REQU		OR CC		L ACTIO	ON			ER L'S USE ONLY)
TO:		FROM	(ORIG	INATING	DEPARTMENT	): DATE:		
CITY COUNCIL		Public	Works/	Engineering	g-ROW	08/08/20	)13	
SUBJECT: El Camino	Real – H	alf-Mile	to Via	de la Valle -	- Authorize First	Amendmen	t to the Con	sultant
Agreement with RBF	Consultin	g						
PRIMARY CONTAC			IE):		SECONDARY	CONTACT	NAME. F	PHONE):
Marnell Gibson ,533-	,		,.		Brad Johnson			
Trainer Greser ,ess	<u>, 1,115</u>		LETE F	OR ACCO	UNTING PURP		,16 9 0 0 1 1	
FUND	600000		400169					
DEPT / FUNCTIONAL	OTHR-000	000000-		00000000-				
AREA	TR		TR					
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OBJECT / GENERAL	512028		512028					
LEDGER ACCT								
JOB / WBS OR	S-00856.02	2.04	S-00856	5.02.04				
INTERNAL ORDER								
C.I.P./CAPITAL	S-00856		S-00856	5				
PROJECT No.		10			0.00	0.00	0.0	0
AMOUNT	\$206,637.0	00	\$51,660	0.00	0.00	0.00	0.0	0
FUND								
DEPT / FUNCTIONAL								
AREA								
ORG / COST CENTER								
OBJECT / GENERAL								
LEDGER ACCT								
JOB / WBS OR								
INTERNAL ORDER								
C.I.P./CAPITAL								
PROJECT No.								
AMOUNT	0.00		0.00		0.00	0.00	0.0	0
COST SUMMARY (I		/	:	RE	BF Consulting			
	\$393,455.0							
This Action \$2	258,297.00	)						
Total Amount	\$651,752.0	00						
			ROUT	ING AND	APPROVALS			
				APP	ROVING	APPR	OVAL	DATE
CONTRIBUTO	RS/REVI	EWERS	:	AUT	THORITY	SIGNA	TURE	SIGNED
Environmental				ORIG DE	PT.	Nagelvoort	James	8/19/2013
Analysis							,	
Financial Managemen	·							
Liaison Office		DEPUTY	CHIEF					
				COO				
				CITY AT	TORNEV			
				COUNCI				
DDEDADATION OF		ECOLU	TIONIC	L	NTS OFFICE	ACDEEM	ENIT(C)   F	
PREPARATION OF:	<u> </u>	ESOLU	HUNS		NANCE(S)	AGREEM	$ENI(S) \mid \Gamma$	DEED(S)

- 1. The Mayor or his designee is authorized to execute the First Amendment to the Consultant Agreement with RBF Consulting for environmental services for CIP S-00856, El Camino Real Half Mile to Via de la Valle, in an amount not to exceed \$258,297; and
- 2. The Chief Financial Officer is authorized to expend \$258,297 from CIP S-00856, El Camino Real Half Mile to Via de la Valle, of which \$206,637 is from Fund 600000, Grant Fund 1000130-1999, and \$51,660 is from Fund 400169, Transnet, for the purpose of funding the First Amendment to the Consultant Agreement with RBF Consulting contingent upon the Chief Financial Officer certifying that funds are available.

#### STAFF RECOMMENDATIONS:

Adopt the resolutions.

SPECIAL CONDITIONS (REFER	R TO A.R. 3.20 FOR INFORMATION ON COMPLETING THIS SECTION)
COUNCIL DISTRICT(S):	Lightner (1)
COMMUNITY AREA(S):	Sub Area II, Future Urbanizing Area & Fairbanks Ranch Country Club
ENVIRONMENTAL IMPACT:	See attached Environmental Impact Statement
CITY CLERK	Upon Mayoral approval, please send one copy of the executed Council
INSTRUCTIONS:	Action, two (2) signed original Amendments to the Consultant Agreement for
	RBF Consulting and a copy of the resolutions to Dean Marsden, Public Works
	– ECP, Right of Way Division, MS 908A.

