Title of Urban Greening Plan	Senate District Number(s)	Assembly District Number(s)
Applicant's Representative Authorized in Resolution		
Name: _____ Phone: _____	Title: _____ Email Address: _____	
Person with Day to Day Responsibility for Plan Development (if different from Authorized Representative)		
Name: _____ Phone: _____	Title: _____ Email Address: _____	
Brief Description of Plan	Latitude	Longitude
Coordinates Represent: _____ Coordinates Determined Using: _____ (See next page for instructions and choices)		
Priority Consideration - Check if the Plan is proposed by or will serve a Disadvantaged or Severely Disadvantaged Community.	Plan Data: Please enter the quantity on all the following measures that apply to your Plan or Plan Area.	
	<input type="checkbox"/> Disadvantaged Community	
	<input type="checkbox"/> Severely Disadvantaged Community	<input type="checkbox"/> Square miles included in Plan Area.
		<input type="checkbox"/> Population of Plan Area.
Existing Planning Documents for Jurisdiction - Please indicate Yes or No to the following:		<input type="checkbox"/> Percent of Population below the Poverty Threshold.
	<input type="checkbox"/> Does this jurisdiction have a General Plan?	
	<input type="checkbox"/> Does this jurisdiction have a Regional Plan?	
	<input type="checkbox"/> Does this jurisdiction have a Model Water Efficient Landscape Ordinance (refer to http://water.ca.gov/wateruseefficiency/landscapeordinance/)	
	<input type="checkbox"/> Does this jurisdiction have an adopted Plant Palette?	

I certify that the information contained in this plan application, including required attachments, is complete and accurate.

Signature: _____
 Applicant's Authorized Representative as shown in Resolution

_____ Date

Print Name and Title: _____

APPENDIX H - COST ESTIMATE FORM FOR URBAN GREENING PLANS

The Safe Drinking Water, Water quality and Supply, Flood Control, River and Coastal Protection Bond Act of 2006
 Proposition 84 – Urban Greening Grant Program

All cost elements included should be clearly described in the Work Plan.

Plan Element (Samples Only)	Unit Price \$	Unit of Measure	Quantity	Total Amount \$	SGC Proposition 84 Grant \$	Named Funding Source #1 \$	Named Funding Source #2 \$	Named Funding Source #3 \$
1 Project Administration								
Surveys								
Consultant Contracting								
Subtotal Task 1								
2 Urban Greening Plan Development								
Plan goals and objectives								
Plan drafting								
Plan review								
Plan compliance checks								
Subtotal Task 2								
3 Public Outreach								
Announcements								
Web posting costs								
Public forum costs								
Subtotal Task 3								
4 Materials								
Printing costs								
Graphics costs								
Subtotal Task 4								
5 Background Research								
Develop Plant Palette								
Inventory Trees								
GRAND TOTAL								

Task listing should be detailed and customized to fit your Plan development process. Each funding source, whether In-Kind or cash should have its own column. Specify In-Kind or cash in each column heading. The unit price multiplied by the quantity equals the Total Amount column. The SGC Grant and Other Funding Sources should also sum to the Total Amount column.

APPENDIX P – HEALTHY COMMUNITY

Promoting public health is one of the objectives of the Strategic Growth Council which it balances with its other objectives to promote sustainable communities. To further understand what characterizes a Healthy Community, the Department of Public Health provided the following:

A healthy community is one that meets the basic needs of all residents, ensures quality and sustainability of the environment, provides for adequate levels of economic and social development: and assures social relationships that are supportive and respectful. A healthy community strives for the following through all stages of life:

Meets basic needs of all -

- Safe, sustainable, accessible and affordable transportation options
- Accessible and nutritious healthy foods
- Affordable, high quality, socially integrated and location-efficient housing
- Complete and livable communities including affordable and high quality schools, parks and recreational facilities, child care, libraries, financial services, health care and other daily needs

Quality, sustainability of the environment –

- Clean air, soil and water, and environments free of excessive noise
- Preserved natural and open spaces, including agricultural lands
- Minimized waste, toxics, and greenhouse gas emissions
- Affordable and sustainable energy use

Adequate levels of economic, social development -

- Living wage, safe and healthy job opportunities for all
- Support for healthy development of children and adolescents
- Opportunities for high quality and accessible education
- Health and social equity

Social relationships supportive, respectful

- Robust social and civic engagement
- Socially cohesive and supportive relationships, families, homes, and neighborhoods
- Safe communities, free of crime and violence

APPENDIX J - DEFINITIONS

Unless otherwise stated, the terms used in these grant guidelines have the following meanings:

Applicant means an eligible organization requesting funding from a program administered by the State.

Bond or Bond Act means Proposition 84, the Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Bond Act of 2006.

CEQA means the California Environmental Quality Act, Public Resources Code Section 21000 et seq.; Title 14, California Code of Regulations, Sections 15000 et seq.

Council means the Strategic Growth Council established pursuant to Section 75121, Chapter 729.

Council of Governments are regional bodies that serve an area of multiple counties addressing several issues, including regional and municipal planning, economic and community development, water use, pollution control, transit administration, and transportation planning. Council membership is drawn from the county, city and other government bodies within its area.

Countywide Authority is an organization developed to work on planning and programming issues around a central focus, e.g. Sonoma County Transportation Authority.

Disadvantaged Community means a community with a median household income less than 80% of the statewide average.

Environmental Justice is the fair treatment of people of all races, physical and cognitive abilities, cultures and income with respect to the development, adoption, implementation, and enforcement of environmental laws, regulations and policies.

Fund or Funds means the Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund 2006

Grant Agreement means a contractual arrangement between the State and grantee specifying the payment of funds by the State for the performance of specific Urban Greening Project objectives within a specific project performance period by the grantee.

Grantee means an applicant that has an agreement for grant funding with the State.

Grants Administrator means an employee of the State who manages the grants.

Greenhouse gases include, but are not limited to, carbon dioxide, methane, nitrous oxide, hydrofluorocarbons, perfluorocarbons and sulfur hexafluoride.

Healthy community – See Appendix I

In-Kind means non-cash donations from governmental or private sources, and includes volunteers, materials and services.

Indirect/Overhead Costs means expenses of doing business that are of a general nature and are incurred to benefit at least two or more functions within an organization. These costs are not usually identified specifically with a grant, grant agreement, project or activity, but are necessary for the general operation of the organization. Examples of indirect costs include salaries and benefits of employees not directly assigned to a Project; functions such as personnel, business services, information technology, janitorial, and salaries of supervisors and managers; and overhead such as rent, utilities, supplies, etc.

Local Government (as it pertains to planning grants) means any political subdivision of the State of California, including but not limited to any county, city, city and county, district, or joint powers authority (except a joint powers authority that includes a State entity).

Local Health Officers are officials with broad authority to take measures as necessary to preserve and protect the public health including, if warranted, adopting ordinances, regulations, and orders not in conflict with general laws. Each county in California has an appointed county health officers. The cities of Berkeley, Pasadena, and Long Beach also have their own city-appointed health officers.

Metropolitan Planning Organization is a transportation policy-making organization made up of representatives from local government and transportation authorities.

Nonprofit Organization means any nonprofit corporation qualified to do business in California, and qualified under Section 501 (c) (3) of the Internal Revenue Code.

Other Sources of Funds means cash or In-Kind contributions that are required or used to complete the Urban Greening project beyond the grant funds provided by this program.

Planning for the purposes of a planning grant means the creation of urban greening plans that will serve as the document guiding and coordinating greening projects in the applicant's jurisdiction.

Plant Palette is a recommended list of plants (shrubs, trees, etc.) which are appropriate and sustainable for a given jurisdiction and/or urban environment, considering economic, environmental, and social factors such as rainfall, terrain, soil, maintenance requirements, appearance, desired function, and public use.

Project means the activity to be accomplished with grant funds, and other funds if necessary, that meet the intent of the statutory conditions.

Project Performance Period refers to the beginning and ending dates of the Grant Agreement. Eligible costs incurred during this period may be funded from the grant.

Proposition 84 - See "Bond"

Regional Plan means either of the following: 1) A long-range transportation plan developed pursuant to Section 134(g) of Title 23 of the Unity States Code and any applicable state requirements, OR 2) A regional blueprint plan, which is a regional plan that implements statutory requirements intended to foster comprehensive planning as defined in Section 65041.1 of, Chapter 2.5 (commencing with Section 65080) of Division 1 of title 7 of, and Article 10.6 (commencing with Section 65580) of Chapter 3 of Division 1 of Title 7 of, the Government Code.

Severely Disadvantaged Community means a community with a median household income less than 60% of the statewide average.

State means the Strategic Growth Council, the Natural Resources Agency, or its representative.

Urban Area is a geographic area where the existing or planned-for average density within a half mile radius of the project or an adjacent geographically-equivalent area (minus existing or planned for open space including the proposed project and non-residential uses) is approximately ten (10) dwelling units per acre.

Urban Greening is a community-based effort to plan, plant, care, and manage flora, structures and spaces, which lead to increased forest canopy, reduced storm water runoff, improved air and water quality, energy conservation, open space and ultimately, more sustainable communities.

Work Plan means a plan that specifies what steps will be taken to develop the Urban Greening Program, including benchmarks with target completion dates and a project cost estimate.

URBAN GREENING PLANNING PROJECT DESCRIPTIONS

1) Mid City & College Area Urban Greening Plan

The City Planning & Community Investment Department will apply for Prop. 84 grant funding to develop an Urban Greening Plan for the community of City Heights in the Mid-City Communities planning area. The City Heights Urban Greening Plan will serve as the master document guiding and coordinating greening projects in the community. The Plan would be developed through a collaborative effort between City staff and community stakeholders to produce implementation measures that lead to the reduction of greenhouse gas emissions and consumption of natural resources and energy.

The Urban Greening Plan would create a system of green/complete streets that provide enhanced experiences for pedestrians, bicyclists and transit users and create linkages between existing, planned and proposed community facilities, parks and trails in canyons and open space areas. An existing inventory and deficiencies analysis identifying opportunity areas for urban greening projects would be developed as a component of the Plan. Another aspect of the plan would be the development of streetscape measures that incorporate low-impact development design to reduce storm water pollution. The recommended community connections would enhance the pedestrian environment and facilitate greater walkability. The Plan would also include a community-wide street tree plan with an appropriate plant palette that utilizes drought-tolerant landscape to reduce the urban heat island effect and increase carbon sequestration. Planning would be coordinated with related city and regional efforts including the City's Pedestrian and Bicycle Master Plans.

2) Canyon Restoration Permit and Planning Project

This Park and Recreation Department, Open Space Division grant proposal for "City of San Diego Canyon Restoration Permitting and Planning" would facilitate habitat restoration, erosion control, and public recreational opportunities such as trails. The proposed project would promote restoration activities within San Diego's heavily impacted urban canyons and would be a catalyst for strengthened open space preservation, more robust canyon restoration and enhancement and, consequently, safer, cleaner, healthier canyon habitats for wildlife and for local residents and youth to enjoy.

Planning efforts will help facilitate canyon restoration in San Diego for years to come. Without these documents, citizen groups interested in creating a trail or restoring habitat in their own canyons have a large hurdle to overcome, both in cost and in time, prior to beginning any project. Completion of the project will allow the City and its volunteers to comprehensively plan and implement vital canyon improvements without the initial stumbling blocks that have hindered them in the past. The project also supports General Plan policies related to “Canyonlands” concepts as follows:

- Promote the preservation and management of the City’s canyons as a part of the Parks Master Plan.
- Acknowledge the many environmental and recreational benefits they provide (RE-F.2.f).
- Preserve and protect natural landforms and features (Urban Design Element (UD) - A.1).
- Use open space and landscape to define and link communities (UD-A.2).
- Design development adjacent to natural features in a sensitive manner to highlight and complement the natural environment in areas designated for development (UD-A.3, with additional detail pertaining to views, grading, visual and physical access contained in subpolicies “a”-“g”).
- Protect and conserve the landforms and open spaces that define the City’s urban form . . . (CE-A.1) and sub-policy “c,” which calls for “the protection of urban canyons and other community open spaces . . .”
- Additional canyon issues (e.g., erosion, invasives, access, etc.) are addressed throughout the Conservation Element, including discussion and policies in sections: A (Open Space and Landform Preservation), B (Water Resources Management), C (Urban Runoff Management), E (Biological Diversity) and K (Environmental Education).

The proposal includes three primary work categories:

- 1) Obtain a City-issued Master Site Development Permit and California Environmental Quality Act documentation from the Development Services Department. Park and Recreation Department administrative staff time could be used as a grant match, while Development Services Department staff time and any specialized, technical consultant work required would be grant funded. This permit would be valid city-wide and would greatly streamline the existing process of permitting one small restoration project at a time.
- 2) Obtain State (California Department of Fish and Game, Regional Water Quality Control Board) and Federal (Army Corps of Engineers in consultation with U.S. Fish and Wildlife Service) Regional/Master permits for restoration and erosion control work in wetlands. These permits are difficult to obtain and each permit is valid only for a limited

time and geographic scope. These “Master” permits would be valid City-wide and would greatly streamline the existing processes.

- 3) Engage the services of a community-based organization, such as San Diego Canyonlands, to provide community outreach services for two to four canyons. The consultants would provide existing conditions reports, mapping, and facilitate charettes with stakeholders to identify specific canyon improvements. The deliverable from this effort would be a model plan for future efforts and a series of finished canyon-specific plans and would include: a Resource Directory, identification of opportunities to link youth to nature, strategies for building local support and organizing the community (including development of Canyon “Friends” groups), mapping of existing conditions/constraints/opportunities and development of an Action Plan for each canyon.

Actions/projects to be covered by Master Permit, CEQA document and Action Plans:

- | | |
|---|------------------------|
| A. Habitat Restoration projects | |
| Trash/refuse pickup/removal | Invasive plant removal |
| Planting of native species | Temporary irrigation |
| Harvesting of cuttings/seed | |
| B. Permitted improvements may include: | |
| Trail construction | Trail maintenance |
| Trail closures | Kiosk construction |
| Trash receptacles | Benches/picnic tables |
| Portable toilets | Signage |
| Fencing/barriers/gates | Overlooks |
| Staging/parking areas | Special events |
| C. Hydrologic restoration/Erosion control | |
| Checkdams (cobble, gravel bags) | Cutouts |
| Wattles | Blankets |
| Silt fencing | Hydromulching |
| Wood chips | Mulch |

The project meets grant guidelines of new plant installation, community involvement, and public health via recreational opportunities. Depending on canyons selected for planning, the grant application could also qualify as serving a disadvantaged community.

**URBAN GREENING PROJECT GUIDELINES
FOR SUSTAINABLE COMMUNITIES**

FUNDED BY

**THE SAFE DRINKING WATER, WATER QUALITY AND SUPPLY, FLOOD CONTROL, RIVER AND
COASTAL PROTECTION BOND ACT OF 2006**

PROPOSITION 84

**State of California
The Strategic Growth Council**



Technical Workshops

Four technical workshops will be held in the following locations -

NORTHERN CALIFORNIA

SACRAMENTO - February 24, 2010

(Wednesday)
9:00 a.m. – 12:00 noon
Department of Public Health
Building #172
1500 Capitol Mall
Training Rooms #1 and #2
Sacramento, CA 95814

CENTRAL VALLEY

FRESNO - February 25, 2010

(Thursday)
1:00 – 4:00 p.m.
Woodward Park Regional Library
Woodward Park Meeting Room
944 East Perrin Avenue
Fresno, CA 93720

BAY AREA

MOUNTAIN VIEW – March 2, 2010

(Tuesday)
9:30 a.m. – 12:30 p.m.
City of Mountain View Community
Center Auditorium
201 South Rengstorff Avenue
Mountain View, CA 94040

SOUTHERN CALIFORNIA

ANAHEIM – March 4, 2010

(Thursday)
9:00 a.m. – 12:00 noon
Anaheim City Hall
Gordon Hoyt Conference Room
201 South Anaheim Boulevard
2nd Floor (West Tower)
Anaheim, CA 92805

Please feel free to contact our office for any questions
and/or additional help in completing the application.

Please direct questions to:

Urban Greening for Sustainable Communities Program
c/o The California Natural Resources Agency
Attn: Bonds and Grants Unit
1416 Ninth Street, Suite 1311
Sacramento, CA 95814
Phone: (916) 653-2812, OR
Email: UrbanGreening@resources.ca.gov
Fax: (916) 653-8102

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INTRODUCTION

California voters passed the Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Bond Act of 2006 (Proposition 84) on November 7, 2006. Proposition 84 added Division 43, Chapter 9, Section 75065(a) to the Public Resources Code, authorizing the Legislature to appropriate \$70 million for urban greening projects that reduce energy consumption, conserve water, improve air and water quality, and provide other community benefits. The purpose of the chapter includes reducing urban communities' contribution to global warming and increasing their adaptability to climate change while improving the quality of life in those communities.

Chapter 13, Statutes of 2008 (SB 732 Steinberg) added to the Public Resources Code and established the Strategic Growth Council (SGC). The SGC is composed of agency secretaries from the Business Transportation and Housing Agency, the California Health and Human Services Agency, the California Environmental Protection Agency, the California Natural Resources Agency, the director of the Governor's Office of Planning and Research, and a public member, appointed by the Governor. One of the SGC's many objectives is managing and awarding financial assistance to cities, counties, and nonprofit organizations for the preparation, planning, and implementation of urban greening projects.

The Strategic Growth Council and SB 732 objectives are to improve air and water quality, protect natural resources and agricultural lands, increase the availability of affordable housing, improve infrastructure systems, promote public health, and assist state and local entities in the planning of sustainable communities and meet AB 32 goals. Through collaborative efforts at various levels of government and community stakeholders, urban greening plays an important role in creating sustainable communities. Therefore, in order to demonstrate a project's capacity for meeting these statewide goals, the SGC will be seeking written support, to be included as part of the application, from entities with jurisdiction over the project and any other relevant organizations that are critical to the success of the project.

While no matching funds are required in this program, the Strategic Growth Council encourages leveraging all resources, including other sources of funds.

PURPOSE

Because of the built-out nature of California's urban areas, the Urban Greening for Sustainable Communities Program (Program) provides funds to preserve, enhance, increase or establish community green areas such as urban forests, open spaces, wetlands and community spaces (e.g., community gardens). The goal is for these greening projects to incrementally create more viable and sustainable communities throughout the State.

The SGC anticipates a total of three funding cycles.

Statute allows for up to 25 percent of the funds allocated in the bond to be available for awards for the preparation of comprehensive Urban Greening Plans. For details on the planning aspect of the program, refer to the guidelines titled Urban Greening for Sustainable Communities Planning Guidelines, available on-line at <http://sgc.ca.gov>.

STATUTORY REQUIREMENTS

Applicants - Grant Funds will be awarded to a city, county or nonprofit organization.

Projects - Urban Greening Projects must be accomplished using the following criteria -

1. a) Use natural systems, or systems that mimic natural systems, or
b) Create, enhance, or expand community green spaces.
2. Provide multiple benefits including, but not limited to
 - a decrease in air and water pollution, or
 - a reduction in the consumption of natural resources and energy, or
 - an increase in the reliability of local water supplies, or
 - an increased adaptability to climate change.
3. Be consistent with the State's planning policies pursuant to Section 65041.1 of the Government Code specific to the following statewide priorities –
 - promote infill development and invest in existing communities,
 - protect, preserve and enhance environmental, agricultural and recreational resources, and
 - encourage location and resource efficient new development.
4. Reduce, on as permanent a basis that is feasible, greenhouse gas emissions consistent with the California Global Warming Solutions Act of 2006 (Division 25.5 (commencing with Section 38500) of the Health and Safety Code), and any applicable regional plan.

Projects must also,

5. Comply with the California Environmental Quality Act (CEQA), Division 13 (commencing with Section 21000),
6. Be acquired from a willing seller (acquisitions only), and
7. Comply with Labor Code Section 1771.8 regarding the labor compliance program and prevailing wages.

OTHER PROGRAM REQUIREMENTS

Location – Some portion of the project must be located in an urban area. For the purpose of this program, an urban area is a geographic area where the existing or planned-for average density within a half mile radius of the project or an adjacent geographically-equivalent area (minus existing or planned for open space including the proposed project and non-residential uses) is approximately ten (10) dwelling units per acre.

Public Access – Urban Greening projects must provide public access and/or educational features where feasible.

Targeted Funds for Disadvantaged Communities: Up to \$2,000,000 will be targeted for projects within or serving disadvantaged communities. At the sole discretion of the State, this amount may be increased. Requests for targeted funding are limited to a maximum of \$75,000.

PRIORITY CONSIDERATION - SGC URBAN GREENING PRIORITIES

Improving the sustainability and livability of California's communities is a goal of the SGC. The following areas have been identified as statutory priorities and additional priorities in awarding grants. In evaluating projects, the State may also consider factors including, but not limited to, geographic distribution of bond funds, environmental justice, and partial funding.

Urban Greening Statutory Priorities - Additional points will be given to projects that meet one or more of the following -

- Uses interagency cooperation and integration (i.e., collaboration), or
- Uses existing public lands and facilitates use of public resources and investments including schools, or
- Is proposed by an economically or severely economically disadvantaged community

Urban Greening Additional Priorities – Additional points will be given for each of the following additional SGC urban greening priorities -

- Improves Public/Community Health
- Demonstrates Innovation and/or Creativity
- Proposed by Communities More Vulnerable to Climate Change or Addresses an Environmental Justice Issue

EVALUATION/SCORING CRITERIA

All information provided in the application package provides the committee tools for project evaluation and should demonstrate how effectively a project meets minimum requirements, program goals/objectives and required due diligence. Projects will be scored in the following areas. A total of 100 points are possible.