# COUNCIL ACTION EXECUTIVE SUMMARY SHEET

CITY OF SAN DIEGO

DATE: 08/08/2013

ORIGINATING DEPARTMENT: Public Works/Engineering-ROW

SUBJECT: El Camino Real – Half-Mile to Via de la Valle – Authorize First Amendment to the

Consultant Agreement with RBF Consulting COUNCIL DISTRICT(S): Lightner (1)

CONTACT/PHONE NUMBER: Marnell Gibson /533-5213, MS 908A

#### DESCRIPTIVE SUMMARY OF ITEM:

The El Camino Real – Half-Mile to Via de La Valle project proposes to modify the segment of El Camino Real between Via de la Valle and San Dieguito Road. This action would authorize the First Amendment to the Consultant Agreement with RBF Consulting to complete the Environmental Assessment.

#### STAFF RECOMMENDATION:

Adopt the resolutions.

#### EXECUTIVE SUMMARY OF ITEM BACKGROUND:

The El Camino Real – Half-Mile to Via de La Valle project proposes to modify the segment of El Camino Real between Via de la Valle and San Dieguito Road in order to improve the structural integrity of the bridge over the San Dieguito River, alleviate problems associated with high flood events, improve pedestrian and vehicular access to nearby coastal and recreational resources, relieve traffic congestion, and improve consistency with the adopted land use plan for the project area. The proposed improvements include raising and widening El Camino Real roadway and replæing the bridge with a structure that is also raised and wider. Approximately 1,000 feet of Via de la Valle also would be widened to accommodate new turn lanes from El Camino Real, and drainage improvements would be constructed along the southern edge of Via de la Valle, from existing El Camino Real to El Camino Real North.

The original Consultant Agreement with RBF Consulting was required in order to complete the Environmental Assessment (EA) in accordance with National Environmental Policy Act (NEPA) guidelines, and complete permitting with Resource Agencies. The First Amendment to the Consultant Agreement with RBF Consulting is necessary because Caltrans has informed the City that RBF's existing scope of work will need to be further expanded due to the unique wetland conditions at the project site and presence of sensitive biological species at and adjacent to the site. Caltrans has provided direction regarding technical documentation that will be required in order to meet NEPA requirements. The timeframe of the agreement will not change as a result of this amendment.

#### FISCAL CONSIDERATIONS:

The First Amendment to the Consultant Agreement with RBF Consulting is not to exceed \$258,297. Funding is available for this First Amendment of which \$206,637 is from Fund

600000, Grant Fund 1000130-1999, and \$51,660 is from Fund 400169, Transnet, for the purpose of executing this amendment to the original agreement, contingent upon the Chief Financial Officer furnishing a certificate certifying that funds necessary for expenditure are, or will be, on deposit with the City Treasurer. If Council approved, this First Amendment will increase the total agreement amount to \$651,752.00 with RBF consulting.

#### EQUAL OPPORTUNITY CONTRACTING INFORMATION (IF APPLICABLE):

The State of California – Department of Transportation is a public entity created under the authority of law, and as such, is exempt from submitting Work Force Reports. Refer to San Diego Municipal Code Section 22.2703(b).

The Consultant Agreement with RBF Consulting is subject to the City's Equal Opportunity Contracting (San Diego Ordinance No. 18173, Section 22.2701 through 22.2708) and Non-Discrimination in Contracting Ordinance (San Diego Municipal Code Sections 22.3501 through 22.3517).