1. Statutory Requirements	0 – 30
<ul style="list-style-type: none"> ➤ Project uses Natural Systems, Mimics Natural Systems or Expands Green Spaces ➤ Project provides Multiple Benefits ➤ Project consistent with State's Planning Priorities ➤ Project reduces Greenhouse Gas Emissions 	
Total Possible for Statutory Requirements - 30	
2. Urban Greening Statutory Priorities (Must meet one or more)	0 – 25
<ul style="list-style-type: none"> ➤ Interagency Cooperation and Integration/Collaboration (up to 13) ➤ Uses Existing Public Lands and Facilitates Use of Public Resources and Investments including Schools (up to 5) ➤ Disadvantaged Community OR (<i>automatic 4 points</i>) ➤ Severely Disadvantaged Community (<i>automatic 7 points</i>) 	
Total Possible for SGC Urban Greening Priorities – 25	
3. Urban Greening Additional Priorities and Public Access	0 – 25
<ul style="list-style-type: none"> ➤ Project Improves Public Health ➤ Project is Innovative and/or Creative ➤ Project is Proposed by a Community More Vulnerable to Climate Change or Addresses an Environmental Justice Issue ➤ Extent of Public Access (as feasible) 	
Total Possible for SGC Program Objectives – 25	
4. Project Readiness	0 – 10
5. Organizational Capacity	0 – 10

ELIGIBLE PROJECT EXAMPLES

<p>EXAMPLES OF PROJECT TYPES THAT CONTRIBUTE TO THE REDUCTION OF GREENHOUSE GAS EMISSIONS AND PROVIDE MULTIPLE BENEFITS</p>	<p>BENEFITS ASSOCIATED WITH PROJECT TYPE</p>						
	<p>Decrease in air and/or water pollution</p>	<p>Reduction in the consumption of natural resources and energy</p>	<p>Increase in the reliability of local water supplies</p>	<p>Increased adaptability to climate change</p>	<p>Public Health</p>	<p>Community Revitalization</p>	
<p>Establish or enhance:</p>	X	X	X	X	X	X	
<p>Tree Canopy/Shade Trees</p>	X	X	X	X	X	X	
<p>Urban forestry/forest</p>	X	X	X	X	X	X	
<p>Local parks and open space</p>	X	X	X	X	X	X	
<p>Greening of existing public lands and structures, including schools</p>	X	X	X	X	X	X	
<p>Multi-objective storm water projects, including construction of permeable surfaces and collection basins and barriers</p>	X	X	X	X	X	X	
<p>Urban streams, including restoration</p>	X	X	X	X	X	X	
<p>Community, demonstration, or outdoor education gardens or orchards</p>	X	X	X	X	X	X	
<p>Urban heat island mitigation and energy conservation efforts through landscaping and green roof projects</p>	X	X	X	X	X	X	
<p>Non-motorized urban trails that provide safe routes for both recreation and travel between residences, workplaces, commercial centers, and schools</p>	X	X	X	X	X	X	
<p>Bioswales to mitigate stormwater runoff</p>	X	X	X	X	X	X	
<p>Conservation easements or fee title acquisitions to preserve in perpetuity land for agricultural uses, open space, wetlands, etc.</p>	X	X	X	X	X	X	
<p>Capture, store and infiltrate stormwater for ground water recharge and use</p>	X	X	X	X	X	X	
<p>Wetlands</p>	X	X	X	X	X	X	

EXAMPLES OF INELIGIBLE URBAN GREENING PROJECTS OR APPLICATIONS

The items below provide examples of projects and elements that will not be funded under this program. (This is not a comprehensive list.)

- Projects that are not consistent with the State's planning priorities.
- Projects that do not provide multiple benefits.
- Projects that plant trees that will eventually conflict with overhead or underground utilities or ground-located infrastructure.
- Projects to plant invasive species as referenced in the California Invasive Plant Council website (<http://www.cal-ipc.org/>) or similar reference.
- Projects to acquire property that cannot be purchased at fair market value.
- Projects that fulfill a mitigation action required under existing law.
- Acquisitions that are not from a willing seller
- Projects not compatible with the specific environment or location in which they are situated.
- Projects that create or improve roads for motorized use.
- Projects that will not be completed in the allotted timelines.
- Projects contingent on future acquisition for implementation.
- Projects that are intended to correct problems caused by inadequate maintenance.
- Applications that include more than one project. (More than one application can be submitted by an applicant.)
- Planning projects (see Urban Greening Planning Guidelines for Sustainable Communities)

GRANT SELECTION PROCESS

- Applicants submit a complete grant application to the State by the deadline (one application per project – no limit on number of applications submitted per entity).
- Application reviewed for completeness and eligibility.
- Incomplete or ineligible applications may not be evaluated or considered for funding at the sole discretion of the State.
- Applications evaluated by the Urban Greening Grant Committee (Committee), using the Evaluation Selection Criteria.
- Site visits may be scheduled prior to funding decisions resulting in ranking adjustments.
- Partial funding may be considered to fully maximize grant awards.
- Committee recommends projects for funding to the SGC.
- SGC determines final project awards.

PREPARING YOUR GRANT APPLICATION

For technical assistance in preparing the application, the applicant should contact a Grants Administrator at (916) 653-2812.

The Grant Application has three sections -

1. **Project Summary Statement and Project Cost Summary**
2. **Project Questions**
3. **What to Submit: Supporting Documents**

It is important that titles used by applicants to represent project elements be consistent throughout the application, including scope, timeline and cost estimate/budget.

PROJECT SUMMARY STATEMENT

Summarize the purpose and objectives of the proposed project, including the extent of public access. The statement should not exceed 200 words and should be suitable for use in a database or brochure.

Purpose/objectives -

Public Access -

PROJECT COST SUMMARY

Summarize the major components of the project.

Sample Project Categories	Requested Grant Funds	Other Funding Source(s)	Total Cost
Project Management/Non-Construction			
Planning, Design, & Permitting			
Implementation/Construction			
Land Acquisition			
Plant Establishment			
Contingency			
Total (cannot exceed total project cost)			

PROJECT QUESTIONS

The questions below are designed to solicit specific facts regarding the project and should be answered in the order listed. Each question and answer should be clearly labeled. Points are not attributed to specific questions. If a question does not apply to your project, indicate that it is not applicable ("NA").

- Limit this section to **15** numbered pages.
- Use 8 ½" x 11" papers with **12-point easy-to-read font**.
- The supporting documents **do not** count as part of the 15 pages.

Statutory Requirements – All projects must meet certain requirements as specified in statute. Each applicant must answer/address all questions/issues below:

1. Please describe your proposed project in detail and explain how it meets the criteria of 1) using natural systems, or systems that mimic natural systems, **OR** 2) creating, enhancing, or expanding community green spaces.
2. How was the project site selected and/or prioritized?

Multiple Benefits

3. Using the list below, identify **one or more** of the benefits the project meets and answer the **corresponding** questions below –

- Decrease in air and water pollution
- Reduction in the consumption of natural resources and energy
- Increase in the reliability of local water supplies
- Increased adaptability to climate change
- Other (in addition to at least one of the above)

a) Decrease in air and water pollution

1. How will the project decrease air pollution? What approach was used to determine said reductions? Air pollutants include, but are not limited to, volatile organic compounds emissions, i.e. methane, formaldehyde, allergens, NO₂, particulates, other hydrocarbons, CO₂, etc.
2. How will the project decrease water pollution? What approach was used to determine said reductions? Project elements may include, but are not limited to, management and filtration of storm water and ground water replenishment.

b) Reduction in the consumption of natural resources and energy

1. How will the project reduce the consumption of natural resources? What approach was used to determine said reductions? Methods to reduce natural resource consumption may include, but are not limited to, use of recycled-content or reusable products, soil conservation, preservation of agricultural lands, etc.
2. How will the project reduce energy consumption? Describe what elements will be incorporated. What approach was used to determine the amount of energy that will be reduced? Energy-saving measures may include, but are not limited to shade tree programs, constructing green roofs and converting asphalt to native plants and/or turf.
3. If the project will reduce water consumption, describe what project elements will be incorporated. What approach was used to determine the amount of water use that will be reduced? Project elements to reduce water consumption may include, but are not limited to, planting drought-tolerant species, using recycled or reclaimed water, developing hydro zones and mulch areas, installing drip irrigation systems, installing water meters, etc.

c) Increase in the reliability of local water supplies

1. How will the project specifically increase the reliability of local water supplies and what approach was used to determine said benefits? Water preservation measures include, but are not limited to, use of recycled or reclaimed water, collection and use of rainwater and/or grey water, and implementation of water management best management strategies.

d) Increased adaptability to climate change

1. How will the project specifically increase adaptability to climate change and what approach was used to determine said benefits? Project elements that increase adaptability to climate change include, but are not limited to, installation of green/living roofs, using light-colored or reflective materials on traveled surfaces, and installing or maintaining large masses of street tree plantings and trees that shade buildings, parking lots, sidewalks and trails (heat island mitigation) etc.

e) Other Benefits

1. If the project provides other benefits that contribute to sustainable communities, please describe said benefits. Examples might include safe route to schools, alternate mode of transportation, historical value of trees, serves an area of high density population, etc.

4. Describe how the project is consistent with the State's planning policies (Section 65041.1 of the Government Code) specific to the following statewide priorities -
 - promote infill development and invest in existing communities
 - protect, preserve and enhance environmental, agricultural and recreation resources, and
 - encourage location and resource efficient new development

5. Describe how the project is consistent with any applicable regional plan.

6. Describe how your project will help meet California's greenhouse gas (GHG) emission reduction targets to -
 - reduce GHG emissions to 1990 levels by 2020, and
 - reduce GHG emissions to 80% below 1990 levels by 2050

7. How did you determine the impacts of your project on GHG emissions?

8. Are there available green space or vegetation (tree) assessments available in your community? If yes, please describe.

Priority Consideration: SGC Urban Greening Priorities and Objectives

Urban Greening Priorities - Additional points will be given to projects that meet one or more of the following -

Interagency Cooperation and Integration/Collaboration

1. Describe partnerships with other entities, including local land use and public health authorities, and their corresponding roles in the project.

2. What steps have been taken to foster interagency relationships and blend jurisdictional responsibilities?

3. Describe community involvement and support for the project such as watershed groups, local businesses, urban forestry organizations, landowners, general public, local governments, environmental groups, technical experts, neighborhood associations, etc.

4. How will the project increase community interaction and cooperation?

Use of Existing Public Lands and Facilitating Use of Public Resources and Investments

1. Is this project an acquisition? If yes, was consideration first given to the use of existing public lands? Describe the rationale for the acquisition.
2. What other private and/or non-profit financial resources have been obtained for this project?

Project is Proposed by an Economically Disadvantaged Community

1. Identify where the project will be located in relationship to the economically disadvantaged community. If it is not located within the community, specify where it will be located, how far away it is, and the availability of public transportation to the project site (see Appendix C for guidance in determining the geographic boundary of a disadvantaged community).
2. Identify whether and how the project will expand acreage and/or access to green space in the economically disadvantaged community.
3. Discuss how the economically disadvantaged community has been and will continue to be engaged and participatory in the development and implementation of the project.

Program Objectives – Points will also be given to projects that meet one or more of the following additional SGC goals and objectives.

Improves Public/Community Health – Green spaces are increasingly recognized for providing a broad range of environmental services that impact the health of a community. Closeness to nature, of which trees form an important part, is a significant influence on human health. There are several health benefits, supported by research, of accessible green spaces. Additionally, there are numerous co-benefits that can either directly or indirectly improve health.

1. Using the list below, indicate which of the following apply to the project and explain how the selected benefits will be achieved -
 - Improved mental health (e.g., social networking, overall well being)
 - Increased physical activity (effects on obesity, diabetes, heart disease, etc.)
 - Decreased pollen and other allergens (i.e., allergy or asthma contributors)
 - Reduced risk of skin cancers
 - Increased access to locally grown/sustainable food sources
 - Increased access to nature
 - Other co-benefits to public health (examples include reducing urban heat island effects, increasing safe active transportation - e.g., pedestrian walking/bicycling -, hiring/training local disadvantaged youth, and project serves an area of high density population).
2. Identify specific efforts or strategies to ensure that low income, economically disadvantaged communities and/or neighborhoods will realize these health benefits.

Innovative and/or Creative – California often is the front-runner in greening ideas shared worldwide. From time to time, a project may achieve desired goals through unusual partnerships or creative approaches. If the proposed project is innovative or unique, answer the questions below.

1. Describe how the project is innovative and creative.
2. Discuss how this project required an approach that is “out of the box” (paradigm shift).
3. Describe how this project might be used as a model or easily transfer to other communities and/or organizations or explain the unique conditions in the community that make this project a good fit.
4. Identify any unique design parameters, performance measures, or potential outcomes planned for your project.

Communities More Vulnerable to Climate Change - The State recognizes that climate change will have varying impacts on communities across the state. The impacts will depend upon the degree of climate change to that locale, the sensitivity of existing plants and animals, the elevation of the community relative to sea level, the potential for flooding or drought, the existing water supply, the potential for extreme weather events, the economic base (such as agriculture or timber) and the socio-economic and institutional capacity of that locale to respond. All of these factors taken together contribute to community vulnerability.

1. Is the project proposed in an area that is especially vulnerable to climate change? Please explain.
2. What effects of climate change are likely to impact the community (e.g., physical, ecological, economic)? Are plants and animals in the area considered more sensitive to climate change impacts than other areas of the state?
3. Does the project include elements that respond directly to the negative impacts of climate change? How do the project elements mitigate the climate change effect through the project design?
4. Does the project include elements that can themselves be impacted by climate change? Are the project elements specifically chosen to be adaptable to climate change (such as appropriate tree/plant selection)? Please explain.

Projects that Address Environmental Justice Issues - The State recognizes the importance of reaching out to communities with existing environmental deficits or limited access to green spaces. If the proposed project addresses environmental justice issues, answer the questions below.

1. Describe how the project addresses or reaches out to communities with less canopy coverage than surrounding communities.
2. Does the project address or reach out to communities with more air and/or water pollution than surrounding communities?
3. Will the project offer a community greater access to parks, green spaces, and/or trails that has had lesser access to these amenities in the past?
4. How does the project contribute to fairness and equity in the community?

PROJECT READINESS - Applicants should demonstrate an ability to complete the project within the timelines imposed by the appropriation.

1. Identify and describe the steps to be taken immediately following the grant award.
2. Have performance measure standards been established to quantify the success of the project? If yes, what measures are in place? If not, what steps are being taken to develop standards prior to the completion of the project?
3. Provide the status of the following, as applicable -
 - Preliminary design plans including plant palettes
 - CEQA compliance
 - Permits
 - Commitments from project partners including land access and Operations & Maintenance agreements.
 - For Acquisition projects: detailed appraisal and/or comparable sales data; preliminary title report; negotiations with a willing seller
4. What other factors may affect the project's timeline and completion (e.g., other sources of funds, toxic substances, utilities, opposition to the project, etc.)? How will these factors be addressed?
5. List all other sources of funding and amounts already committed to the project and expected timing of funds.

ORGANIZATIONAL CAPACITY - Applicants should demonstrate the ability to carry out the project and ensure a minimum useful life as required for bond funded projects. For projects requiring long-term maintenance, this can be demonstrated using in-house expertise and resources or with formal partnerships, commitments, collaboration, etc.

1. What is your organization's experience in completing this type or similar project? Is the expertise needed for this project readily available within your organization? If not, how do you plan to acquire it?
2. Explain how you plan to keep the community informed and involved in the project.
3. Who will perform long-term maintenance? Describe their experience in maintaining this type of project. How will ongoing maintenance be funded beyond the grant timeline (as applicable)?
4. Please describe how your proposed project will be sustained. Who or what institutions will take responsibility for plantings?
5. How will the project be protected from vandalism and deterioration?
6. If the project goes over budget, explain your contingency plans to cover the excess costs.

WHAT TO SUBMIT – SUPPORTING DOCUMENTATION

The Grant Application is composed of three (3) sections: Summary, Project Questions and Supporting Documents. Materials should be presented **unbound** in the order indicated below. Clearly number and label each item and number all pages in sequential order. Do not submit additional materials that have not been specifically requested.

Submit one (1) unbound original and five (5) unbound copies of items 1 - 19.

1. Application Form - Provide all information requested. For projects requesting the targeted funds for Disadvantaged Communities, check the appropriate boxes in the “Applicant” part of the form (Appendix J).
2. One-page Summary: Project Type & Description
3. Project Questions
4. Site Plan – All plans should be for the project for which you are requesting funding and contain specific property details of the property as described in the grant application including exterior boundaries and public access points. Include details regarding the location of the improvements described in the grant application. The plan should be specific enough to allow someone unfamiliar with the project to visualize it in detail.
5. Plant Palette – Provide Genus, species, common name, stock size (to the extent known).
6. Cost Estimate/Budget – Provide a detailed cost estimate/budget reflecting all costs associated with the project. Identify costs included in the grant request and costs covered by other funding sources. Cost estimates should include Proposition 84 acknowledgement signage costs as an individual line item (Appendix K).
7. Land Acquisitions Form (**Acquisition** projects only) (Appendix L)
8. Assessor’s Parcel Map – For **acquisition** projects, provide a photocopy from Assessor’s Office, **with project parcels highlighted**.
9. Photographs – Provide up to five (5) labeled photographs of different views of the project site reflecting current conditions at the site (color photocopies are acceptable). Pictures should be no larger than 8 ½ by 11 inches.
10. Project Timeline – Provide an estimated timeline for all major tasks detailed in the project
11. Property Data Sheet – For development projects, complete the property data sheet for all parcels included in the project (Appendix N).
12. Adequate Site Control/Land Tenure – Provide copies of documents verifying current ownership for each and every parcel the project will include. Examples include, but are not limited to, tax records, owner data sheets from county records, deeds, title reports, etc. If the property is owned by a party other than the applicant, provide evidence demonstrating long-term access that authorizes the applicant to develop the property (e.g., leases, easements, encroachment permits, etc.) (Appendix D).

- If an agreement has not yet been executed giving permission to develop the property, a signed letter by the landowner indicating their intent to enter into such an agreement is acceptable (application purposes only).
13. Operations & Maintenance – If operations and maintenance will be performed by another entity, explain and provide evidence of concurrence from that entity, which includes operational agreements, letters of intent, memoranda of understanding/agreement signed by all parties.
- If an agreement has not yet been executed, a signed letter by the landowner indicating their intent to enter into such an agreement is acceptable (application purposes only).
14. Permit/Approval Status – Indicate the types of permits necessary to complete the project, permitting submittal and acquisition status, and potential project delays due to permitting (Appendix O).
15. Willing Seller Letter – For Acquisitions, provide letter from each landowner indicating they are a willing participant in the proposed real property transaction. The letter should clearly indicate that should grant funds be awarded, the seller is willing to enter into an agreement for the sale of the real property and for a purchase price not to exceed Fair Market Value (Appendix M).
16. Signed Authorizing Resolution from Governing Body.
- See Appendix G for required Resolution format and content.
 - A draft resolution may be submitted with the application if the board meeting schedule prohibits submission by the application deadline. Prior to any recommendation of funding, however, the adopted copy is required.
17. Eligibility for Nonprofit Applicants - Provide evidence that the corporation is qualified under Section 501(c) (3) of the Internal Revenue Service Code. Prior to commencing work, provide copy of Fiduciary Bond.
18. Disadvantaged Community – Provide documentation to support the Disadvantaged Community determination as defined in these guidelines (Appendix C).
19. Collaboration – Provide copies of letters from entities with jurisdiction over the project and from the local community demonstrating 1) support for the proposed project, 2) a willingness to participate in the planning, design and/or implementation, 3) a commitment to the broad objectives of the SGC goals and the State’s planning priorities.

Submit one copy only of item 20-

20. Environmental Compliance – (One copy only) - At a minimum, provide a copy of the Categorical Exemption (draft okay) if project is exempt OR Initial Study or Checklist for entire proposed project (Appendix B).

PROJECT ADMINISTRATION

General Overview of Grant Process after Grants are Awarded

1. State sends grant agreement and materials for project grant administration to grantee.
2. Grantee signs and returns all required copies to the State (one fully executed original will be returned to the Grantee).
3. For acquisitions, grantee submits appraisal, purchase documents, etc., for Department of General Services' review. Applicable State appraisal review fees are an eligible cost (for estimating purposes, applicants should use \$10,000 per escrow as an estimate in their grant proposal to cover these fees).
4. Grantee commences preliminary work (planning, design, permitting, CEQA, etc.) on the project and submits requests for reimbursements, as applicable.
5. Grantee submits final site plan, timeline and cost/budget estimate (as applicable) for State review prior to commencing with construction.
6. Grantee submits CEQA compliance documentation together with evidence that the lead agency notified the appropriate California Native American tribe of the proposed action, if applicable.
7. Grantee posts signs acknowledging source of funds.
8. Grantee commences construction work on the project and may submit payment requests for reimbursement of eligible project expenditures.
9. The State may schedule periodic on-site visits and request periodic progress reports from the Grantee.
10. Grantee completes project and submits project completion packet (to be provided under separate cover) to the State.
11. State makes final project inspection and approves final payment.
12. The grant may be audited as frequently as annually during the course of the project and for three years after the project is completed.

Changes to Approved Project

A grantee wishing to make changes or amendments to an approved project must first obtain approval from the State. Changes in the project scope must continue to meet the need cited in the original application to be approved. The grantee jeopardizes funding should changes be made without approval.

Eligible Costs

Direct project-related costs incurred during the project performance period specified in the grant agreement will be eligible for reimbursement. All eligible costs must be supported by appropriate documentation. Costs incurred outside of the performance period and indirect costs are not eligible.

Site Visits

The State may make periodic visits to the project site, including a final inspection of the project. The State will determine if the work is consistent with the approved project scope and ensure compliance with the signage requirements.

Payment of Grant Funds

Funds cannot be disbursed until there is a fully executed grant agreement between the State and the Grantee.

Development Projects -

- Payments will be made on a reimbursement basis (i.e., the grantee pays for services, products or supplies and is reimbursed by the State).
- Funding for proposed project implementation is contingent upon CEQA completion.
- Periodic progress payments may be contingent upon satisfactory documentation of stated objectives in the application and administrative benchmarks (e.g., collaboration efforts, outreach, funding acknowledgement signs, final design, etc.)
- Ten percent (10%) of the amounts submitted for reimbursement may be withheld and issued as a final payment upon project completion, at the sole discretion of the State.
- As a general rule, advanced payments for development project costs are not allowed.

Acquisition Projects: -

- State-approved purchase price, together with eligible acquisition costs may be advanced into an escrow account within 60 days of close of escrow. All disbursements are subject to a ten percent (10%) withhold, at the sole discretion of the State.
- The remainder of the Grant, if any, shall be available on a reimbursable basis for other eligible costs.

Loss of Funding (Not a complete list)

The following are examples of actions that may result in a Grantee's loss of funding -

- Grantee fails to obtain a Grant Agreement.
- Grantee withdraws from the grant program.
- Grantee loses willing seller(s).
- Grantee fails to complete the funded project.
- Grantee fails to submit all documentation within the time period specified in the grant agreement.
- Grantee fails to submit evidence of CEQA compliance within allowed time as specified by the grant agreement.
- Property cannot be acquired at approved fair market value.
- Grantee fails to demonstrate project sustainability to meet minimum required useful life.
- Grantee is unable to secure adequate land tenure/site control.
- Grantee changes project scope without approval of the State or the modified project doesn't meet intent of award

STATE AUDIT AND ACCOUNTING REQUIREMENTS

Audit Requirements

Urban Greening projects are subject to audit by the State of California annually and for three (3) years following the final payment of grant funds. If the project is selected for audit, advance notice will be given. The audit shall include all books, papers, accounts, documents, or other records of the grantee, as they relate to the project for which the funds were granted.

The grantee must have the project records, including the source documents and evidence of payment, readily available, and provide an employee with knowledge of the project to assist the auditor. The grantee must provide a copy of any document, paper, record, or the like, requested by the auditor.

Accounting Requirements

The Grantee must maintain an accounting system that:

- Accurately reflects fiscal transactions, with the necessary controls and safeguards,
- Provides a good audit trail, including original source documents such as purchase orders, receipts, progress payments, invoices, time cards, evidence of payment, etc.
- Provides accounting data so the total cost of each individual project can be readily determined.

Records Retention

Project records must be retained for a period of three (3) years after final payment is made by the State. All project records must be retained by the grantee at least one (1) year following an audit. Grantees are required to keep source documents for all expenditures related to each grant for at least three (3) years following project completion and one year following an audit. A project is considered complete upon receipt of final grant payment from the State.

APPENDIX A – AVAILABLE RESOURCES

Strategic Growth Council website

<http://sgc.ca.gov>

Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Bond Act of 2006 (Proposition 84)

http://www.waterboards.ca.gov/water_issues/programs/grants_loans/prop84/docs/prop84nov2006.pdf

Strategic Growth Council/Urban Greening Program Statutes (SB 732)

http://www.leginfo.ca.gov/pub/07-08/bill/sen/sb_0701-0750/sb_732_bill_20080930_chaptered.pdf

State's Planning Policies Statute

<http://www.leginfo.ca.gov/cgi-bin/displaycode?section=gov&group=65001-66000&file=65041-65049>

California Global Warming Solutions Act of 2006

http://www.leginfo.ca.gov/pub/05-06/bill/asm/ab_0001-0050/ab_32_bill_20060927_chaptered.pdf

California Environmental Quality Act (CEQA)

<http://www.leginfo.ca.gov/cgi-bin/displaycode?section=prc&group=20001-21000&file=21000-21006>

Labor Compliance Program Statutes 1770-1781

California Invasive Plant Council's Invasive Plant Inventory Database

<http://www.cal-ipc.org/ip/inventory/weedlist.php>

U.S. Census Bureau – California Quick Facts (Median Household Income for California)

<http://quickfacts.census.gov/qfd/states/06000.html>

Climate Adaptation Strategy

<http://www.climatechange.ca.gov/adaptation/index.html>
<http://gov.ca.gov/index.php?/executive-order/1861/>

Selec Tree <http://selectree.calpoly.edu/>

CALFIRE tree stock and care requirements

<http://www.ufe.org/Standards&Specs.html>

California Water Plan

<http://www.waterplan.water.ca.gov/cwpu2009/index.cfm>

Governor's Water Conservation Targets from a letter dated February 28, 2008

http://www.swrcb.ca.gov/water_issues/hot_topics/20x2020/docs/govltr_to_legislature022808.pdf

APPENDIX B - ENVIRONMENTAL COMPLIANCE

Prior to approval and distribution of grant funds for construction/implementation, every proposed project shall comply with the California Environmental Quality Act, Division 13 (commencing with Section 21000; 14 California Code of Regulations section 15000 *et seq.* ["CEQA"]).

The State of California, acting through its administering agencies and departments, will typically act as a responsible agency for the purposes of CEQA. Therefore, prior to the State approving funding for a proposed project, one of the following must be submitted by the grant applicant:

- a) The Notice of Exemption filed with the county clerk and State Clearinghouse if the proposed project is categorically or statutorily exempt with citation to the exemption(s) being relied upon by the lead agency, **OR**
 - b) The Negative Declaration or Mitigated Negative Declaration adopted by the lead agency and Initial Study, including a copy of the Environmental Checklist Form located in Appendix G of the CEQA Guidelines and the Notice of Determination filed with the County and with the State Clearinghouse. If with the lead agency has adopted a Mitigated Negative Declaration, the Applicant must also provide the adopted mitigation monitoring and reporting program. **** OR**
 - c) The Final Environmental Impact Report certified and adopted by the lead agency with Initial Study including a copy of the Environmental Checklist Form located in Appendix G of the CEQA Guidelines, the adopted mitigation monitoring and reporting program, and the Notice of Determination filed with the County and the State Clearinghouse. Please include any State Clearinghouse Responses received by the applicant.**
- **For b and c: include documentation that the State of California Department of Fish and Game CEQA fee was paid or is not applicable.**
- d) Projects that tier from a Programmatic, Master, or other Environmental Impact Report shall include a copy of any subsequent Initial Study for the proposed project together with a copy of any supplementary environmental documentation adopted by the lead agency, including if applicable, any required findings pursuant to Public Resources Code 21157.1, subdivision (c), and the Notice of Determination, filed with the county clerk and with the State Clearinghouse, as applicable.

Pursuant to Section 75102 of the Public Resources Code, before the adoption of a negative declaration or environmental impact report, the lead agency shall notify the proposed action to a California Native American tribe, which is on the contact list maintained by the Native American Heritage Commission, if that tribe has traditional lands located within the area of the proposed project.

CEQA guidelines
(http://www.ceres.ca.gov/topic/env_law/ceqa/guidelines/)
State Clearinghouse and Planning Unit

APPENDIX C - DISADVANTAGED COMMUNITIES

For the purposes of this program, the project must be primarily or substantially within, adjacent to, or one mile from the geographic boundary of the Disadvantaged Community to be considered "serving" the Disadvantaged Community.

To determine if the Urban Greening project is located within or serves a Disadvantaged Community, the following questions should be addressed:

- What communities are located within the project area?
- Does the project serve any communities located adjacent to or within one mile of the project area?
- Do any of the communities located within the project area, immediately adjacent or within one mile have a median household income (MHI) of less than \$47,942 (80% of the statewide annual median household income)?

Accessing Census Data for Project Service Area:

Applicants may use California State Parks' Community Fact Finder to pinpoint the project service area (within ½ mile radius) and determine its MHI using the following steps:

1. Open <http://www.parkinfo.org/caparks/grantee> to access California State Parks' Community Fact Finder (Beta Version).
2. Once in the Community Fact Finder, obtain MHI data for the project area by (1) entering the project site address or (2) specifying a custom service area by using the zoom feature on the map.
 - a) If the project site has a specific address, click in the box that says "Type Project Address", type the address and click "Go". This brings up the zoom map of the project area. The blue circle represents a ½ mile radius around the project address. To submit the MHI information for the project service area, click "Create Report (PDF)", print report, and submit with the Grant Application as required in the What to Submit section.
 - b) If the project does not have a specific address but will serve a specific neighborhood, parts of the city or county, etc, a custom service area needs to be specified. Double click on the California map on the right side of the screen in the area/city closest to the project. (Use the blue slide bar on the right to switch the map between Northern and Southern California.) Keep double clicking on the area until the map has zoomed sufficiently to show the project area. Also use the arrows on the left side of the map to move left, right, up or down, as necessary. Once the blue circle (representing ½ mile radius) "frames" the project area correctly, click "Create Report (PDF)", print the report, and submit with the Grant Application.

For this program, MHI data must be obtained from the 2007-2008 U.S. Census Report (see Appendix A for U.S. Census Report website).

APPENDIX D – SITE CONTROL/LAND TENURE REQUIREMENTS

The State recognizes that specific activities may change over time; however, the property must remain available for compatible Urban Greening Grant Program use in accordance with the following requirements:

Acquisition Projects

The Grantee or the Grantee's successor in interest shall hold the real property only for the purpose for which the grant was made and make no other use or sale or other disposition of the property without the written permission of the State.

Development Projects

The Grantee shall maintain and operate the property developed pursuant to this grant for a period of:

- a. At least 10 years for Grants up to \$100,000
- b. At least 20 years for Grants up to \$1 million
- c. At least 25 years for Grants over \$1 million

For All Projects

- The Grantee shall not use or allow the use of any portion of the real property for mitigation (i.e., to compensate for adverse changes to the environment elsewhere) without the written permission of the State.
- The Grantee shall not use or allow the use of any portion of the real property as security for any debt.
- With the approval of the State, the Grantee or the Grantee's successor in interest in the property may enter into an agreement with another party to maintain and operate the property in accordance with this program. At a minimum, the agreement must 1) clearly spell out the roles of each party in detail, 2) be signed by both parties signifying their acceptance, 3) not terminate prior to the length of site control/land tenure required by the Grant Agreement (only agreements that allow early termination for cause or by mutual consent will be acceptable) and 4) include language that the Grantee would resume responsibility for ongoing operations and maintenance in the event of cancellation.
- Grantee may be excused from its obligations for operation and maintenance of the project site only upon the written approval of the State for good cause. "Good cause" includes, but is not limited to, natural disasters that destroy the project improvements and render the Project obsolete or impracticable to rebuild.
- At the sole discretion of the State, a document must be recorded against the real property that defines the State's interest in the property (see Appendix E for sample document).

APPENDIX E – SAMPLE MEMORANDUM OF UNRECORDED GRANT AGREEMENT

Recording requested by, and)
when recorded, return to:)
State of California)
Natural Resources Agency)
Bonds & Grants)
1416 Ninth Street, Suite 1311)
Sacramento, CA 95814)

Space above this line for Recorder's use

MEMORANDUM OF UNRECORDED GRANT AGREEMENT

This Memorandum of Unrecorded Grant Agreement (Memorandum), dated as of _____, 20____, is recorded to provide notice of an agreement between the State of California, by and through the Strategic Growth Council ("Council") and

("Grantee").

RECITALS

- On or about _____, _____, Council and Grantee entered into a certain Grant Agreement, Grant No. _____ ("Grant"), pursuant to which Council granted to Grantee certain funds for the acquisition of certain real property, more particularly described in attached Exhibit A and incorporated by reference (the "Real Property").
- Under the terms of the Grant, Council reserved certain rights with respect to the real property.
- Grantee desires to execute this Memorandum to provide constructive notice to all third parties of certain Council reserved rights under the Grant.

NOTICE

- The real property (including any portion of it or any interest in it) may not be sold or transferred without the written approval of the State of California, acting through the Council or its successor, provided that such approval shall not be unreasonably withheld as long as the purposes for which the Grant was awarded are maintained.
- The Grantee shall not use or allow the use of any portion of the real property for mitigation without the written permission of the State.
- The Grantee shall not use or allow the use of any portion of the real property as security for any debt.
- For additional terms and conditions of the Grant, reference should be made to the Grant Agreement, which is on file with the Strategic Growth Council c/o The California Natural Resources Agency, 1416 Ninth Street, Suite 1311, Sacramento, California 95814.

GRANTEE:

By: _____

APPENDIX F - SIGN GUIDELINES

Types of Signs

- 1) A sign is required during construction.
- 2) A signs must be posted upon completion

All Grantees are required to post a sign at the project site. The sign must be available for the final inspection of the project. There is no minimum or maximum size other than the minimum size for the logo as long as the sign contains the required wording.

Language for Signs

All signs will contain the following minimum language:

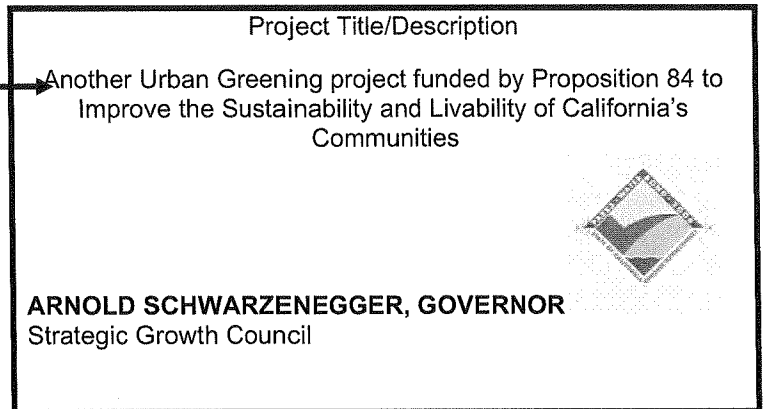
The name of the director of the local agency or other governing body may also be added. The sign may also include the names (and/or logos) of other partners, organizations, individuals and elected representatives.

Logo

All signs must contain a universal logo for the Resources Bond Acts (see above).

The logo is available at

http://www.resources.ca.gov/bonds_prop84_urbangreening.html. The logo must be mounted in an area to maximize visibility and durability. Each side of the logo must be a minimum of 1'X1' - exceptions may be approved when appropriate.



Sign Construction

All materials used shall be durable and resistant to the elements and graffiti. The California Department of Parks and Recreation and California Department of Transportation standards can be used as a guide for gauge of metal, quality of paints used, mounting specifications, etc.

Sign Duration

Project signs must be in place for a minimum of four (4) years from date of project completion.

Sign Cost

The cost of the sign(s) is an eligible project cost. More permanent signage is also encouraged (e.g., bronze memorials mounted in stone at trailheads, on structures, etc.).

Appropriateness of Signs

For projects where the required sign may be out of place or where affected by local sign ordinances, the grants administrator in consultation with the grantee may authorize a sign that is appropriate to the project in question.

Signs on State Highways

Signs placed within the state highway right-of-way may require a Caltrans encroachment permit. Contact your local Caltrans District Office early in the Planning phases for more information. You can find your local Caltrans District Office by visiting <http://www.dot.ca.gov/localoffice.htm>.

State Approval

The Grantee shall submit proposed locations, size, number of signs and language for review prior to ordering signs. Funds for development projects will not be reimbursed until signage has been approved and installed.

APPENDIX G - RESOLUTION TEMPLATE

Resolution No: _____

**RESOLUTION (GOVERNING BODY OF GRANTEE)
APPROVING THE APPLICATION FOR GRANT FUNDS FOR
THE URBAN GREENING GRANT PROGRAM UNDER THE SAFE DRINKING WATER, WATER
QUALITY AND SUPPLY, FLOOD CONTROL, RIVER AND COASTAL PROTECTION
BOND ACT OF 2006 (PROPOSITION 84)**

WHEREAS, the Legislature and Governor of the State of California have provided funds for the program shown above; and
WHEREAS, the Strategic Growth Council has been delegated the responsibility for the administration of this grant program, establishing necessary procedures; and
WHEREAS, said procedures established by the Strategic Growth Council require a resolution certifying the approval of application(s) by the Applicants governing board before submission of said application(s) to the State; and
WHEREAS, the applicant, if selected, will enter into an agreement with the State of California to carry out the Project

NOW, THEREFORE, BE IT RESOLVED that the _____ (Governing Body)

1. Approves the filing of an application for the (name of the project);
2. Certifies that applicant understands the assurances and certification in the application, and
3. Certifies that applicant or title holder will have sufficient funds to operate and maintain the project consistent with the land tenure requirements; or will secure the resources to do so, and
4. Certifies that it will comply with the provisions of Section 1771.8 of the State Labor Code regarding payment of prevailing wages on Projects awarded Proposition 50 Funds, and
5. If applicable, certifies that the project will comply with any laws and regulations including, but not limited to, legal requirements for building codes, health and safety codes, disabled access laws, environmental laws and, that prior to commencement of construction, all applicable permits will have been obtained, and
6. Certifies that applicant will work towards the Governor's State Planning Priorities intended to promote equity, strengthen the economy, protect the environment, and promote public health and safety as included in Government Code Section 65041.1, and
7. Appoints the (designate position, not person occupying position) _____, or designee, as agent to conduct all negotiations, execute and submit all documents including, but not limited to applications, agreements, payment requests and so on, which may be necessary for the completion of the aforementioned project(s).

Approved and adopted the _____ day of _____ 20____. I, the undersigned, hereby certify that the foregoing Resolution Number _____ was duly adopted by the _____ (Governing Body)

Following Roll Call Vote: Ayes: _____
 Nos: _____

Absent: _____

Clerk/Secretary for the Governing Board

APPENDIX H - ELIGIBLE COSTS

Direct project-related costs incurred during the project performance period specified in the grant agreement may be eligible for funding and must be supported by appropriate documentation. Costs incurred outside of the performance period and indirect costs are not eligible.

Projects financed with funds made available by the Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Bond Act of 2006 (Proposition 84) must comply with Labor Code Section 1771.8. Include prevailing wages in your cost estimates, as applicable. Refer to the Department of Industrial Relations' Division of Labor Statistics and Research website at <http://www.dir.ca.gov/DLSR/PWD/index.htm> for general prevailing wage determinations.

Development

1. Project Management/Non-Construction – Up to 25 percent (25%) of the grant funds for a development project may be spent on project management costs/non-construction costs including but not limited to CEQA compliance, environmental assessments, planning and design, architecture and engineering, construction plans, permitting, direct project administration and management.

The State will award pre-implementation funds for eligible proposed projects provided the applicant agrees that if the proposed project is not ultimately approved for implementation or awarded funding by the State, but is instead funded and implemented by entities independent of the State, and which rely in whole or in part on the environmental documentation paid for by the pre-implementation award, that upon approval by those other entities, all funds expended by the State for the environmental review will be repaid.

2. Personnel or Employee Services – Costs for services of the grantee's employees directly engaged in project execution must be computed according to the Grantee's prevailing wage or salary scales, and may include fringe benefit costs such as vacations, sick leave, Social Security contributions, etc., that are customarily charged to the recipient's various projects. Costs charged to the project must be computed on actual time spent on the project and evidenced by time and attendance records describing the work performed on the project as well as payroll records. Overtime costs may be allowed under the recipient's established policy provided the regular work time was devoted to the same project.

Salaries and wages claimed for employees working on State grant funded projects must not exceed the Grantee's established rates for similar positions.

3. Construction
 - All necessary labor and construction activities to complete the project are eligible, including site preparation (demolition, clearing and grubbing, excavation, grading), monitoring (including soil and water testing during construction), onsite/field supervision, etc.
 - Equipment - Equipment owned by the grantee may be charged to the project for each use. Equipment use charges must be made in accordance with the Grantee's normal accounting practices. The equipment rental rates published by the California Department of Transportation or local prevailing rental rates may be used as a guide.

If the Grantee's equipment is used, a report or source document must describe the work performed, indicate the hours used, relate the use to the project, and be signed by the operator and supervisor.

Equipment may be leased, rented, or purchased, whichever is most economical. If equipment is purchased, its residual market value must be credited to the project costs upon completion of the project.

- Supplies and Materials – Supplies and materials may be purchased for a specific project or may be drawn from a central stock, providing they are claimed at a cost no higher than paid by the grantee. When supplies and/or materials are purchased with the intention of constructing a piece of equipment, a structure or a part of a structure, the costs that are charged as supplies and materials may be capitalized according to the Grantee's normal practice or policy. If capitalized, only that cost reasonably attributable to the project may be claimed under the project.
4. Contracted Services - may be reimbursed if invoices are presented with payment requests that identify the specific project activities and are supported by evidence of payment.
 5. Other Expenditures - In addition to the major categories of expenditures, funding may be provided for miscellaneous costs necessary for execution of the Project at the discretion of the State. Some of these costs may include:
 - Premiums on hazard and liability insurance to cover personnel and/or property.
 - Work performed by another section or department of the grantee's agency that can be documented as direct costs to the project (see requirements above under Personnel or employee services).
 - Transportation costs for moving equipment and/or personnel.
 - Fiduciary Bond (non profits)

Acquisition

1. Acquisition – Costs of acquiring real property are eligible and include the purchase price of the property at approved Fair Market Value, appraisals, surveys for boundary adjustments, preliminary title reports, escrow fees and title insurance fees. Direct staff and consultant costs are limited to \$10,000 per grant. Costs of obtaining State approvals of purchase price and transaction reviews from the State Department of General Services are also allowable.
2. Relocation Costs - Relocation costs are allowable for Acquisition projects that result in displacement of any person and/or business. Grantee must comply with the State Relocation Act requirements (Chapter 16, Section 7260 et seq., Government Code) even if relocation costs are not claimed for funding as part of the grant request.

All Projects

1. Contingency – Up to 10% of the grant may be budgeted for contingency costs. All such costs must be eligible per these guidelines. Contingency funds may not be used to increase the amount of funds that can be used for project management/non-construction.
2. Signs and Interpretive Aids – Costs include construction of exhibits, kiosks, display boards or signs located at and communicating information about the Urban Greening Project and the costs of required funding acknowledgement signs (see Appendix F).

APPENDIX I - APPLICATION CHECKLIST

Application Packets should be organized in the following order. Clearly number and label each item and number all pages in sequential order. The appropriate number of copies should be provided. Bind packages with binder clips only. Do not put in folders, binders or notebooks. **Note: Incomplete applications may not be evaluated or considered for funding.**

Submit one (1) unbound original and five (5) unbound copies of items 1 - 19:

- | | |
|---|--------------------------|
| 1. Completed Application Form (Face/signature page) | <input type="checkbox"/> |
| 2. Summary Statement | <input type="checkbox"/> |
| 3. Project Questions | <input type="checkbox"/> |
| 4. Site Plan | <input type="checkbox"/> |
| 5. Plant Palette | <input type="checkbox"/> |
| 6. Cost Estimate/Budget (Development Projects) | <input type="checkbox"/> |
| 7. Land Acquisitions Form (Cost Estimate for Acquisition Projects) | <input type="checkbox"/> |
| 8. Assessor's Parcel Map (acquisitions only - project area highlighted) | <input type="checkbox"/> |
| 9. Project Photographs | <input type="checkbox"/> |
| 10. Timeline | <input type="checkbox"/> |
| 11. Property Data Sheet | <input type="checkbox"/> |
| 12. Adequate Site Control/Land Tenure | <input type="checkbox"/> |
| 13. Operations & Maintenance Documents | <input type="checkbox"/> |
| 14. Permit/Approval Status | <input type="checkbox"/> |
| 15. Evidence of Willing Seller (Acquisition Projects) | <input type="checkbox"/> |
| 16. Signed Authorizing Resolution or Certification Letter from CEO | <input type="checkbox"/> |
| 17. Eligibility for Nonprofit Applicants | <input type="checkbox"/> |
| 18. Disadvantaged Community | <input type="checkbox"/> |
| 19. Collaboration Letter | <input type="checkbox"/> |
| Submit one complete copy of item 20: | |
| 20. CEQA documentation | <input type="checkbox"/> |

APPENDIX J - APPLICATION FORM

**State of California - The Strategic Growth Council
URBAN GREENING GRANT PROGRAM**

APPLICANT (Agency and address - including zip)		Grant Amount Requested: \$	
		Estimated Date of Completion	
Check all that apply:		Estimated Total Project Cost: \$	
Non-Profit <input type="checkbox"/>		(Including State Grant, other funds and In-Kind donations)	
City <input type="checkbox"/>			
County <input type="checkbox"/>		County	Nearest City to Project
Applying for targeted Disadvantaged communities Grant <input type="checkbox"/>			
Project Name		Project Address	
		Nearest Cross Street	
		Senate District No.	Assembly District No.
Applicant's Representative Authorized in Resolution			
Name:		Title:	
Phone:		Email Address:	
Person with Day to Day Responsibility for Project (if different from Authorized Representative)			
Name:		Title:	
Phone:		Email Address:	
Brief Description of Project		Latitude	Longitude
(Summarize major activities to be funded by this Grant)			
		Coordinates Represent: _____	
		Coordinates Determined Using: _____	
		(See next page for instructions and choices)	
STATUTORY REQUIREMENTS - check all that apply to your project		Project Data: Please enter the quantity (to nearest 0.1 unit) on all the following measures that apply to your Project	
<input type="checkbox"/> Uses Natural Systems or Uses Systems that Mimic Natural Systems			
<input type="checkbox"/> Creates, Enhances or Expands Community Green Spaces		Acres of Habitat to be <u>Acquired</u>	
		Acres of Green/Open Space to be <u>Acquired</u>	
<input type="checkbox"/> Provides the Following Multiple Benefits		Acres Green Space to be Restored/Enhanced/Managed	
<input type="checkbox"/> Decreases Air and Water Pollution		Acres of Habitat to be Created/Enhanced/Restored	
<input type="checkbox"/> Reduces the Consumption of Natural Resources and Energy		Acres of Invasive Species to be Eradicated/Treated	
<input type="checkbox"/> Increases the Reliability of Local Water Supplies		Acres of Wetland to be Created/Preserved	
<input type="checkbox"/> Increases Adaptability to Climate Change		Acre Feet of Stormwater to be Captured	
<input type="checkbox"/> List Other Multiple Benefits not identified above:		Acres of Park and/or Community Garden to be Created or Enhanced	
		Miles of Recreation Trail to be Created/Enhanced	
		Metric Watt Hours (MWh) Usage to be Reduced	
URBAN GREENING PRIORITIES		Trees to be planted	
<input type="checkbox"/> Uses Interagency Cooperation and Integration		Milligrams per Liter (Mg/L) of Pollutant Reduced	
<input type="checkbox"/> Uses Existing Public Lands and Facilitates Use of Public Resources and Investments, including Schools		Other:	
<input type="checkbox"/> Is Proposed by an Economically Disadvantaged Community		Other:	
<input type="checkbox"/> Improves Public Health		Other:	
<input type="checkbox"/> Is Innovative or Unique		Other:	
<input type="checkbox"/> Is Proposed by a Community Vulnerable to Climate Change			
I certify that the information contained in this project application, including required attachments, is complete and accurate.			
Signature:		Date	
Applicant's Authorized Representative as shown in Resolution			
Print Name and Title:			

Latitude/Longitude (Degrees/Minutes/Seconds)

The application must include the coordinates of the general center point of your Urban Greening Project. This information can be obtained using the Internet at www.topozone.com as follows:

- Enter the location (city/county/township, etc.) of your Project and "California" under "Place Name Search" ---- a map of the general area will be displayed.
- Click on location (city/county/township, etc.) ---- if more than one location appears, click appropriate one.
- Put cursor on your specific project site location and click ---- the map will zoom in on the new location moving the red target symbol to the correct location. You can enlarge the MAP by clicking on the upper frame of the map on either 1:25,000 or 1:50,000 (1:100,000 will take you back to the initial resolution and 1:200,000 will allow you to zoom out further).
- Once you have found the location of your project, scroll down and under "Coordinates", click on "D/M/S" (degrees, minutes, and seconds) ---- the information will then be displayed at the TOP of the map.

If you have any difficulty with Topozone, or need help locating your project, you can contact the California Natural Resources Agency, CERES Program at (916) 653-7142., or by e-mail at casil@gis.ca.gov.

Please indicate the origin of the Latitude and Longitude by using the choices below.

Coordinates Represent:

- Actual location
- Rough center of area
- General Vicinity
- One of multiple locations
- Entrance/starting point
- All of selected county
- All of selected city
- All of the selected zip code
- Unknown
- Other

Coordinates Determined Using:

- GPS Device
- This GIS Website Map
- TopoZone.com or similar
- Paper Map
- Unknown
- Other

APPENDIX K - COST ESTIMATE FORM FOR DEVELOPMENT URBAN GREENING PROJECTS
The Safe Drinking Water, Water quality and Supply, Flood Control, River and Coastal Protection Bond Act of 2006
Proposition 84 – Urban Greening Grant Program

All cost elements included should be clearly described in the project description section.

PROJECT ELEMENT - (SAMPLES ONLY)	Unit Price	Unit of Measure	Quantity	Total Amount	SGC Prop 84 Grant	Named Funding Source 1	Named Funding Source 2	Named Funding Source 3
	\$			\$	\$	\$	\$	\$
1 Project Management Costs								
Planting palette/design								
Permits/CEQA								
Technical consulting								
Subtotal Task 1 (not to exceed 25% of grant)								
2 Site Preparation								
Clearing/Grubbing								
Grading								
Mobilization								
Subtotal Task 2								
3 Materials								
Trees								
Irrigation								
Native plants								
Subtotal Task 3								
4 Other								
Hazard Insurance								
Signs & interpretive aids								
Bond								
Subtotal Task 4								
5								
Contingency								
(not to exceed 10% of Grant)								
Subtotal Task 5								
6								
Subtotal Task 6								
Grand Total								

Task listing should be detailed and customized to fit your project proposal. Each funding source, whether In-Kind or cash should have its own column. Specify In-Kind or cash in each column heading. The unit price multiplied by the quantity equals the Total Amount column. The SGC Grant and Other Funding Sources should also sum to the Total Amount column.

APPENDIX L – LAND ACQUISITIONS FORM

**THE SAFE DRINKING WATER, WATER QUALITY SUPPLY, FLOOD CONTROL, RIVER
AND COASTAL PROTECTION BOND ACT OF 2006 – Proposition 84
Urban Greening Grant Program**

(Please complete one form for each separate escrow - see instructions on reverse)

Project Title:				
Assessor's Parcel Number(s)	Acreage	Indicate Fee or Easement	Willing Seller Name and Address:	
ACQUISITION COST ESTIMATE				
	Total Costs	Prop. 84 Urban Greening	Other Sources of Funds (specify by name)	
1. Estimated Fair Market Value of property:				
2. Relocation Costs				
3. Preliminary costs: a. Preliminary Title Reports, Appraisal				
b. Escrow Fees, Title Insurance, Closing Costs.				
c. Surveying (limited to boundary line adjustment)				
d. Direct costs (staff and consultants – limited to \$10,000 per grant)				
4. State approval costs of appraisal, transaction review, etc.				
5. Contingency (not to exceed 10% of total grant)				
6. Required signage				
7. Other (specify)				
Grand Total				

Land Acquisitions Form - Instructions

Please complete a separate form for each escrow.

- 1. Estimated Fair Market Value of Land and Improvements** – Provide estimate for each parcel. On a separate sheet, describe existing improvements and explain proposed use of disposition.

Note: The State must approve Fair Market Value of the Acquisition.

- 2. Relocation Costs** – Attach additional pages as needed. Provide a parcel-by-parcel analysis of the extent of the relocation assistance required by the State Relocation Act (Chapter 16, Section 7260, and Government Code). Include at a minimum:

- a. The number of persons/businesses displaced.
- b. The types of displaced entities (families, small retail businesses, large wholesale or manufacturing enterprise, farms, churches, hospitals, etc.).
- c. The tenure (month-to-month rent, long-term lease or fee title) of the displaced entities.
- d. Any special problems inherent in relocating the displaced entities (lack of adequate replacement housing, large inventory of merchandise to be moved, or unique quality of the enterprise difficult to duplicate at any other location).

- 3. Preliminary Costs** – Provide estimate of preliminary Acquisition costs. Note: Direct staff and consultant costs are limited to \$10,000 per grant.
- 4. Cost of State approval of appraisal, transaction review, etc.** – For cost estimation purposes, use \$10,000 per escrow.
- 5. Contingency** – Grantees are allowed to calculate ten percent (10%) for contingency on Acquisition Projects to cover unexpected eligible costs.
- 6. Required Signage** – Provide estimated cost of required signage (see Appendix F).

APPENDIX M - WILLING SELLER LETTER
(Acquisition Projects Only)

All acquisition application packages must include willing seller letters from each legal owner. The letter must include the following information and be signed and dated from the legal owner(s) of each parcel to be acquired.

(Sample -- Willing Seller Letter)

Date:

To: Urban Greening Grant Program c/o California Natural Resources Agency

From: Name(s) of Legal Owner (*Trust, etc.*)
Address of Legal Owner(s)

Re: Parcel numbers:
County:
Property Address:

To Whom It May Concern:

This letter is provided to confirm that (*name of owner, trust, etc.*), owner of the above referenced property, is a willing participant in the proposed real property transaction. Should grant funds be awarded to the grant applicant (*name of grant applicant*), then (*name of owner, trust, etc.*), as Seller, is willing to enter into negotiations for the sale of the real property for a purchase price not to exceed fair market value.

Acknowledged:

Signature of land owner (trustee, etc.)

Date signed

APPENDIX N - PROPERTY DATA SHEET

Use the Property Data Sheet to list the owner(s) of all parcels included in the proposed project. Indicate and attach all required documents including any clarifying comments below. Attach additional sheets if necessary.

No	Owner Name	Assessor Parcel Number(s)	Acreage	If parcel(s) owned by applicant(s), indicate type of ownership			For all parcels, indicate document used to demonstrate ownership and attach a copy of each document-clearly labeled with the APN-to this document	If parcel(s) not owned by applicant(s) indicate document verifying Permission to Develop and attach					Entity to perform O&M	# of years O&M to be performed	
				Fee Simple	Easement	Other (describe)		O&M Agreement	Lease	JPA	Letter from Owner	Other (describe)			
1															
2															
3															
4															
5															
6															
7															
8															
9															
10															

Comments:

Total Number of Parcels: _____ Total Number of Acres: _____

APPENDIX O - PROJECT PERMIT/APPROVAL STATUS

List is not all inclusive. It is Grantee's responsibility to comply with all applicable permits.

Permitting Agency	Type of Requirement	Required ?	Applied ?	Acquired ?	Date Anticipated
State Agencies:					
California Department of Fish and Game	Streambed Alteration Agreement Permit (Section 1600)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
California Department of Fish and Game	Incidental Take Permit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
CalTrans	Encroachment Permit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Coastal Commission	Coastal Development Permit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Coastal Commission	Letter of Consistency	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Regional Water Quality Control Board	401 Water Quality Certification or Waste Discharge Requirement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
State Water Resources Control Board	Water Rights Permit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
State Water Resources Control Board	General Industrial Storm Water Permit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Central Valley Flood Protection Board	Permission to Encroach on Waterways within Designated Floodways	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
State Lands Commission	Permit required if using State owned property	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
State Office of Historic Preservation	Cultural Resources-Submission of findings to State Historic Preservation Officer (National Historic Preservation Act, Section 106)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Federal Agencies					
U.S. Fish and Wildlife Service (USFWS)	Section 7 consultation if federal nexus (see ACOE), or Section 10 Permit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
U.S. Army Corps of Engineers (ACOE)	Clean Water Act, Section 404 Permit, will consult w/USFWS & NMFS Section 7	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
U.S. Army Corps of Engineers	Rivers and Harbors Act, Section 10 Permit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
U.S. Coast Guard / U.S. Army Corps of Engineers	Rivers and Harbors Act, Section 9 Permit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
U.S. National Resources Conservation Service	Consultation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
National Marine Fisheries Service (NMFS)	Section 7 consultation if federal nexus see ACOE, or Section 10 Permit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Local and Regional Planning Agencies					
City/County	Grading Permit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
City/County	Environmental Health Department	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
San Francisco Bay Conservation and Development Commission	Any relevant permit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Tahoe Regional Planning Agency	Any relevant permit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Local Resource Conservation District	Consultation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Flood Control Districts	Floodway & Hydrological	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

APPENDIX P – HEALTHY COMMUNITY

Promoting public health is one of the objectives of the Strategic Growth Council which it balances with its other objectives to promote sustainable communities. To further understand what characterizes a Healthy Community, the Department of Public Health provided the following:

A healthy community is one that meets the basic needs of all residents, ensures quality and sustainability of the environment, provides for adequate levels of economic and social development; and assures social relationships that are supportive and respectful. A healthy community strives for the following through all stages of life:

Meets basic needs of all -

- Safe, sustainable, accessible and affordable transportation options
- Accessible and nutritious healthy foods
- Affordable, high quality, socially integrated and location-efficient housing
- Complete and livable communities including affordable and high quality schools, parks and recreational facilities, child care, libraries, financial services, health care and other daily needs

Quality, sustainability of the environment –

- Clean air, soil and water, and environments free of excessive noise
- Preserved natural and open spaces, including agricultural lands
- Minimized waste, toxics, and greenhouse gas emissions
- Affordable and sustainable energy use

Adequate levels of economic, social development -

- Living wage, safe and healthy job opportunities for all
- Support for healthy development of children and adolescents
- Opportunities for high quality and accessible education
- Health and social equity

Social relationships supportive, respectful

- Robust social and civic engagement
- Socially cohesive and supportive relationships, families, homes, and neighborhoods
- Safe communities, free of crime and violence

APPENDIX Q - DEFINITIONS

Unless otherwise stated, the terms used in these grant guidelines have the following meanings:

Acquisition means obtaining a fee interest or any other interest, including easement, leases, and development rights.

Adaptability means the ability of natural or human systems to adjust in response to actual or expected climatic stimuli or their effects, which minimizes harm or takes advantage of beneficial opportunities.

Applicant means an eligible organization requesting funding from this program to be administered by the State.

Bond or Bond Act means Proposition 84, the Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Bond Act of 2006.

Census Designated Place is a census geography used by the U. S. Census Bureau that is a statistical entity, defined for each decennial census according to Census Bureau guidelines, comprising a densely settled concentration of population that is not within an incorporated place, but is locally identified by a name. CDPs are delineated cooperatively by state and local officials and the Census Bureau, following Census Bureau guidelines.

CEQA means the California Environmental Quality Act, Public Resources Code Section 21000 et seq.; Title 14, California Code of Regulations, Section 15000 et seq.

Consistent With means compatible with, not contradictory to, or in agreement with. In this program, a proposal must be "consistent with" state planning priorities as stated in Government Code 65041.1, regional plans as defined and any applicable state adopted plans.

Council means the Strategic Growth Council established pursuant to Section 75121, Chapter 729.

Disadvantaged Community means a community with a median household income less than 80% of the statewide average. "Severely disadvantaged community" means a community with a median household income less than 60% of the statewide average (see Appendix C for guidance in determining if your community fits the definition).

Environmental Justice is the fair treatment of people of all races, physical and cognitive abilities, cultures and income with respect to the development, adoption, implementation, and enforcement of environmental laws, regulations and policies.

Evapotranspiration is the loss of water to the atmosphere by the combined processes of evaporation (from soil and plant surfaces) and transpiration (from plant tissues).

Fair Market Value means the value placed upon the property as supported by an appraisal that has been reviewed and approved by the California Department of General Services.

Fund or Funds means the Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund 2006

Grant Agreement means a contractual arrangement between the State and grantee specifying the payment of funds by the State for the performance of specific Urban Greening Project objectives within a specific project performance period by the grantee.

Grantee means an applicant that has an agreement for grant funding with the State.

Grants Administrator means an employee of the State who manages the grants.

Graywater means untreated water which has not come into contact with toilet waste. Graywater includes used water from showers, bath tubs, bathroom wash basins, clothes washing machines and laundry tubs or equivalent discharge as approved by the Administrative Authority. It does not include waste water from kitchen sinks, photo laboratory sinks, dishwashers or laundry water from soiled diapers. Graywater can be used for parks, open spaces, golf courses, landscaped medians or temporary irrigation to establish newly planted material, etc.

Green Roof is a vegetative layer grown on a rooftop to mitigate the urban heat island effect and improve energy efficiency by shading roof surfaces and removing heat from the air through evapotranspiration.

Greenhouse gases include, but are not limited to, carbon dioxide, methane, nitrous oxide, hydrofluorocarbons, perfluorocarbons and sulfur hexafluoride.

Healthy Community – See Appendix P

In-Kind means non-cash donations from governmental or private sources, and includes volunteers, materials and services.

Indirect/Overhead Costs means expenses of doing business that are of a general nature and are incurred to benefit at least two or more functions within an organization. These costs are not usually identified specifically with a grant, grant agreement, project or activity, but are necessary for the general operation of the organization. Examples of indirect costs include salaries and benefits of employees not directly assigned to a project; functions such as personnel, business services, information technology, janitorial, and salaries of supervisors and managers; and overhead such as rent, utilities, supplies, etc.

Interagency Cooperation means cooperation/collaboration between cities, counties, or other local entities, including private partnerships.

Landscape means arranging or modifying the features of a natural environment, such as planting trees, turf, flowers and/or shrubs.

Nonprofit Organization means any nonprofit corporation qualified to do business in California, and qualified under Section 501 (c) (3) of the Internal Revenue Code.

Other Sources of Funds means cash or in-kind contributions that are required or used to complete the Urban Greening project beyond the grant funds provided by this program.

Plant Palette is a recommended list of plants (shrubs, trees, etc.) which are appropriate and sustainable for a given jurisdiction and/or urban environment, considering economic, environmental, and social factors such as rainfall, terrain, soil, maintenance requirements, appearance, desired function, and public use.

Project Planning Costs means costs associated with specific preparations necessary to execute eligible Urban Greening projects. Planning includes conceptual designs, pre-schematic work, such as initial architectural and engineering plans prepared during the preliminary project phase; schematic documents; technical consulting; construction design; preparation of construction bidding documents; permits or appraisals. Planning costs are distinct from “hard” project costs of actual construction or acquisition.

Project means the activity to be accomplished with grant funds, and other funds if necessary, that meet the intent of the statutory conditions.

Project Performance Period refers to the beginning and ending dates of the Grant Agreement. Eligible costs incurred during this period may be funded from the grant.

Project Scope means the description or activity of work to be accomplished by the Urban Greening project.

Proposition 84 - See "Bond"

Regional Plan means either of the following: 1) A long-range transportation plan developed pursuant to Section 134(g) of Title 23 of the United States Code and any applicable state requirements, OR 2) A regional blueprint plan, which is a regional plan that implements statutory requirements intended to foster comprehensive planning as defined in Section 65041.1 of, Chapter 2.5 (commencing with Section 65080) of Division 1 of title 7 of, and Article 10.6 (commencing with Section 65580) of Chapter 3 of Division 1 of Title 7 of, the Government Code.

Restore or Restoration means to establish some of the structures, functions or dynamics of an indigenous (native) ecosystem.

Site Control means applicant owns the project land or has other legal long-term interest with the landowner giving permission to develop the project and provide long-term maintenance, as applicable, satisfactory to the State.

State means the Strategic Growth Council, the Natural Resources Agency, or its representative.

Traditional Lands are synonymous with aboriginal or indigenous cultural territories or areas generally defined by natural boundaries containing static and transient habitation sites used for subsistence hunting, fishing and gathering that may have fluctuated and overlapped over time and where religious practices were culturally significant to the Indian tribe or their ancestors.

Urban Forest means those native or introduced trees and related vegetation in the urban and near-urban areas including, but not limited to, urban watersheds, soils and related habitats, street trees, park trees, residential trees, natural riparian habitats, and trees on other private and public properties (reference PRC 4799.09).

Urban Forestry means the cultivation and management of trees in urban areas for their present and potential contribution to the economic, physiological, sociological, and ecological well-being of an urban society (reference PRC 4799.09).

Urban Greening is a community-based effort to plan, plant, care, and manage flora, structures and spaces, which lead to increased forest canopy, reduced storm water runoff, improved air and water quality, energy conservation, open space and ultimately, more sustainable communities.

Urban Heat Island means a metropolitan area which is significantly warmer than its surrounding rural areas due to modification of the land surface by urban development.

URBAN GREENING PROJECT DESCRIPTIONS

1) Union Street: Green Street Pilot Project (\$1M)

The Downtown Community Plan (DCP) designates Green Streets at ten different locations that are intended to connect open spaces and parks with downtown amenities. For the purpose of this grant request, Union Street, comprising of six city blocks (approximately 1/2 of a mile in length) will be the focus of a Green Street Pilot Project because of its direct proximity to Washington Elementary School, Amici Park, two proposed parks/plazas, light rail stations, central employment areas, regional bus routes and the redevelopment of the Superior Court and Federal Court buildings.

Improvements to the six blocks of Union Street will include the following: a continuous bicycle lane; increased sidewalk width from 14 feet to 18-20 feet (approximately 15,000 square feet of additional sidewalk and landscaped pedestrian areas), 36 mid-block energy efficient pedestrian lights, up to 1,800 linear feet of a storm water management drought resistant bio-swale system, cementitious sidewalk material that utilizes up to 45-percent recycled/reused content and up to 120 canopy trees. These items will be refined during a public outreach process

The grant request will assist in the four blocks that will be funded by Centre City Development Corporation (CCDC) Tax Increment Financing (TIF). Other funding sources may include potential private development, the San Diego Gas and Electric (SDG&E) Partnership Program and San Diego Association of Governments (SANDAG) TransNet Smart Growth Funds. The last two blocks will be built by the new Superior Court building. Additional project resource support and assistance will be provided by the United States Environmental Protection Agency (EPA) through the Collaborative for Sustainable Transportation and Infrastructure Construction Program (CSTIC).

Community Participation and Public Outreach Efforts

While the locations and general descriptions of the Green Street were identified during the DCP update, analysis of street configurations and sustainability features were facilitated during the public outreach process and implementation of two planning documents: Downtown Design Guidelines and Sustainability Master Plan, both are expected to be completed by May 2010. The Downtown Design Guidelines defined three specific Green Street configurations in both plan and section that were presented at two public open houses and discussed during 12 stakeholder interviews. The Sustainability Master Plan ("Centre City Green") developed the concepts by adding measurable sustainability features with a particular focus on energy, water and materials.

Key Stakeholders and Projected Impacts

The Green Street Pilot Project will promote a comprehensive community-based approach that will be coordinated with downtown residents, businesses and neighborhood groups and will include representatives from the city of San Diego (“City”) and the local Public Maintenance Improvement District (PBID) as key stakeholders.

Anticipated accomplishments and projected impacts for implementation include: a) expansion of the open space system, connecting two parks and providing direct access to disadvantaged communities that are located nearby; b) streetscape design that saves energy, water, protects the bay, provides for transit options and employs sustainable materials, thus ensuring the reduction of carbon emissions and that Assembly Bill 32 is met; c) streetscape design that can be exported regionally, resulting in details that can be standardized into the City’s Streetscape Manuals for implementation from private development; and d) creation of a public amenity that provides a catalyst for redevelopment, creating permanent employment and green construction jobs.

2) East Village Green and St. Joseph Park Acquisition (\$1M)

East Village Green is a proposed 3.22-acre park located in the East Village District, bounded by F and G Streets to the north and south, and 13th and 15th Streets to the west and east. The park is divided by 14th Street into a west and east block portions. The total acquisition costs are \$66.5 million dollars for both west and east blocks. CCDC has completed the purchase of the entire west block for \$31 million. CCDC is currently acquiring the remaining east block, for which the requested grant would be used to offset expenses.

As the largest park in eastern downtown, it will feature open lawn areas; an informal amphitheater in the form of gently sloping terrain; outdoor seating; shade trees, gardens and trellises; and play areas, including a tot lot. A smaller paved area (between 13th and 14th Streets) may be utilized for events such as a farmers’ market. The ability to close 14th Street will allow the park to become one large public gathering space for neighborhood and community events. East Village Green is also envisioned to become the southern anchor for a Neighborhood Center in East Village by incorporating active ground-floor uses, such as cafés and shops, within buildings on surrounding street fronts.

St. Joseph’s Park is a proposed 1.38-acre park in the Cortez Hill District, which is bounded by Beech Street to the north, Ash Street to the south, 3rd Avenue (a “Selected Green Street”) to the west, and 4th Avenue to the east. Acquisition costs for the park are estimated at \$31.5 million for which the requested grant would be used to offset.

The park will feature ample open lawn for active recreation and public gathering; play equipment for children of different age groups; and a north-south linear path for strolling. St. Joseph’s

Cathedral is envisioned to become an iconic backdrop of the park. The park will serve the Civic/Core workforce and visitors, as well as residents from the Cortez Hill neighborhood.

3) Streamview Drive Green/Complete Street Project (\$1M)

A Neighborhood Refurbishment Plan has been proposed for Streamview Drive between 54th Street and College Avenue. As part of the revitalization program, the City of San Diego traffic engineers have over the years considered alternatives for reducing speeding and cut-through vehicles on Streamview Drive. The City has considered and evaluated neighborhood traffic calming devices such as traffic circles, sidewalks, pedestrian ramps, median improvements, and striping to mitigate these problems. Visual and esthetic factors have also been considered.

The proposed Streamview Drive Green/Complete Street project consists of the design and construction of Phase One (Lynn Street to Gayle Street) of the overall Streamview Drive project which spans from 54th Street to College Avenue. The overall project consists of reducing the curb to curb street width from the current 94 feet to approximately 60 feet, while accommodating current and future traffic demand, and providing Class II Bicycle Facilities, preserving parking, providing traffic calming measures such as roundabouts, chicanes, and chokers, and enhancing the pedestrian environment by providing wider sidewalks with potentially green buffer areas that separate vehicles from pedestrians. These green buffer result from a significant reduction of the previously asphalt paved areas, and represent an excellent opportunity to demonstrate innovative greening storm water management techniques, such as low flow storm water diversion, bio-swales, and permeable surfaces among others.

Preliminary engineering concept plans for Streamview Drive have been reviewed by the City of San Diego Storm water Department. And the current concept plans provide many opportunities for incorporating innovative storm water management concepts due to the current excessive width of the street.

Community Participation and Public Outreach

A team lead by residents of the community and residents of Streamview Drive has been assembled to provide critical feedback regarding the concerns and vision of the residents. The recognized community planning group, redevelopment project area committee, and elected officials representing the area are closely involved in the concept development and design process for the project.

Key stakeholders and projected impacts:

Monthly meetings and walk audits to identify the issues and concerns with full community participation of all the above stakeholders are held regularly.

The concepts in this project offer various sustainable mobility elements such as accommodations for transit, bicycle facilities, and a pleasant and safe walking environment that is expected to reduce carbon emissions and improve the quality of life for the residents that live in the area.

4) Mt. Abernathy Drive Green Street (\$650,000)

Mt. Abernathy Drive- Green Street is located in the Clairemont Mesa community and the project components are primarily in a low-density residential area of Council District 6. The project was initiated to comply with the Storm Water Department's Strategic Plan which identifies activities to meet pollutant load reductions as part of the Total Maximum Daily Load (TMDL) or the San Diego County Municipal Storm Water Permit (Permit) requirements. The project satisfies the "green street" objective for the Mission Bay & La Jolla Watershed and, because Mt. Abernathy is located in the Tecolote Creek sub-watershed, this project specifically targets the indicator bacteria TMDL for Tecolote Creek.

The project consists of three types of landscaped bio-infiltration and bio-filtration basins in the area around Mt. Abernathy drive. The basins are designed to capture the first 0.25" of rain over the entire 18 acre drainage area and filter the water through plant material and layers of soil and base rock that will serve as the treatment. The three types of basins differ in the way the treated water is released. In one type, the treated water enters a perforated pipe which leads to the storm drain. In the second type, the treated water is collected in an underground storage tank and slowly allowed to infiltrate. In the third type of basin, the water is allowed to infiltrate directly from the basin.

The landscaping in the basins will serve three purposes. First, the plant material serves as a filter for trash and sediment that is carried by the storm water. Second, the roots of the plants use the storm water as irrigation. And lastly, the presence of the landscaped planter serves as a visual reminder to the community that storm water is being reused.

The project is currently in the design stage. Construction is scheduled to take place from late 2011 to Spring 2012. Total project cost is currently estimated at \$1.71 million.

Key stakeholders and projected impacts:

The City of San Diego's Storm Water and Engineering & Capital Projects departments are key stake holders in this project along with the community group and the residents along the affected streets. There will be two community presentations before the start of construction: one approximately mid way through design when the community can offer suggestions and a second once the final design is finished.

5) Southerest Park Infiltration (\$422,000)

Southerest Park Infiltration for Chollas Creek is located in the Southeastern San Diego community and the project components are in Southcrest Park which drains the Park and the

immediate residential area located in Council District 8. The project was initiated to comply with the Storm Water Department's Strategic Plan which identifies activities to meet pollutant load reductions as part of the Total Maximum Daily Load (TMDL) or the San Diego County Municipal Storm Water Permit (Permit) requirements. The project satisfies the "infiltration" objective for the San Diego Bay Watershed and, because Southcrest Park is located in the Chollas Creek sub-watershed, this project specifically targets the Diazinon, bacteria, dissolved copper, dissolved lead, and dissolved zinc TMDLs for Chollas Creek.

The portion of the project for this request consists of using porous pavement in the parking lots on the west side of the Park. There are three parking lots being evaluated for re-pavement using porous materials. The lots, in conjunction with other LID features in the Park, will be designed to capture the storm water runoff from a five-year, six-hour storm event. The pavement is intended to capture and treat the water falling directly on its surface. Maintaining existing grade lines and cross gutters will allow the lots to function as they do now in the case of a larger storm.

The project is currently in the beginning stages of design. Construction is scheduled to begin in early 2012 and finish later that year. Total project cost is currently estimated at \$422,000.

Key stakeholders and projected impacts:

The City of San Diego's Storm Water, Park and Recreation, and Engineering & Capital Projects departments are key stake holders in this project along with the community group, Southeastern Economic Development Corporation, and the residents surrounding the Park. There will be two community presentations before the start of construction: one approximately mid way through design when the community can offer suggestions and a second once the final design is finished.

**SUSTAINABLE COMMUNITIES
PLANNING GRANT AND INCENTIVES PROGRAM**

GRANT GUIDELINES & APPLICATION

FUNDED BY

**THE SAFE DRINKING WATER, WATER QUALITY AND SUPPLY, FLOOD
CONTROL, RIVER AND COASTAL PROTECTION BOND ACT OF 2006**

PROPOSITION 84, CHAPTER 9



STATE OF CALIFORNIA

STRATEGIC GROWTH COUNCIL

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I. Introduction

The Strategic Growth Council requests submittal of Proposals for the Sustainable Communities Planning Grant and Incentive Program. Cities, counties, Metropolitan Planning Organizations (MPOs), Joint Powers Authorities (JPAs), Regional Transportation Planning Agencies (RTPAs), Councils of Governments (COGs), or combination thereof, are eligible to apply. Approximately \$60,000,000 in State funds is available for awards, which will be distributed over three cycles beginning Fiscal Year 2010/11. It is anticipated that Proposals funded under this solicitation will be not less than \$100,000 nor greater than \$1,000,000 each. The Council may consider higher grant awards for a joint proposal, submitted by eligible applicants for two or more adjoining entities, that are cooperative, scale appropriate and reflects the interdependence of environmental, economic community health, and other requirements. Partial funding may be considered to fully maximize grant awards.

The Sustainable Communities Planning Grant is funded by Proposition 84, the Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Bond Act of 2006. It added Division 43 to the Public Resources Code, Chapter 9, Sustainable Communities and Climate Change Reduction Section 75065(a), authorizing the Legislature to appropriate \$90 million for planning grants and planning incentives that reduce energy consumption, conserve water, improve air and water quality, and provide other community benefits (*see Appendix B*).

SB 732 Steinberg, (Chapter 13, Statutes of 2008) established the Strategic Growth Council (Council). The Council is composed of agency secretaries from the Business Transportation and Housing Agency, California Health and Human Services Agency, California Environmental Protection Agency, the California Natural Resources Agency, the Director of the Governor's Office of Planning and Research, and a public member, appointed by the Governor (*see Appendix C*). Under Section 75127 and 75128 of SB 732, Council shall do all of the following: (a) identify and review activities and funding programs of member state agencies that may be coordinated to improve air and water quality, improve natural resource protection, meet the goals of the California Global Warming Solution Act of 2006, encourage sustainable land use planning, and revitalize urban and community centers in a sustainable manner; (b) recommend policies and investment strategies and priorities to the Governor, the Legislature, and to appropriate state agencies to encourage the development of sustainable communities, such as those communities that promote equity, strengthen the economy, protect the environment and promote public health and safety, and is consistent with subdivision (a) and (c) of Section 75065; (c) provide, fund, and distribute data and information to local governments and regional agencies that will assist in developing and planning sustainable communities; and (d) manage and award grants and loans to support the planning and development of sustainable communities.

II. Purpose and Goal

This grant program implements the vision of the Governor and Legislature to foster the development of sustainable communities throughout California. It is designed to help local governments meet the challenges of adopting land use plans and integrating strategies in order to transform communities and create long term prosperity. Sustainable communities shall promote equity, strengthen the economy, protect the environment and promote healthy, safe communities.

The primary goal of this grant program is to develop and implement plans that reduce greenhouse gas emissions and achieve the following objectives:

- improve air and water quality,
- promote public health,

- promote equity,
- increase housing affordability,
- increase infill and compact development,
- revitalize urban and community centers,
- protect natural resources and agricultural lands,
- reduce automobile usage and fuel consumption,
- improve infrastructure systems,
- promote water conservation,
- promote energy efficiency and conservation, and
- strengthen the economy.

These objectives are consistent with the State Planning Priorities, as defined by Government Code 65041.1 as well as Strategic Growth Council objectives.

III. Focusing Funds

The Council shall fund proposals that fall within the following three focus areas. Approximately \$20 million will be available for each cycle. The goal is to focus seventy percent (\$14 million) of funds in Focus Area # 1, twenty percent (\$4 million) in Focus Area # 2, and ten percent (\$ 2 million) in Focus Area # 3.

Applications will generally be limited to a maximum of \$1 million and a minimum of \$100,000 per proposal. Partial funding may be considered to fully maximize grant awards. The Council may consider higher grant awards for a joint proposal (*see Glossary*) that is cooperative, scale-appropriate, and reflects the interdependence of environmental, economic community health, and other requirements.

Focus Area # 1: Local Sustainable Planning

Eligible Applicants: Cities and Counties

Intent: Support the development and implementation of effective and/or innovative local plans that support the state's AB 32 GHG emission reduction targets and implement SB 375, while creating sustainable communities.

Examples of Eligible Proposals: (including, but not limited to)

- Specific Plans/Infill Plans/Zoning Ordinances
- Climate Action Plans
- Targeted General Plan (*see Glossary*) Updates or Element
- Other implementation instruments and plans needed for successfully meeting AB 32 greenhouse gas emissions reduction and implement SB 375, while improving community-wide sustainability

Collaboration Requirement: A letter from a regional entity that confirms the proposal does not conflict with an adopted regional plan or regional goals for addressing SB 375, when applicable. Work plan must include demonstrates collaborative process.

Focus Area # 2: Regional SB 375 Plus

Eligible Applicants: MPOs

Intent: Fund MPOs to collaborate with local governments to implement SB 375 and/or AB 32 at the city or county level, within the context of the three E's of sustainable communities: healthy environment and economy and equitable access to regional resources and amenities. The outcome of these efforts should support successful Sustainable Community Strategies that help meet the regional targets established by CARB.

Examples of Eligible Proposals: (including, but not limited to)

- Blueprint Plans
- Multi-jurisdictional corridor plans
- Transit Oriented Development (TOD) Plan
- Targeted General Plan Updates (*see Glossary*)
- Specific Plans or other planning processes

Collaboration Requirement: Submittal of a joint work plan between local governments and MPOs and letters of intent to participate in proposed activities from local government partners.

Focus Area # 3: Regional Planning Activities with Multiple Partners

Eligible Applicants: Cities, Counties, RTPAs, JPAs and COGs.

Intent: Support collaboration between cities and/or counties, JPAs, COGs or RTPAs to work with local governments to develop or implement regional plans that meet the intent of SB 732.

Proposal Examples: (including, but not limited to)

- County wide Climate Action Plan
- Regional cap and trade plan or offset program
- Rural Blueprint Plans or plans with voluntary SB 375 compliance.
- Interregional Plans

Collaboration Requirement: Submittal of a joint work plan by partners and letters of intent to participate in proposed activities from all partners.

IV. Threshold Requirements

Proposals must meet the threshold requirements below. Proposals that do not meet the following criteria will not be considered eligible for funding. This shall be at the sole discretion of the state.

1. Be consistent with (*see Glossary*) the State's Planning Priorities, as summarized below, and identified in Section 65041.1 of the Government Code (*Appendix F*):
 - Promote infill development and invest in existing communities;
 - Protect, preserve and enhance environmental and agricultural lands and natural and recreational resources; and
 - Encourage location and resource efficient new development.
2. Reduce, on as permanent basis that is feasible, greenhouse gas emissions consistent with:

- the California Global Warming Solutions Act of 2006 (Division 25.5 (commencing with Section 38500) of the Health and Safety Code) (*see Appendix D*), and
 - any applicable regional plan (*see Glossary*)
3. Meet the Collaboration Requirements of the focus area applicable to the Proposal (*See Section II*). Include all required documents in the application package.

V. Program Objectives

Proposals must achieve a variety of the objectives listed below and identify anticipated indicators that will be incorporated into the proposal to measure the success of the objective. The final report must quantify the projected outcomes (*see Glossary*), to the extent feasible.

- Improve Air and Water Quality;
- Promote Public Health;
- Promote Equity;
- Increase Housing Affordability;
- Promote Infill and Compact Development;
- Revitalize Urban and Community Centers;
- Protect Natural Resources and Agricultural Lands;
- Reduce Automobile Usage and Fuel Consumption;
- Improve Infrastructure Systems;
- Promote Water Conservation;
- Promote Energy Efficiency and Conservation; and
- Strengthen the Economy.

VI. Priority Considerations

Consistent with the goal of the program, the following areas have been identified as priorities in awarding grants. In evaluating proposals, the State may also consider factors including, but not limited to, geographic distribution of bond funds, environmental justice, and partial funding.

- Proposal demonstrates collaboration with public and private stakeholders and involvement of the community in the planning process;
- Proposal addresses climate change impacts;
- Proposal demonstrates strategies or outcomes that can serve as best practices for communities across the state;
- Proposal is leveraged with additional resources (in-kind or funds); and
- Proposal serves an economically disadvantaged community (*see Glossary*).

VII. Evaluation/ Scoring Criteria

All information submitted in the application package provides the grant review committee a basis for evaluation and should demonstrate how effectively the proposal meets Threshold Requirements, the Program Goal and Objectives and Priority Considerations. Proposals will be reviewed for completeness and eligibility. If judged

eligible, the proposals will be scored and ranked by a grant committee. Eligible proposals receiving between sixty-five (65) and one hundred (100) points shall be considered for funding. Proposals will be scored on the following areas.

SCORING	
Program Objectives	0 - 60
<ul style="list-style-type: none"> ➤ Scope and number of objectives addressed in the Proposal; <ul style="list-style-type: none"> ➤ Improve Air and Water Quality; ➤ Promote Public Health; ➤ Promote Equity; ➤ Increase Housing Affordability; ➤ Promote Infill and Compact Development; ➤ Revitalize Urban and Community Centers; ➤ Protect Natural Resources and Agricultural Lands; ➤ Reduce Automobile Usage and Fuel Consumption; ➤ Improve Infrastructure Systems; ➤ Promote Water Conservation; ➤ Promote Energy Efficiency and Conservation; and ➤ Strengthen the Economy. ➤ Indicators identified to measure the success of the objectives; ➤ Responses provided and supporting documents; and ➤ Proposal meets the intent of the applicable focus area. 	
Priority Considerations	0-28
<ul style="list-style-type: none"> ➤ Proposal demonstrates ongoing collaboration with public and private stakeholders and community involvement; 5 ➤ Proposal addresses climate change impacts; 5 ➤ Proposal demonstrates strategies or outcomes can serve as best practices 5 ➤ Proposal is leveraged with additional resources 5 ➤ Proposal serves an economically disadvantaged community <u>OR</u> 5 <ul style="list-style-type: none"> ➤ Severely disadvantaged community 8 	
Organizational Capacity	0-12
<ul style="list-style-type: none"> ➤ Organization demonstrates the ability to complete the Proposal within the schedule and budget 	
Total Available Points	100

VIII. Proposal Summary Statement

The Proposal Summary Statement should be **no longer than one full page**. The summary should describe:

- the need for the proposal; and
- how the Proposal achieves the intent of the applicable focus area;

IX. Proposal Description (Evaluation Questions)

The questions below are designed to solicit specific facts regarding how the proposal addresses the Threshold Eligibility Requirements, Program Objectives and Priority Considerations.

- Limit this section to **twelve (12)** numbered pages.
- Use 8 ½" x 11" papers with **11 point font, with one inch margins.**
- Neither the Proposal Summary Statement, nor supporting documents count as part of the twelve pages.

Step 1: Threshold Requirements

Clearly label and answer all of the questions below.

1. Describe how the Proposal is consistent with the State's Planning Priorities, Section 65041.1 of the Government Code.
 - a. Promote infill development and invest in existing communities;
 - b. Protect, preserve and enhance environmental and agricultural lands, and natural and recreational resources; and
 - c. Encourage location and resource efficient development
2. Describe how the Proposal will (*and include in work plan*) reduce, on as permanent a basis that is feasible, greenhouse gas emissions consistent with:
 - a. California's Global Warming Solutions Act of 2006
 - i. How will the Proposal reduce greenhouse emissions as compared with business as usual through 2020 and beyond?
 - ii. Identify the indicators that will be used to measure whether the Proposal will meet greenhouse gas emissions reduction targets or requirements?
 - b. Any applicable regional plan
 - i. Cite any applicable regional plan(s).
 - ii. Describe how your Proposal will be consistent with the greenhouse gas emission reduction strategies in the applicable regional plan(s).
3. Meet the Collaboration Requirements of the focus area applicable to the Proposal (*See Section II*).
 - a. See Section III, Focusing Funds, for the Collaboration Requirements applicable to the Proposal.

Step 2: Program Objectives

Identify and describe the Program Objectives projected to be achieved by the Proposal. *Appendix J* provides further guidance on questions that should be addressed in the Proposal Description to maximize points. Anticipated indicators (*see Glossary*) must be identified that will evaluate the overall success of achieving the Program Objectives.

<input type="checkbox"/>	Improve Air and Water Quality
<input type="checkbox"/>	Promote Public Health
<input type="checkbox"/>	Promote Equity
<input type="checkbox"/>	Increase Housing Affordability
<input type="checkbox"/>	Promote Infill and Compact Development
<input type="checkbox"/>	Revitalize Urban and Community Centers

<input type="checkbox"/>	Protect Natural Resources and Agricultural Lands
<input type="checkbox"/>	Reduce Automobile Usage and Fuel Consumption
<input type="checkbox"/>	Improve Infrastructure Systems
<input type="checkbox"/>	Promote Water Conservation
<input type="checkbox"/>	Promote Energy Efficiency and Conservation
<input type="checkbox"/>	Strengthen the Economy

Step 3: Priority Considerations

Answer the following questions, if applicable to the Proposal.

1. Proposal ensures ongoing collaboration with multiple public and private sectors and stakeholders and interest, and involves the community in the planning process (*include in work plan*).
 - a. Describe tasks undertaken by all entities involved in the work plan.
 - b. Describe how other entities will be engaged in the development and/ or implementation of the Proposal (e.g., local governments, state entities, COGs, MPOs, transit agencies, health agencies, air districts, local businesses, landowners, general public, environmental groups, low income households and/ or groups that represent them, etc).
 - c. Describe how the community will be engaged in the planning process.

2. Proposal demonstrates strategies or outcomes that can serve as best practices (BPS) for communities across the state. *Note: proposals funded by the grant must be posted on web-site.*
 - a. Does the proposal include tools or processes that could be easily accessed and used by other government agencies to develop plans or strategies for sustainable communities?
 - b. How will your agency promote and share the Proposal’s information, tools or processes?

3. Proposal is leveraged with additional resources, in-kind or funds. *Identify in Appendix L, Budget.*
 - a. Identify funding sources and amount already committed to the proposal and expected timing of funds. Detail whether funds are in the form of cash contributions, in-kind services, volunteer effort, donated labor or materials, technical expertise, etc.
 - b. Identify potential future funding sources and the amount expected to be committed to the proposal. Detail whether funds are in the form of cash contributions, in-kind services, volunteer effort, donated labor or materials, technical expertise, etc.

4. Proposal Addresses Climate Change Impacts.
 - a. Identify the potential climate change impacts on the population, or human or natural areas, or systems most vulnerable to those impacts within the planning area.
 - b. How does the proposal improve adaptation to the impacts for these populations, human or natural areas, or systems?

5. Proposal serves an economically disadvantaged community.
 - a. How will this proposal specifically benefit a disadvantaged or severely disadvantaged community (see Glossary)?
 - b. Discuss how the economically disadvantaged community has been and will continue to be engaged and participatory in the development of the proposal.

Step 4: Organizational Capacity

Answer the following questions:

1. What is your organization's experience in completing this type of Proposal or similar Proposals? Is the expertise needed for the successful development of the Proposal available within the organization? If not, how do you plan to acquire it?
2. Do you have active partners that will help develop the Proposal? How?
3. How will the Proposal be kept on schedule and within budget?
4. If the Proposal goes over budget, explain your contingency plan to cover the cost.

X. Eligible Proposals

Eligible proposals include, but are not limited to, the development, update, adoption and/or implementation of a(n):

- Targeted General Plan Updates or Elements that incorporate the program goal and objectives throughout the General Plan or in a General Plan element);
- Specific Plans/Infill Plans/Zoning Ordinances;
- Climate Action Plans;
- Regional Plan;
- County wide Climate Action Plan;
- Regional cap and trade plan or offset program;
- Rural Blueprints plans or plans that voluntarily SB 375 compliance; or
- Interregional Plans.

XI. Ineligible Proposals

Ineligible Proposals that will not be funded under this program include, but are not limited to, proposals that:

- Do not contain adequate documentation and applicable materials.
- Do not include objective(s) and indicator(s).
- Do not meet a minimum of 65 points.
- Development an Environmental Impact Report (EIR).
- Request funding for two proposals by the same lead applicant.
- Request funding for the same proposal under another focus area.
- Request funding for activities already funded by other grant program.

XII. Planning Grant Administration

Grant Selection Process

1. Applicants submit a complete grant proposal to the State by the deadline (one application submitted per entity).
2. Proposals are reviewed for completeness and eligibility. (Incomplete or ineligible Proposals may not be evaluated or considered for funding at the sole discretion of the state.)
3. Grant Committee (Committee) reviews proposals and makes recommendations for awards.
4. Strategic Growth Council approves the final proposals for awards.

General Overview of Grant Process after Grants are Awarded

1. State sends grant agreement/contract and materials to grantee.
 2. Grantee signs and returns all required copies back to the State (a fully executed copy will be returned to the Grantee). Upon the date of signature of the grant agreement, signed by both parties, the grantee has 36 months to complete proposal.
 3. Grantee commences preliminary work on the proposal and submits requests for reimbursements, as applicable.
 4. Periodic progress reports, at least two annually, are submitted and reviewed.
 5. Grantee completes proposal and submits proposal completion packet (to be provided under separate cover).
 6. State receives proposal completion packet (including final report) and approves final payment.
 7. The grant may be audited as needed during the course of the proposal and for three years after the grant is completed.
- * Awards are contingent up legislative appropriation of funds and sale of bond.

Changes to Approved Proposal

A grantee wishing to make changes or amendments to an approved proposal must first obtain approval from the State. Changes in the Proposal must continue to meet the requirements of the grant as approved. The grantee jeopardizes funding if changes are made without State approval.

Eligible Costs

Direct related costs, including staff to implement, incurred during the performance period specified in the grant agreement will be eligible for reimbursement. All eligible costs must be supported by appropriate documentation. Costs incurred outside of the performance period and indirect costs are not eligible (*see Glossary*).

Payment of Grant Funds

Funds cannot be disbursed until there is a fully executed grant agreement between the State and the Grantee. Work done prior to a fully executed grant agreement will not be funded.

- Payments will be made on a reimbursement basis (i.e., the grantee pays for services or staff and is then reimbursed by the State).

- Fifteen percent (15 percent) of the amounts submitted for reimbursement will be withheld and issued as a final payment upon proposal completion, at the sole discretion of the state.
- Advanced payments for proposal are not allowed.

Loss of Funding

The following are examples of actions that may result in a Grantee’s loss of funding. This is not a complete list:

- Grantee fails to obtain a Grant Agreement.
- Grantee withdraws from the grant program.
- Grantee fails to complete the funded proposal.
- Grantee fails to complete a proposal that meets the requirements agreed upon.
- Grantee fails to submit all documentation within the time periods specified in the grant agreement.
- Grantee changes proposal scope without approval of the State/doesn’t meet intent of award.
- Grantee changes the sub-recipient or consultant identified in proposal without approval from state

State Audit and Accounting Requirements

Audit Requirements

Funded proposals are subject to audit by the State of California at least annually and for three (3) years following the final payment of grant funds. If the proposal is selected for audit, advance notice will be given. The audit shall include all books, papers, accounts, documents, or other records of the grantee, as they relate to the proposal for which the funds were granted.

The grantee must have the proposal records, including the source documents and evidence of payment, readily available, and provide an employee with knowledge of the project to assist the auditor. The grantee must provide a copy of any document, paper, record, or the like, requested by the auditor.

Accounting Requirements

The grantee must maintain an accounting system that:

- Accurately reflects fiscal transactions, with the necessary controls and safeguards.
- Provides a good audit trail, including original source documents such as purchase orders, receipts, progress payments, invoices, time cards, canceled checks, etc.
- Provides accounting data so the total cost of each individual proposal can be readily determined.

Records Retention

Proposal records must be retained for a period of three (3) years after final payment is made by the State. All proposal records must be retained by the grantee at least one (1) year following an audit. Grantees are required to keep source documents for all expenditures related to each grant for at least three (3) years following proposal completion and one year following an audit. A proposal is considered complete upon receipt of final grant payment from the State.

APPENDIX A- GLOSSARY

Unless otherwise stated, the terms used in these grant guidelines have the following meanings:

AB 32 (Chapter 488, 2006): the California Global Warming Solutions Act of 2006 establishes a comprehensive program of regulatory and market mechanisms to achieve real, quantifiable, cost-effective reductions of greenhouse gases. It codifies in the Health and Safety Code declarations about the serious threats posed by global warming and the intent of the Legislature to ensure coordination among state agencies and all affected stakeholders in the development of regulations to implement this law. *See Appendix C*

Applicant: an eligible organization requesting funding from this program to be administered by the state. Eligible applicants include a cities, counties, Metropolitan Planning Organizations (MPOs), Joint Powers Authorities (JPAs), Regional Transportation Planning Agencies (RTPAs), Council of Governments (COGs), or combination.

Bond or Bond Act: Proposition 84, the Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Bond Act of 2006. *See Appendix B*

Census Designated Place (CDP): a census geography used by the U. S. Census Bureau that is a statistical entity, defined for each decennial census according to Census Bureau guidelines, comprising a densely settled concentration of population that is not within an incorporated place, but is locally identified by a name. CDPs are delineated cooperatively by state and local officials and the Census Bureau, following Census Bureau guidelines.

Consistent With: compatible with, not contradictory to, *or* in agreement with. In this program, a Proposal must be “consistent with” state planning priorities as stated in Government Code 65041.1 (*see Appendix E*), regional plans (*see Glossary*) and identified state adopted plans (*see Appendix N*).

Council: Strategic Growth Council established pursuant to SB 732 (Steinberg, Chapter 13, Statutes of 2008).

Disadvantaged Community: a community with a median household income less than 80 percent of the statewide average. “Severely disadvantaged community” means a community with a median household income less than 60 percent of the statewide average. Applicants may use California State Parks’ Community Fact Finder to pinpoint the Proposal service area and determine its median household income (MHI):

<http://www.parkinfo.org/caparks/grantee>

Environmental Justice: the fair treatment of people of all races, physical and cognitive abilities, cultures and income with respect to the development, adoption, implementation, and enforcement of environmental laws, regulations and policies.

Equity: considering both people and place. A competitive and inclusive region is one in which members of all racial, ethnic, and income groups have opportunities to live and work in all parts of the region, have access to living wage jobs and are included in the mainstream of regional life. It is also one in which all neighborhoods are supported to be vibrant places with choices for affordable housing, good schools, access to open space, decent transit that connects people to jobs, and healthy and sustainable environments.

Fund or Funds: monies authorized from the Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund 2006

Grant Administrator: an employee of the State who manages the grants.

Grant Agreement: a contractual arrangement between the State and grantee specifying the payment of funds by the State for the development of the Proposal by the grantee.

Grant Performance Period: the beginning and ending dates of the Grant Agreement. Eligible costs incurred during this period may be funded from the grant. No Proposal should exceed 36 months.

Grantee: an applicant that has a signed agreement for grant funding with the State.

Greenhouse Gases: include, but are not limited to, carbon dioxide, methane, nitrous oxide, hydro fluorocarbons, perfluorocarbons, and sulfur hexafluoride.

Healthy Community: *See Appendix F for a detailed description*

Implementation of a General Plan: an action, procedure, program, or technique that carries out general plan policy and relies primarily upon regulations, such as specific plans, the zoning ordinance, subdivision ordinances, and public project consistency requirements. Each general plan policy must have at least one corresponding implementation measure. Examples of implementation measures: (1) the city shall use tax-increment financing to pay the costs of replacing old sidewalks in the redevelopment area. (2) The city shall adopt a specific plan for the industrial park. (3) Areas designated by the land use element for agriculture shall be placed in the agricultural zone.

In-Kind Contributions: non-cash donations, from governmental or private sources, and includes volunteers, materials and services.

Indirect/Overhead Costs: expenses of doing business that are of a general nature and are incurred to benefit at least two or more functions within an organization. These costs are not usually identified specifically with a grant, grant agreement, plan or activity, but are necessary for the general operation of the organization. Examples of indirect costs include salaries and benefits of employees not directly assigned to a Proposal; functions such as personnel, business services, information technology, and salaries of supervisors and managers; and overhead such as rent, utilities, supplies, etc.

Indicator: are specific data points which will be used to quantify outcomes. *See Appendix I for examples of indicators.*

Infrastructure: may include but is not limited to: transportation modes including walking and biking; housing supply or affordability; energy generation or transmission; water supply or conveyance; water treatment; trees or other vegetation; parks, open space and other public spaces, solid waste systems; liquid waste systems; or communications systems such as broadband access.

Joint Proposal: an proposal submitted by one lead applicant with one or more eligible partner entities located adjacent to, or within, the lead applicant’s jurisdiction. A single budget must be submitted by the lead applicant. Budget must describe how funds will be distributed to partners.

Local City Health Officers: Each county and the cities of Berkeley, Pasadena, and Long Beach have appointed health officers. These officials have broad authority to take measures as may be necessary to preserve and protect the public health including, if indicated, the adoption of ordinances, regulations and orders not in conflict with general laws. (Health and Safety Code § 101000-101030). Local health officers and health departments are participating increasingly in land use planning to ensure that all neighborhoods in California promote the opportunity to live a safe, healthy life. *See Appendix O to access more information.*

Natural Resources: the materials and functions that comprise the natural wealth of an area’s ecosystems, including, but not limited to the plants, animals, minerals, air, water, and soil. Among these functions are watershed catchment, wildlife migration and habitation, forestry, grazing, and crop production. Of particular importance for complex, large scale natural resources functions are lands that flood, lands that are farmed, lands dedicated to open space, lands designated for mineral extraction, greenbelts, parks, and trails, and lands valued for their aesthetics.

Objective: a high level focus or approach to achieve Sustainable Communities requirements and priorities. This allows flexibility for an entity to focus on issues that are important to its community and have the most beneficial results. For example, to address the requirement to conserve water, one entity may want to focus on reduction of commercial water usage because a larger percentage of its water is used by that sector. Another entity may want to focus on reduction of outdoor residential water usage because it would result in significant water savings.

Other Sources of Funds: cash or in-kind contributions that are required or used to complete the Proposal beyond the grant funds provided by this program.

Outcome: using indicators (data points) quantify the results from the deliverable. This should be projected as a percentage, ratio or number that reflects improvement over your base line. For example, 20% decrease in water usage. Actual numbers will be required when deliverable is completed and in the final report. *See Appendix I for examples.*

Planning Instrument: any plan or ordinance adopted by a Council of Government (COG), Metropolitan Planning Organization (MPO), Regional Transportation Planning Agency (RTPA), Joint Powers Authority (JPA), City, or County that implements or incorporates the goals and objectives of the Sustainable Communities Planning Grant and Incentives Program. Planning instruments must be consistent with a regional plan, when applicable, and support the creation of sustainable communities. These planning instruments include, but are not limited to:

- Specific Plans
- General Plans
- Climate Action Plans
- Zoning Codes
- Redevelopment Plans

- Master Plans (i.e. Bicycle Master Plans, Port Master Plans, Sustainability Master Plans)

Preparation and Adoption of a General Plan: the process for developing a comprehensive, long-term general plan for the physical development of the county or city, which is consistent with the intent of (Proposition 84), SB 732 and the requirements of this Section 75065 of the Public Resources Code and the requirements of this RFP, that is formally approved by the legislative body of a county or city; as well as preparation and adoption of an individual element or any other revision of a General Plan meeting the intent of Section 75065 of Proposition 84 , SB 732 and the requirements of this RFP.

Proposition 84: - See "Bond"

Regional Plan: either of the following: 1) A long-range transportation plan developed pursuant to Section 134(g) of Title 23 of the United States Code and any applicable state requirements, OR 2) A regional blueprint plan, which is a regional plan that implements statutory requirements intended to foster comprehensive planning as defined in Section 65041.1 of, Chapter 2.5 (commencing with Section 65080) of Division 1 of title 7 of, and Article 10.6 (commencing with Section 65580) of Chapter 3 of Division 1 of Title 7 of, the Government Code.

Revitalize Urban Areas and Community Centers: for the purpose of this grant program, the rejuvenation and promotion of reinvestment in a district of a city, county or community, usually typified by a concentration of uses including residential, retail and commercial buildings, and public spaces.

Specific Plan: a tool for implementing the general plan but is not part a General Plan. A specific plan can combine policy statements with development regulations (§65450, et seq.). It is often used to address the development requirements for a single project such as urban infill or a planned community. Its emphasis is on specific standards and development criteria. A specific plan may be adopted either by resolution or by ordinance. Specific plans must be consistent with all facets of the General Plan.

State: the Strategic Growth Council or its representative.

Strategy: an approach(es) to achieve an objective. Strategies should indicate the areas you will focus on, to achieve the objective(s). For example, to improve water conservation the strategy (approach) might be to focus on agriculture water usage. Another example might be to increase water recycling in all sectors.

Targeted General Plan Update: a general plan update that incorporates elements that achieve the program goal and focuses on specific program objectives.

APPENDIX B- PROPOSITION 84
(Chapter 9, Sustainable Communities and Climate Change Reduction)

CALIFORNIA CODES
PUBLIC RESOURCES CODE
SECTION 75065-75066

75065.

The sum of five hundred eighty million dollars (\$580,000,000) shall be available for improving the sustainability and livability of California's communities through investment in natural resources. The purposes of this chapter include reducing urban communities' contribution to global warming and increasing their adaptability to climate change while improving the quality of life in those communities. Funds shall be available in accordance with the following schedule:

(a) The sum of ninety million dollars (\$90,000,000) shall be available for urban greening projects that reduce energy consumption, conserve water, improve air and water quality, and provide other community benefits. Priority shall be given to projects that provide multiple benefits, use existing public lands, serve communities with the greatest need, and facilitate joint use of public resources and investments including schools. Implementing legislation shall provide for planning grants for urban greening programs. Not less than \$20,000,000 shall be available for urban forestry projects pursuant to the California Urban Forestry Act, Chapter 2 (commencing with Section 4799.06) of Part 2.5 of Division 1.

(b) The sum of four hundred million dollars (\$400,000,000) shall be available to the Department of Parks and Recreation for competitive grants for local and regional parks. Funds provided in this subdivision may be allocated to existing programs or pursuant to legislation enacted to implement this subdivision, subject to the following considerations:

(1) Acquisition and development of new parks and expansion of overused parks that provide park and recreational access to underserved communities shall be given preference.

(2) Creation of parks in neighborhoods where none currently exist shall be given preference.

(3) Outreach and technical assistance shall be provided to underserved communities to encourage full participation in the program or programs.

(4) Preference shall be given to applicants that actively involve community based groups in the selection and planning of projects.

(5) Projects will be designed to provide efficient use of water and other natural resources.

(c) The sum of ninety million dollars (\$90,000,000) shall be available for planning grants and planning incentives, including revolving loan programs and other methods to encourage the development of regional and local land use plans that are designed to promote water conservation, reduce automobile use and fuel consumption, encourage greater infill and compact development, protect natural resources and agricultural lands, and revitalize urban and community centers.

75066.

Appropriation of the funds provided in subdivisions (a) and (c) of Section 75065 may only be made upon enactment of legislation to implement that subdivision.

APPENDIX C- SB 732
**(The Safe Drinking Water, Water Quality and Supply, Flood Control,
River and Coastal Protection Act of 2006)**

BILL NUMBER: SB 732
BILL TEXT

CHAPTERED

CHAPTER 729
FILED WITH SECRETARY OF STATE SEPTEMBER 30, 2008
APPROVED BY GOVERNOR SEPTEMBER 30, 2008
PASSED THE SENATE AUGUST 31, 2008
PASSED THE ASSEMBLY AUGUST 28, 2008
AMENDED IN ASSEMBLY AUGUST 22, 2008
AMENDED IN ASSEMBLY AUGUST 18, 2008
AMENDED IN ASSEMBLY SEPTEMBER 7, 2007
AMENDED IN ASSEMBLY AUGUST 31, 2007
AMENDED IN ASSEMBLY JUNE 28, 2007
AMENDED IN ASSEMBLY JUNE 27, 2007
AMENDED IN ASSEMBLY JUNE 4, 2007
AMENDED IN SENATE JUNE 4, 2007
AMENDED IN SENATE MAY 25, 2007
AMENDED IN SENATE MAY 15, 2007
AMENDED IN SENATE APRIL 17, 2007

INTRODUCED BY Senator Steinberg

(Principal coauthor: Senator Negrete McLeod)

(Coauthor: Senator Wiggins)

FEBRUARY 23, 2007

An act to amend Sections 75076 and 75077 of, and to add Chapter 12 (commencing with Section 75100) and Chapter 13 (commencing with Section 75120) to Division 43 of, the Public Resources Code, relating to the environment, and making an appropriation therefore.

LEGISLATIVE COUNSEL'S DIGEST

SB 732, Steinberg. Environment.
The Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Bond Act of 2006, an initiative statute approved by the voters at the November 7, 2006, statewide general election makes about \$5.4 billion in bond funds available for safe drinking water, water quality and supply, flood control, natural resource protection, and park improvements. This bill would require the State Department of Public Health, the Department of Fish and Game, and the San Francisco Bay Area Conservancy, when implementing

the provisions of the initiative, among other things, to develop and adopt guidelines and regulations, consult with other entities, conduct studies, and follow certain procedures for establishing a project, grant, loan, or other financial assistance program implementing the initiative.

The bill would establish the Strategic Growth Council and would appropriate \$500,000 from the funding provided by the initiative to the Resources Agency to support the council and its activities. The bill would require the council to take certain actions with regard to coordinating programs of member state agencies to improve air and water quality, improve natural resource protection, increase the availability of affordable housing, improve transportation, meet the goals of the California Global Warming Solutions Act of 2006, encourage sustainable land use planning, and revitalize urban and community centers in a sustainable manner. The council would be required to manage and award grants and loans to support the planning and development of sustainable communities, for preparing, adopting, and implementing general plans, general plan elements, regional plans, or other planning instruments, and for preparing, planning, and implementing urban greening plans. The council would also be required to, not later than July 1, 2010, and every year thereafter, provide a report to the Legislature with specified information regarding the management of the grants and loans. The bill would provide that in any case in which the provisions of the bill and the initiative conflict, the initiative shall prevail.

Appropriation: yes.

THE PEOPLE OF THE STATE OF CALIFORNIA DO ENACT AS FOLLOWS:

SECTION 1. Section 75076 of the Public Resources Code is amended to read:
75076. Chapter 3.5 (commencing with Section 11340) of Part 1 of Division 3 of Title 2 of the Government Code does not apply to the development and adoption of program guidelines and selection criteria adopted pursuant to this division.
SEC. 2. Section 75077 of the Public Resources Code is amended to read:
75077. Funds provided pursuant to this division, and any appropriation or transfer of those funds, shall not be deemed to be a transfer of funds for the purposes of Chapter 9 (commencing with Section 2780) of Division 3 of the Fish and Game Code.

SEC. 3. Chapter 12 (commencing with Section 75100) is added to Division 43 of the Public Resources Code, to read: CHAPTER 12. IMPLEMENTATION PROVISIONS

75100. (a) (1) Each state agency disbursing a competitive grant pursuant to this division shall develop project solicitation and evaluation guidelines. The guidelines may include a limitation on the size of a competitive grant to be awarded. (2) Prior to disbursing a competitive grant, each state agency shall conduct at least one public meeting to consider public comments prior to finalizing the guidelines. Each state agency shall publish the draft solicitation and evaluation guidelines on its Internet Web site at least 30 days before the public meetings. Meetings shall be held at geographically appropriate locations. Upon adoption, each state agency shall transmit copies of the guidelines to the fiscal committees and the appropriate policy

CHAPTER 13. STRATEGIC GROWTH COUNCIL AND CLIMATE CHANGE
REDUCTION

committees of the Legislature. To the extent feasible, each state agency shall provide outreach to disadvantaged communities to promote access and participation in those meetings. (3) The guidelines may include a requirement for the applicant to illustrate an ongoing commitment of financial resources, unless the purposes of awarding a grant financed by this division is to assist a disadvantaged community.

(4) The guidelines shall require a new grant solicitation for each funding cycle. Each funding cycle shall consider only those applications received as a part of the solicitation for that funding cycle.

(b) Notwithstanding subdivision (a), a state agency, in lieu of adopting guidelines pursuant to subdivision (a), may use guidelines existing on January 1, 2007, and those guidelines as periodically amended thereafter.

75101. (a) For the purposes of implementing Section 75025, the State Department of Public Health shall do all of the following:

(1) Develop guidelines pursuant to Section 75100 in collaboration with the Department of Toxic Substances Control and the state board.

(2) In collaboration with the Department of Toxic Substances Control and the state board, develop and adopt regulations governing the repayment of costs that are subsequently recovered from parties responsible for the contamination.

(b) For the purposes of implementing subdivision (a) of Section 75050, the Department of Fish and Game, when funding a natural community conservation plan, shall fund only the development of a natural community conservation plan that is consistent with the Natural Community Conservation Planning Act (Chapter 10 (commencing with Section 2800) of Division 3 of the Fish and Game Code).

(c) The San Francisco Bay Area Conservancy may use the funds made available pursuant to subdivision (c) of Section 75060 to restore the salt ponds in the south San Francisco Bay and to create trails and visitor facilities for public use in that area.

75102. Before the adoption of a negative declaration or environmental impact report required under Section 75070, the lead agency shall notify the proposed action to a California Native American tribe, which is on the contact list maintained by the Native American Heritage Commission, if that tribe has traditional lands located within the area of the proposed project.

75103. It is the intent of the Legislature that any public funds made available by this division to investor-owned utilities regulated by the Public Utilities Commission should be for the benefit of the ratepayers or the public and not the investors pursuant to oversight by the Public Utilities Commission.

75104. State agencies that are authorized to award a loan or grant financed by this division shall provide technical assistance with regard to the preparation of an application for a loan or grant in a manner that, among other things, addresses the needs of economically disadvantaged communities.

SEC. 4. Chapter 13 (commencing with Section 75120) is added to Division 43 of the Public Resources Code, to read:

75120. For purposes of this chapter, the following definitions shall apply:
(a) "Council" means the Strategic Growth Council established pursuant to Section 75121.

(b) "Regional plan" means either of the following:

(1) A long-range transportation plan developed pursuant to Section 134(g) of Title 23 of the United States Code and any applicable state requirements.

(2) A regional blueprint plan, which is a regional plan that implements statutory requirements intended to foster comprehensive planning as defined in Section 65041.1 of Chapter 2.5 (commencing with Section 65080) of Division 1 of Title 7 of, and Article 10.6 (commencing with Section 65580) of Chapter 3 of Division 1 of Title 7 of, the Government Code. A regional blueprint plan articulates regional consensus and performance outcomes on a more efficient land use pattern that supports improved mobility and reduces dependency on single-occupancy vehicle trips; accommodates an adequate supply of housing for all income levels; reduces impacts on valuable farmland, natural resources, and air quality, including the reduction of greenhouse gas emissions, increases water and energy conservation and efficiency; and promotes a prosperous economy and safe, healthy, sustainable, and vibrant neighborhoods.

75121. (a) The Strategic Growth Council is hereby established in state government and it shall consist of the Director of State Planning and Research, the Secretary of the Resources Agency, the Secretary for Environmental Protection, the Secretary of Business, Transportation and Housing, the Secretary of California Health and Human Services, and one member of the public to be appointed by the Governor. The public member shall have a background in land use planning, local government, resource protection and management, or community development or revitalization.

(b) Staff for the council shall be reflective of the council's membership.

75122. The members of the council shall elect a chair of the council every two years.

75123. (a) The council's meetings shall be open to the public and shall be subject to the Bagley-Keene Open Meeting Act (Article 9 (commencing with Section 11120) of Chapter 1 of Part 1 of Division 3 of Title 2 of the Government Code). (b) The council may sponsor conferences, symposia, and other public forums, to seek a broad range of public advice regarding local, regional, and natural resource planning, sustainable development, and strategies to reduce and mitigate climate change.

75124. Of the funds made available pursuant to subdivisions (a) and (c) of Section 75065, the sum of five hundred thousand dollars (\$500,000) is hereby appropriated to the Resources Agency to be used in support of the council and its activities in accordance with this chapter.

75125. The council shall do all of the following:

- (a) Identify and review activities and funding programs of member state agencies that may be coordinated to improve air and water quality, improve natural resource protection, increase the availability of affordable housing, improve transportation, meet the goals of the California Global Warming Solutions Act of 2006 (Division 25.5 (commencing with Section 38500) of the Health and Safety Code), encourage sustainable land use planning, and revitalize urban and community centers in a sustainable manner. At a minimum, the council shall review and comment on the five-year infrastructure plan developed pursuant to Article 2 (commencing with Section 13100) of Chapter 2 of Part 3 of Division 3 of the Government Code and the State Environmental Goals and Policy Report developed pursuant to Section 65041 of the Government Code.
- (b) Recommend policies and investment strategies and priorities to the Governor, the Legislature, and to appropriate state agencies to encourage the development of sustainable communities, such as those communities that promote equity, strengthen the economy, protect the environment, and promote public health and safety, and is consistent with subdivisions (a) and (c) of Section 75065.
- (c) Provide, fund, and distribute data and information to local governments and regional agencies that will assist in developing and planning sustainable communities.
- (d) Manage and award grants and loans to support the planning and development of sustainable communities, pursuant to Sections 75127, 75128, and 75129. To implement this subdivision, the council may do all of the following:
- (1) Develop guidelines for awarding financial assistance, including criteria for eligibility and additional consideration.
 - (2) Develop criteria for determining the amount of financial assistance to be awarded. The council shall award a revolving loan to an applicant for a planning project, unless the council determines that the applicant lacks the fiscal capacity to carry out the project without a grant. The council may establish criteria that would allow the applicant to illustrate an ongoing commitment of financial resources to ensure the completion of the proposed plan or project.
 - (3) Provide for payments of interest on loans made pursuant to his article. The rate of interest shall not exceed the rate earned by the Pooled Money Investment Board.
 - (4) Provide for the time period for repaying a loan made pursuant to this article.
 - (5) Provide for the recovery of funds from an applicant that fails to complete the project for which financial assistance was awarded. The council shall direct the State Controller to recover funds by any available means.
 - (6) Provide technical assistance for application preparation.
 - (7) Designate a state agency or department to administer technical and financial assistance programs for the disbursing of grants and loans to support the planning and development of sustainable communities, pursuant to Sections 75127, 75128, and 75129.
- (e) No later than July 1, 2010, and every year thereafter, provide a report to the Legislature that shall include, but is not limited to, all of the following:
- (1) A list of applicants for financial assistance.
 - (2) Identification of which applications were approved.
 - (3) The amounts awarded for each approved application.
 - (4) The remaining balance of available funds.
 - (5) A report on the proposed or ongoing management of each funded project.

(6) Any additional minimum requirements and priorities for a project or plan proposed in a grant or loan application developed and adopted by the council pursuant to subdivision (c) of Section 75216.

75126. (a) An applicant shall declare, in the application submitted to the council for financial assistance for a plan or project pursuant to this chapter, the applicant's intention to follow a detailed budget and schedule for the completion of the plan or project. The budget and schedule shall be of sufficient detail to allow the council to assess the progress of the applicant at regular intervals.

(b) A project or plan funded pursuant to this chapter shall meet both of the following criteria:

(1) Be consistent with the state's planning policies pursuant to Section 65041.1 of the Government Code.

(2) Reduce, on as permanent a basis that is feasible, greenhouse gas emissions consistent with the California Global Warming Solutions Act of 2006 (Division 25.5 (commencing with Section 38500) of the Health and Safety Code), and any applicable regional plan.

(c) The council may develop additional minimum requirements and priorities for a project or plan proposed in a grant and loan application, including those related to improving air quality.

75127. (a) To support the planning and development of sustainable communities, the council shall manage and award financial assistance to a city or county for preparing, adopting, and implementing a general plan or general plan element that is designed to reduce greenhouse gas emissions, promote water conservation, reduce automobile use and fuel consumption, encourage greater infill and compact development, protect natural resources and agricultural lands, and revitalize urban and community centers.

(b) For the purposes of this section, the preparation and adoption of a general plan may include a comprehensive update of a general plan, amendment or adoption of an individual element of a general plan, or any other revision consistent with the intent of Section 75065.

(c) For the purposes of this section, the implementation of a general plan may include amendment or adoption of a specific plan, community plan, zoning ordinance, or any other plan, ordinance, or policy that is consistent with the intent of Section 75065.

(d) The funding provided pursuant to this section for the preparation, adoption, and implementation of a general plan may also include funding any activity necessary to conform a general plan to a regional plan.

75128. (a) To support the planning and development of sustainable communities, the council shall manage and award financial assistance to a council of governments, metropolitan planning organization, regional transportation planning agency, city, county, or joint powers authority, to develop, adopt or implement a regional plan or other planning instrument consistent with a regional plan that improves air and water quality, improves natural resource protection, increases the availability of affordable housing, improves transportation, meets the goals of the California Global Warming Solutions Act of 2006 (Division 25.5 (commencing with Section 38500) of the Health and Safety Code), and encourages sustainable land use. The financial assistance

pursued pursuant to this section shall be funded from moneys made available pursuant to subdivision (c) of Section 75065.

(b) In awarding financial assistance pursuant to this section, the council shall give first priority to an application seeking funding to add or enhance elements of a regional plan that are not funded with federal moneys.

75129. (a) To support the planning and development of sustainable communities, the council shall manage and award financial assistance to a city, county, or nonprofit organization for the preparation, planning, and implementation of an urban greening project that provides multiple benefits, including, but not limited to, a decrease in air and water pollution, a reduction in the consumption of natural resources and energy, an increase in the reliability of local water supplies, or an increased adaptability to climate change. An eligible project funded pursuant to this section shall not include a mitigation action that is required under existing law. The financial assistance provided pursuant to this section shall be funded from moneys made available pursuant to subdivision (a) of Section 75065.

(b) The council shall develop minimum requirements for funding eligible projects pursuant to this section, which shall require a project to meet at least one of the following criteria:

(1) Use natural systems, or systems that mimic natural systems, to achieve the benefits identified in subdivision (a).

(2) Create, enhance, or expand community green spaces.

(c) The multiple benefits of a project, may include, but are not limited to, the establishment or enhancement of one or more of the following:

(1) Tree canopy.

(2) Urban forestry.

(3) Local parks and open space.

(4) Greening of existing public lands and structures, including schools.

(5) Multi-objective stormwater projects, including construction of permeable surfaces and collection basins and barriers.

(6) Urban streams, including restoration.

(7) Community, demonstration, or outdoor education gardens and orchards.

(8) Urban heat island mitigation and energy conservation efforts through landscaping and green roof projects.

(9) Nonmotorized urban trails that provide safe routes for both recreation and travel between residences, workplaces, commercial centers, and schools.

(d) The council shall give additional consideration to a funding project pursuant to this section that meets one or more of the following criteria:

(1) The project uses interagency cooperation and integration.

(2) The project uses existing public lands and facilitates use of public resources and investments including schools.

(3) The project is proposed by an economically disadvantaged community.

(e) Up to 25 percent of the moneys allocated pursuant to subdivision (a) of Section 75065 may be used to award revolving loans or grants to a council of governments, countywide authority, a metropolitan planning organization, local government, or nonprofit organization, for the purpose of creating urban greening plans that will serve as the master document guiding and coordinating greening projects in the applicant's jurisdiction. These urban greening plans shall be consistent with the jurisdiction's general plan or regional plan, where one exists.

75130. This chapter does not authorize the council to take an action with regard to the exercise of a local government's land use permitting authority.

SEC. 5. In any case in which any of the provisions of this act, and Division 43 (commencing with Section 75001) of the Public Resources Code conflict, that division shall prevail.

SEC. 6. The provisions of this act are severable. If any provision of this act or its application is held invalid, that invalidity shall not affect other provisions or applications that can be given effect without the invalid provision or application.

APPENDIX D- AB 32 GOALS FOR LOCAL GOVERNMENT **(Chapter 488, Statutes of 2006)**

A Proposal funded by Proposition 84 planning grants must reduce GHG emissions, as feasible, consistent with AB 32 (HSC section 75126(b)(2)) and must be consistent with a regional plan that, among other things, meets the goals of AB 32 (HSC section 75128(a)). The basic goals of AB 32 are to reduce GHG emissions to 1990 levels by 2020 (HSC section 38550), and to maintain and continue reductions in emissions of GHGs beyond 2020 (HSC section 38551).

Local and regional planning agencies can help California meet the goals of AB 32 in a number of ways, including but not limited to, reducing GHG emissions from regional and local government municipal operations, and implementing changes to land use patterns and transportation infrastructure to reduce vehicle travel (see Scoping Plan, pp. 26-27). More specifically, local and regional governments can reduce greenhouse gas emissions through actions in the following areas: (1) municipal and community energy use, (2) municipal and community waste reduction and recycling operations, (3) community-wide water conservation and water reclamation, (4) urban greening and forests, (5) improvements to community transportation systems that encourage low carbon transportation options, and (6) community land use policies that encourage energy efficiency and reduce vehicle travel (see Scoping Plan, Appendix C, pp. C-52 and 53). A local government or regional planning agency may chose to employ a variety of approaches, individually or in combination, to reduce GHG emissions from a particular sector of the community or from the community as a whole. The following table provides examples of types of actions that local governments may take to reduce greenhouse gas emissions.

**APPENDIX E- SCOPING PLAN
(Local Government Initiatives)**

Local Government Initiatives	
Measure	Local Government (LG) Actions
Local Gov't Actions	<ul style="list-style-type: none"> • Set local GHG reduction goals by partnering with special districts that provide services within jurisdictions • Develop Climate Action Plans, or other comprehensive approach to reduce GHG emissions • Adopt Best Practices
Energy Efficiency	<ul style="list-style-type: none"> • Increase Utility Energy Efficiency Programs (either as municipal owners or partnership with local utilities) – New targets would be set for statewide energy demand reductions. These reductions could be achieved through enhancements to existing programs such as increased incentives. • Reduce energy consumption and install solar water heating systems within LG owned/operated facilities and operations
Renewable Portfolio Standard	<ul style="list-style-type: none"> • Achieve 33% renewables portfolio standard for LG owned utility (i.e., 33% of energy generation must come from renewable energy sources)
Green Buildings	<ul style="list-style-type: none"> • Facilitate green building construction, renovation, operation and maintenance of green buildings at LG owned/operated facilities • Implement the State adopted green building code (effective 2010) and provide training to local architects, engineers and developers • Site buildings close to public transportation and services, and providing amenities that encourage walking and cycling, offer further GHG reducing potential
Recycling and Waste	<ul style="list-style-type: none"> • Adopt Zero Waste and Environmentally Preferable Purchasing policies • Increase diversion from landfills (commercial recycling and compost/purchase of compost) • Control landfill methane emissions (for jurisdictions that own/operate landfill)
High GWP Gases	<ul style="list-style-type: none"> • Minimize/eliminate motor vehicle A/C refrigerant leakage through proper maintenance in fleet vehicles • Ensure proper handling/disposal of waste refrigeration units (through solid waste hauler)
Sustainable Forests	<ul style="list-style-type: none"> • Encourage land-use decisions that conserve forest lands
Water	<ul style="list-style-type: none"> • Improve municipal water system energy efficiency/usage • Increase water recycling • Reuse urban runoff
Land-Use/Planning	<ul style="list-style-type: none"> • Participate in regional planning efforts and ensure land-use/transportation plans and decisions conform with sustainable communities strategies (SB 375) • Incorporate GHG reduction measures in General Plan, including funding and promotion of local transit systems, bike/walk infrastructure, local parking policies, car sharing, etc.

APPENDIX F- STATE PLANNING PRIORITIES
(Government Code 65041.1)

65041.1. The state planning priorities, which are intended to promote equity, strengthen the economy, protect the environment, and promote public health and safety in the state, including in urban, suburban, and rural communities, shall be as follows:

(a) To promote infill development and equity by rehabilitating, maintaining, and improving existing infrastructure that supports infill development and appropriate reuse and redevelopment of previously developed, underutilized land that is presently served by transit, streets, water, sewer, and other essential services, particularly in underserved areas, and to preserving cultural and historic resources.

(b) To protect environmental and agricultural resources by protecting, preserving, and enhancing the state's most valuable natural resources, including working landscapes such as farm, range, and forest lands, natural lands such as wetlands, watersheds, wildlife habitats, and other wildlands, recreation lands such as parks, trails, greenbelts, and other open space, and landscapes with locally unique features and areas identified by the state as deserving special protection.

(c) To encourage efficient development patterns by ensuring that any infrastructure associated with development, other than infill development, supports new development that does all of the following:

(1) Uses land efficiently.

(2) Is built adjacent to existing developed areas to the extent consistent with the priorities specified pursuant to subdivision (b).

(3) Is located in an area appropriately planned for growth.

(4) Is served by adequate transportation and other essential utilities and services.

(5) Minimizes ongoing costs to taxpayers.

APPENDIX G- HEALTHY COMMUNITIES

Promoting public health is one of the objectives of the Strategic Growth Council which it balances with its other objectives to promote sustainable communities. To further understand what characterizes a Healthy Community, the Department of Public Health provides the following:

A healthy community is one that meets the basic needs of all residents, ensures quality and sustainability of the environment, provides for adequate levels of economic and social development; and assures social relationships that are supportive and respectful. A healthy community strives for the following through all stages of life:

Meets basic needs of all -

- Safe, sustainable, accessible and affordable transportation options
- Accessible and nutritious healthy foods
- Affordable, high quality, socially integrated and location-efficient housing
- Complete and livable communities including affordable and high quality schools, parks and recreational facilities, child care, libraries, financial services, health care and other daily needs

Quality, sustainability of the environment -

- Clean air, soil and water, and environments free of excessive noise
- Preserved natural and open spaces, including agricultural lands
- Minimized waste, toxics, and greenhouse gas emissions
- Affordable and sustainable energy use

Adequate levels of economic, social development -

- Living wage, safe and healthy job opportunities for all
- Support for healthy development of children and adolescents
- Opportunities for high quality and accessible education
- Health and social equity

Social relationships supportive, respectful -

- Robust social and civic engagement
- Socially cohesive and supportive relationships, families, homes, and neighborhoods
- Safe communities, free of crime and violence

APPENDIX H – APPLICATION CHECKLIST

(What to include)

Application packets should be organized in the following order. Clearly number and label each item and number all pages in sequential order. Do not put in folders or notebooks. Do not submit additional materials that have not been specifically requested. **Note: Incomplete applications may not be evaluated or considered for funding at the sole discretion of the State.**

Submit three (3) copies (one unbound original and two copies) of items 1 – 6:

1. **Completed Application Form** (Appendix I)
2. **Proposal Summary Statement** (Section XIII)
3. **Proposal Description** (Section IX)
4. **Supporting Documentation**
 - a. **Budget** (Appendix L)
 - b. **Work Plan** (Appendix M)
5. **Signed Resolution from Governing Body** (Appendix N)
6. **Collaboration Requirement Letter(s)** (Section III)
7. **Disadvantaged Community Documentation** (See Glossary))

APPENDIX I- PLANNING GRANT APPLICATION FORM

Applicant (Agency & address - including zip)		Proposed Date of Completion:	
Check one		Grant Amount Requested: \$	
	City		If Joint Proposal, list participating entities/ contact person:
	County		
	MPO		
	COG		
	RTPA		
	JPA		
	Joint Proposal		
Lead Applicant's Name:			
Title of Proposal (summarize the deliverable to be funded by this grant)			
Applicant's Representative Authorized in Resolution Name: Title: Phone: Email:		Person with Day to Day Responsibility for Plan (if different from Authorized Representative) Name: Title: Phone: Email:	
<i>Check all of the following that are incorporated or applicable to the proposal:</i>			
Focus Area		Program Objectives	
	Focus Area # 1		Improve air and water quality
	Focus Area # 2		Promote public health
	Focus Area # 3		Promote equity
Eligibility Requirements (mandatory)		Increase affordable housing	
	Consistent with State Planning Priorities		Increase infill and compact development
	Reduces GHG emissions on a permanent basis		Revitalize urban and community centers
	Collaboration requirement		Protect natural resources and agricultural lands
Priority Considerations		Reduce automobile usage and fuel consumption	
	Demonstrates collaboration & community involvement		Improve infrastructure systems
	Addresses climate change impacts		Promote water conservation
	Serves as best practices		Promote energy efficiency and conservation
	Leverages additional resources		Strengthen the economy
	Serves an economically disadvantaged community		
	Serves a severely disadvantaged community		
I certify that the information contained in this plan application, including required attachments, is complete and accurate			
Signature: _____		Date _____	
Applicant's Authorized Representative as shown in Resolution			
Print Name and Title: _____			

APPENDIX J – INDICATORS AND OUTCOMES
(Examples)

Program Objectives	Indicators (Data Point)	Desired Outcomes
Improve Air Quality and Water Quality	<ul style="list-style-type: none"> ✓ Ozone Levels ✓ Particulate matter ✓ Impaired water segments 	<ul style="list-style-type: none"> ✓ Decrease ✓ Decrease ✓ Decrease
Promote Public Health	<ul style="list-style-type: none"> ✓ Population with asthma ✓ Overweight/ obese population 	<ul style="list-style-type: none"> ✓ Decrease ✓ Decrease
Promote Equity	<ul style="list-style-type: none"> ✓ Access to transportation ✓ Job growth compared to Job Quality (e.g., wage levels) 	<ul style="list-style-type: none"> ✓ Increase ✓ Improve
Increase Affordable Housing	<ul style="list-style-type: none"> ✓ Housing supply that meets regional Housing Needs Allocation for extremely low, very low, and low income households ✓ Housing owners with costs greater than 35% of income 	<ul style="list-style-type: none"> ✓ Increase ✓ Decrease
Promote Infill and Compact Development	<ul style="list-style-type: none"> ✓ Proportion of jobs and housing units within ½ mile of transit ✓ Housing units within X distance of transit with Y service 	<ul style="list-style-type: none"> ✓ Increase ✓ Decrease
Revitalize Urban and Community Centers	<ul style="list-style-type: none"> ✓ Dollars spent serving infill development as opposed to Greenfield development 	<ul style="list-style-type: none"> ✓ Increase
Protect Natural Resources and Agricultural Lands	<ul style="list-style-type: none"> ✓ Acres of protected and/or conserved lands ✓ Acres of habitat avoided 	<ul style="list-style-type: none"> ✓ Increase ✓ Increase
Reduce Automobile Usage and Fuel Consumption	<ul style="list-style-type: none"> ✓ Transit ridership ✓ Vehicle miles traveled 	<ul style="list-style-type: none"> ✓ Increase ✓ Decrease
Improve Infrastructure Systems	<ul style="list-style-type: none"> ✓ Transit Ridership ✓ Parks acres per residents 	<ul style="list-style-type: none"> ✓ Increase ✓ Increase
Promote Water Conservation	<ul style="list-style-type: none"> ✓ Residential water usage ✓ Agriculture water usage 	<ul style="list-style-type: none"> ✓ Reduce ✓ Reduce
Promote Energy Efficiency and Conservation	<ul style="list-style-type: none"> ✓ Residential energy consumption 	<ul style="list-style-type: none"> ✓ Decrease
Strengthen the Economy	<ul style="list-style-type: none"> ✓ Number of green jobs ✓ Net business formation 	<ul style="list-style-type: none"> ✓ Increase ✓ Increase

APPENDIX K- EVALUATION QUESTIONS (Program Objectives)

Proposals should answer these questions to strengthen their Proposal Description.

Improve Air and Water Quality

Air Quality

1. What strategies (*see Glossary*) will be used to meet the air quality objective?
2. What indicator(s) will be used to measure the outcomes?
3. How will the proposal be consistent with the State Implementation Plan (*see Appendix O*), as specified by the local air district?

Water Quality

4. What strategies will be used to meet the water quality objective?
5. What indicator(s) will be used to measure the outcomes?
6. How will the proposal be consistent with the Integrated Regional Water Management Plan (*see Appendix O*)?

Promote Public Health

1. What indicators will be focused on to meet the public health objective?
2. Describe how the proposal addresses and responds to the definition of a healthy community (*see Appendix G*).
3. Describe how, in the development and implementation of the proposal, public health co-benefits and potential adverse health consequences will be identified, and for any identified negative consequences that may be associated with the Proposal, the approach to mitigating or preventing these consequences.
4. Describe the extent and nature of the coordination and collaboration with the local health officer/health department for the cities and counties included in your agency's jurisdiction or covered by the scope of the Proposal.

Promote Equity

1. What strategies will be used to meet the equity objective?
2. What indicator(s) will be used to measure the outcomes?
3. Explain how disadvantaged communities will be engaged in the planning process for the proposed outcomes.

Increases Housing Affordability

1. What strategies will be used to meet the housing affordability objective?
2. What indicator(s) will be used to measure the outcomes?
3. How will the proposal be consistent with housing affordability requirements under the RHNA (*see Appendix O*)?

Promote Infill and Compact Development

1. What strategies will be used to meet the infill and compact development objective?
2. What indicator(s) will be used to measure the outcomes?

Revitalize Urban and Community Centers

1. What strategies will be used to meet the urban and community center objective?
2. What indicator(s) will be used to measure the outcomes?

Protect Natural Resources and Agricultural Land

1. What strategies will be used to meet the natural resources and agricultural land objective?
2. What indicator(s) will be used to measure the outcomes?
3. How will the proposal be consistent with the California Wildlife Action Plan, Natural Community Conservation Plan and the Surface Mining and Reclamation Act (*see Appendix O*)?

Reduce Automobile Use and Fuel Consumption

1. What strategies will be used to meet the automobile use and fuel consumption objective?
2. What indicator(s) will be used to measure the outcomes?
3. How will the proposal be consistent with the California Transportation Plan (*see Appendix O*).

Improve Infrastructure Systems

1. What strategies will be used to meet the infrastructure systems objective?
2. What indicator(s) will be used to measure the outcomes?

Promote Water Conservation

1. What strategies will be used to meet the water conservation objective?
2. What indicator(s) will be used to measure the outcomes?
3. How will this proposal help the State achieve its goal to reduce water consumption 20 percent by 2020?
4. Explain how this proposal is consistent with the Integrated Regional Water Management Plans.

Promote Energy Efficiency and Conservation

1. What strategies will be used to meet the water quality objective?
2. What indicator(s) will be used to measure the outcomes?

Strengthen the Economy

1. What strategies will be used to meet the water quality objective?
2. What indicator(s) will be used to measure the outcomes?

APPENDIX L- Budget Form

All cost elements included should be clearly described in the Proposal.

Proposal Element (Example Only)		Total Cost \$	Council Grant \$	In-Kind Match \$	Cash Match \$
1 Administration					
	Staff				
	Consultant Contracting				
	Surveys				
Subtotal Task 1					
2 Proposal Development					
	Research				
	Drafting				
Subtotal Task 2					
3 Public Outreach					
	Announcements				
	Web posting costs				
	Public forum costs				
Subtotal Task 3					
4 Materials					
Subtotal Task 4					
GRAND TOTAL					

**Additional budget detail may be required upon award of funding

APPENDIX N – RESOLUTION TEMPLATE

Resolution No: _____

**RESOLUTION (GOVERNING BODY OF GRANTEE)
APPROVING THE APPLICATION FOR GRANT FUNDS FOR
THE SUSTAINABLE COMMUNITIES PLANNING GRANT AND INCENTIVES PROGRAM UNDER THE SAFE
DRINKING WATER, WATER QUALITY AND SUPPLY, FLOOD CONTROL, RIVER AND COASTAL
PROTECTION
BOND ACT OF 2006 (PROPOSITION 84)**

WHEREAS, the Legislature and Governor of the State of California have provided funds for the program shown above; and

WHEREAS, the Strategic Growth Council has been delegated the responsibility for the administration of this grant program, establishing necessary procedures; and

WHEREAS, said procedures established by the Strategic Growth Council require a resolution certifying the approval of application(s) by the Applicants governing board before submission of said application(s) to the State; and

WHEREAS, the applicant, if selected, will enter into an agreement with the State of California to carry out the development of the proposal.

NOW, THEREFORE, BE IT RESOLVED that the _____ (Governing Body)

1. Approves the filing of an application for the (name of the proposal) in order to become a sustainable community;
2. Certifies that applicant understands the assurances and certification in the application, and
3. Certifies that applicant or title holder will have sufficient funds to develop the Proposal or will secure the resources to do so, and
4. Certifies that the Proposal will comply with any applicable laws and regulations.
5. Appoints the (designate position, not person occupying position) _____, or designee, as agent to conduct all negotiations, execute and submit all documents including, but not limited to applications, agreements, payment requests and so on, which may be necessary for the completion of the aforementioned project(s).

Approved and adopted the _____ day of _____ 20____. I, the undersigned, hereby certify that the foregoing Resolution Number _____ was duly adopted by the _____ (Governing Body)

Following Roll Call Vote: Ayes: _____
 Nos: _____
 Absent: _____

Clerk/Secretary for the Governing Board

APPENDIX O- REGIONAL OR STATE ADOPTED PLANS

The council wants to promote coordination between local, regional and state efforts. In order to achieve this, a Proposal must indicate consistency with, or exceed the minimums of, a regional plan or state adopted plan (*see Appendix M*), as applicable to its region and to the Proposal.

California Transportation Plan (Department of Transportation)

The California Transportation Plan (CTP) is a statewide, long-range transportation plan for meeting California's future mobility needs. The CTP defines goals, policies, and strategies to achieve a vision for California's future transportation system. This plan, with a minimum 20-year planning horizon, is prepared in response to federal and State requirements and is updated every five years. CTP 2025 was approved in 2006 and updated by an addendum in October 2007 to comply with new federal planning requirements governing development of the plan.

California Wildlife Action Plan (Department of Fish and Game)

California Wildlife: Conservation Challenges, the State's wildlife action plan, was developed and produced as collaboration between the California Department of Fish and Game (DFG) and the Wildlife Health Center at the University of California, Davis. This report identifies species of habitats of greatest conservation need, the major stressors affecting native wildlife and habitats, and statewide and region-specific actions needed to restore and conserve California's wildlife.

Natural Community Conservation Plan: NCCP (Department of Fish and Game)

The primary objective of the NCCP program is to conserve natural communities at the ecosystem scale while accommodating compatible land use. The program seeks to anticipate and prevent the controversies and gridlock caused by species' listings by focusing on the long-term stability of wildlife and plant communities and including key interests in the process. Go to the following link to ensure that the proposal is consistent with any NCCP approved within your geographic jurisdiction: <http://www.dfg.ca.gov/habcon/nccp/status.html>

State Implementation Plan: SIPs (Air Resource Board)

SIPs are comprehensive plans that describe how an area will attain national ambient air quality standards (NAAQS). The 1990 amendments to the federal Clean Air Act set deadlines for attainment based on the severity of an area's air pollution problem. SIPs are not single documents. They are a compilation of new and previously submitted plans, programs (such as monitoring, modeling, permitting, etc.), district rules, state regulations and federal controls. Many of California's SIPs rely on the same core set of control strategies, including emission standards for cars and heavy trucks, fuel regulations and limits on emissions from consumer products.

The Surface Mining and Reclamation Act (Department of Conservation)

The Surface Mining and Reclamation Act (SMARA) encourage the production, conservation, and protection of the state's mineral resources. To address mineral resource conservation, SMARA mandated the classification-designation process. The objective of this process is to ensure, through appropriate local lead agency policies and procedures, that mineral materials will be available when needed and do not become inaccessible due to land-use decisions. Go to the following link and review maps to ensure that plans are consistent with any designated areas or areas of future designation: <http://www.doc.ca.gov>

Integrated Regional Water Management Plan

The Integrated Regional Water Management (IRWM) Plan is a comprehensive plan for a defined geographic area, with specific development, content, and adoption that complies with the California Water Code. An IRWM Plan is based on hydrologic boundaries rather than political boundaries, and describes the major water-related objectives and conflicts within a region, considers a broad variety of water management strategies, identifies the appropriate mix of water demand and supply management alternatives, water quality protections, and environmental stewardship actions to provide long-term high-quality water supply. An IRWM Plan is governed by the designated parties that form a Regional Water Management Group which must be comprised of three or more local agencies, at least two of which have statutory authority over water supply or management (as required by CWC Sec 10539), as well as other persons necessary for the development and implementation of an IRWM Plan. Disadvantaged communities and tribes are included as Stakeholders of the IRWM Plan and their water-related needs are taken into consideration and incorporated into the IRWM planning process. (California Water Code Sections 10534 and 10540-10543).

State Water Plan

The California Water Plan provides a framework for water managers, legislators, and the public to consider options and make decisions regarding California's water future. The Plan, which is updated every five years, presents basic data and information on California's water resources including water supply evaluations and assessments of agricultural, urban, and environmental water uses to quantify the gap between water supplies and uses.

RHNA

State housing element law (Government Code Section 65583 et. al.) requires the California Department of Housing and Community Development (HCD) to allocate the region's share of the statewide housing need to Councils of Governments (COG) based on Department of Finance population projections and regional population forecasts used in preparing regional transportation plans. The COG is required to develop a Regional Housing Need Plan (RHNP) allocating the region's share of the statewide need to cities and counties within the region. The RHNP should promote the following objectives:

1. Increase the housing supply and the mix of housing types, tenure, and affordability in all cities and counties within the region in an equitable manner;
2. Promote infill development and socioeconomic equity, the protection of environmental and agricultural resources, and the encouragement of efficient development patterns; and
3. Promote an improved intraregional relationship between jobs and housing.

APPENDIX P- ONLINE RESOURCES

For the following on-line additional resources, visit:

ARB Cool California: Local government assistance website <http://www.coolcalifornia.org/article/climate-action-planning>

California Global Warming Solutions Act of 2006

[http://www.leginfo.ca.gov/pub/05-06/bill/asm/ab_0001-0050/ab_32_bill_20060927_chaptered.pdf](http://www.leginfo.ca.gov/pub/05-06/bill/asm/ab_0001-0050/ab_0032_bill_20060927_chaptered.pdf)

Climate Adaptation Strategy:

<http://www.climatechange.ca.gov/adaptation/index.html>

Proposition 84 (Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Bond Act of 2006)

http://www.parks.ca.gov/pages/1008/files/prop_84_text.pdf

SB 732 - Strategic Growth Council Statute

http://www.leginfo.ca.gov/pub/07-08/bill/sen/sb_0701-0750/sb_732_bill_20080930_chaptered.pdf

State's Planning Priorities (Government Code 65041.1)

<http://leginfo.ca.gov/cgi-bin/waisgate?WAIISdocID=79891219925+0+0+0&WAIISaction=retrieve>

Strategic Growth Council

<http://www.sgc.ca.gov>

California Water Plan

<http://www.waterplan.water.ca.gov/cwpu2009/index.cfm>

Integrated Regional Water Management Plan (IRWMP)

<http://www.waterplan.water.ca.gov/cwpu2009/index.cfm>

Air Quality Improvement Plan

http://www.interiorhealth.ca/uploadedFiles/Information/Health_Service_Planning/AirQuality_Plan2007-2010.pdf

Air Quality Management Plan

<http://www.aqmd.gov/aqmp/aqmpintro.htm>

State Implementation Plan

<http://www.epa.gov/reg5oair/sips/>

Regional Water Quality Plan

http://www.swrcb.ca.gov/rwqcb9/water_issues/programs/basin_plan/index.shtml

http://www.waterboards.ca.gov/plans_policies/#plans

State Energy Plan

http://www.energy.ca.gov/energy_action_plan/

Preferred Loading Order

<http://www.energy.ca.gov/2005publications/CEC-400-2005-043/CEC-400-2005-043.PDF>

Local Health Offices:

<http://www.cdph.ca.gov/PROGRAMS/CCLHO/Pages/default.aspx>

Habitat Conservation Plans (HCP) - recognized by the California Department of Fish and Game.

<http://www.dfg.ca.gov/>

Mineral Designations and Minerals identified by the California Department of Conservation

<http://www.doc.ca.gov>

Conservation Easements approved by the Wildlife Conservation Board

<http://www.wcb.ca.gov/>

Conservation Easements approved by the Department of Conservation

<http://www.doc.ca.gov/dlrp>

Planning and Natural Resource Information CERES

<http://www.ceres.ca.gov>

Natural Community Conservation Plan Map

<http://www.dfg.ca.gov/habcon/nccp/status.html>

Sustainable Communities Planning Grant

Southeastern SD and Skyline Hill Community Plan Update (\$1M)- Planning

The City Planning & Community Investment Department is proposing to apply for Proposition 84 grant funding to develop a Sustainable Communities Planning Grant for the communities of Southeastern San Diego and Skyline-Paradise Hills. Both the Southeastern San Diego (Southeastern) and Skyline-Paradise Hills (SPH) Community Plans were adopted in 1987. These Community Plans have each had several amendments since that time but are in need of comprehensive updates. The Community Plan Updates would analyze existing conditions and develop the long-term vision for each community. The goal of the updates would be to ensure that the land use policies of Southeastern and SPH remain consistent with the General Plan (2008) and the Central Imperial Redevelopment Plan. The Community Plan Updates would be developed through a collaborative effort between City staff and community stakeholders to produce Community Plans that would establish specific policies and be organized and formatted to complement the General Plan and its elements: Urban Design, Land Use (Housing) and Community Planning, Mobility, Economic Prosperity, Public Facilities, Services and Safety, Recreation, Conservation, Noise and Historic Preservation. Updating the Southeastern and SPH Community Plans would provide opportunities to strengthen existing and incorporate new goals and policies related to where people in the community live and work in order to provide a better balance between jobs and housing. If awarded, this grant would help leverage local funds in order to achieve as far reaching an impact as possible. As a local match the City currently has \$500,000 allocated from the General Fund as well as \$800,000 in SANDAG Smart Growth Incentive Grant (SGIP) funding. However, the SANDAG funds can only be used for the specific SGIP study areas.

COMMUNITY PARTICIPATION AND PUBLIC OUTREACH EFFORTS

To achieve the desired level and commitment of community involvement in the Community Plan Update process, the City intends to form a Stakeholder Advisory Committee that will provide a public forum for these stakeholders to provide input and advisory recommendations to the City decision-makers relative to the various Plan Update components. Staff anticipates the Stakeholder Advisory Committee will be based on representation from the officially recognized Southeastern San Diego Community Planning Group, Encanto Neighborhoods Planning Group, and the Skyline-Paradise Hills Planning Committee as well as additional members from various groups, community organizations, and participating public agencies within the Plan Update boundary. A primary objective of the Stakeholder Advisory Committee is to engage the Southeastern San Diego and Skyline-Paradise Hills communities in an update of their community plans.

KEY STAKEHOLDERS AND PROJECTED IMPACTS

The Community Plan Updates would affect the entire communities of Southeastern San Diego and Skyline-Paradise Hills. Any impacts would be identified throughout the process and evaluated by the Stakeholder Advisory Committee.

Stakeholders could include, but are not limited to, the Southeastern San Diego Planning Group, the Skyline-Paradise Hills Planning Committee, residents, property owners, renters, business owners, other property owners, non-profit organizations as well as public agencies. Some examples of organizations and agencies that could be stakeholders could include Caltrans, San Diego Association of Governments (SANDAG), Metropolitan Transit System, San Diego Community College District, San Diego Housing Commission, Southeastern Economic Development Corporation (SEDC), the San Diego Unified School District, the Environmental Health Coalition and Groundworks San Diego. In addition to established and recent immigrant groups, there are numerous business stakeholders and interest groups active in the planning area who are either minority or low-income stakeholders or who provide services for disadvantaged communities. The Jacobs Center for Neighborhood Innovation (JCNI) provides for a comprehensive neighborhood-led approach ("Voices of the Community at All Levels" or VOCAL) to support inclusive community involvement in revitalization and ownership of change and other area stakeholders, including faith institutions, schools, businesses and resident cultural groups.

RESOLUTION NUMBER R-_____

DATE OF FINAL PASSAGE _____

A RESOLUTION OF THE COUNCIL OF THE CITY OF SAN DIEGO AUTHORIZING THE MAYOR TO MAKE APPLICATION TO THE CALIFORNIA STRATEGIC GROWTH COUNCIL FOR GRANT FUNDING UNDER THE URBAN GREENING PLANNING PROGRAM, URBAN GREENING PROJECTS PROGRAM AND THE SUSTAINABLE COMMUNITIES PLANNING GRANT AND INCENTIVE PROGRAM; AUTHORIZING THE MAYOR TO TAKE ALL NECESSARY ACTIONS TO SECURE AND EXPEND FUNDING FROM THE SGC FOR ALL GRANT APPLICATIONS; AUTHORIZING THE CITY CHIEF FINANCIAL OFFICER TO ACCEPT FUNDS IF GRANT FUNDING IS SECURED; AUTHORIZING THE CHIEF FINANCIAL OFFICER TO ESTABLISH A SPECIAL INTEREST-BEARING FUND FOR THE GRANTS; AND AUTHORIZING THE CITY CHIEF FINANCIAL OFFICER TO APPROPRIATE AND EXPEND THE GRANT FUNDS, CONTINGENT UPON RECEIPT OF A FULLY EXECUTED GRANT AGREEMENT.

WHEREAS, in November 2006, California voters passed the Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection bond Act of 2006

[Proposition 84]; and

WHEREAS, Proposition 84 authorized \$17.5 million in state funds for Urban Greening Plans to develop more public green spaces, \$ 70 million for Urban Greening Projects and \$60 million for Sustainable Communities Plans and the California Strategic Growth Council [SGC] is seeking proposals that fall under three categories; and

WHEREAS, the City desires to submit the following two proposals for the Urban Greening Planning Grant: the Mid-City and College Area Urban Greening Plan, the development of a greening plan that would include existing inventory and deficiencies analysis and park

implementation strategies; and Canyon Restoration Permit and Planning Project, a project to promote restoration activities within San Diego's heavily impacted urban canyons that would act as a catalyst for strengthened open space preservation and more robust canyon restoration and enhancement; and

WHEREAS, the City desires to submit the following five projects for Urban Greening Project Grants, Union Street: Green Street Pilot Project; East Village Green and St. Joseph Park Acquisition; Streamview Drive Green/Complete Street Project; Mt. Abernathy Drive Green Street; and Southcrest Park Infiltration; and

WHEREAS, the City desires to submit the Southeastern San Diego and Skyline Paradise Hills Community Plan Update for grant funding from the SGC's Sustainable Communities Planning Grants program; NOW THEREFORE,

BE IT RESOLVED, that the Council does hereby authorize the Mayor to apply to the California Strategic Growth Council for funding under the Urban Greening Planning Program, Urban Greening Projects Program, and the Sustainable Communities Planning Grant and Incentive Program as is outlined here and in the attachments to the Report to City Council; and

BE IT FURTHER RESOLVED, that the Mayor is hereby authorized to take all necessary actions to secure funding from the Sustainable Growth Council for all grant applications; and

BE IT FURTHER RESOLVED, that the Chief Financial Officer is authorized to accept, appropriate and expend funds if grant funding is secured; and