PREVIOUS COUNCIL and/or COMMITTEE ACTION (describe any changes made to the item from what was presented at committee):

- Anticipate 9/30/13 hearing with Infrastructure Committee.
- Council Resolution R-306817 dated June 2, 2011 authorized acceptance, appropriation, and expenditure of \$2,560,000 of Regional Surface Transportation Program Funds and authorized expenditure of \$393,455 for the execution of the original consultant agreement with RBF Consulting.
- Council Ordinance O-20015 dated January 18, 2011authorized acceptance, appropriation and expenditure of \$1,428,000 of Federal Grant Funds and authorized expenditures of \$197,130 for the execution of a First Amendment to the agreement with Rick Engineering, Inc. and \$70,000 for the execution of a First Amendment to the agreement with Recon, Inc.
- Council Resolution R-305004 dated July 2, 2009, authorized the execution of a Fund Exchange Agreement between the City and the County of San Diego for the exchange of the City's Federal Regional Surface Transportation Program (RSTP) funds in the amount of \$157,000 for Transnet funds from the County of San Diego.
- Council Resolution R-303294 dated January 18, 2008 authorized the expenditure of \$200,000 for the execution of the original consultant contract with Recon Environmental.
- Council Resolution R-299773 dated October 11, 2004 authorized acceptance, appropriation and expenditure of \$1,700,000 of Highway Bridge Rehabilitation and Replacement Funds and \$500,000 for private contributions from Black Mountain Ranch, LLC Council Ordinance O-19234 dated November 8, 2004 authorized the expenditure of \$275,394 for the execution of the Second Contract Amendment with Earth Tech.
- Council Resolution R-295346 dated August 6, 2001 authorized the expenditure of \$450,684 for the execution of the First Contract Amendment with Earth Tech. Council Resolution R-289908 dated March 31, 1998 authorized the expenditure of \$411,817 for the execution of the original consultant contract with Earth Tech.

COMMUNITY PARTICIPATION AND PUBLIC OUTREACH EFFORTS:

Meetings took place with the Carmel Valley CPG from 2004-2006 and with the San Dieguito River Valley Task Force in 2006-2007. More recent meetings with the Carmel Valley Community Planning Group and the San Dieguito Joint Powers Authority were conducted in 2009 and 2010. Residents, business and property owners along the corridor attended these meetings and have met with City staff on an individual basis over the past several years.

#### KEY STAKEHOLDERS AND PROJECTED IMPACTS:

Key stakeholders include Fairbanks Ranch Country Club, Community of Carmel Valley, San Dieguito Riverpark Joint Powers Authority, San Diego Polo Club, State of California 22nd Agricultural District, SANDAG, Caltrans District 11, Mary's Tack and Feed, All Creatures Animal Hospital, Polo View, LLC and RBF Consulting.

Nagelvoort, James Originating Department

Deputy Chief/Chief Operating Officer

## **Environmental Impact Statement**

This action supports an activity that is considered a Project as defined in CEQA Section 15378. The El Camino Real Road/Bridge Widening Project, Project No. 2982, has been reviewed by the Development Services Department and an environmental document is being prepared, DEIR No. 2982, in accordance with State CEQA Guidelines and covers the project components that this contract amendment will support. Pursuant to CEQA Section 15352(a), this action does not constitute approval of the Project, which will occur with project approval and EIR certification at a future date. Therefore, there is no change in circumstance, additional information or project changes to warrant additional environmental review for this action.

#### SUBCONTRACTOR PARTICIPATION LIST

This list shall include the name and complete address of all Subcontractors who qualify as SLBEs or ELBEs. Contractors may also list participation by MBE, WBE, DBE, DBVE and OBE firms. However, no additional points will be awarded for participation by these firms, except that DVBEs that qualify as local businesses shall counted as SLBEs.

Contractor shall also submit Subcontractor commitment letters on Subcontractor's letterhead, no more than one page each, from Subcontractors listed below to acknowledge their commitment to the team, scope of services, and percent of participation in the project.

Subcontractors shall be used in the percentages listed. No changes to this Participation List will be allowed without prior written City approval.

NAME AND ADDRESS SUBCONTRACTORS	SCOPE OF SERVICES	PERCENT OF CONTRACT	SLBE/ELBE (*/MBE/ WBE/DBE/ DVBE/OBE)	** WHERE CERTIFIED
KTU+A 3916 Normal Street San Diego, CA 92103	Visual Impact Assessment (VIA)	2.10	OBE	N/A
Entech Consulting Group 43410 Business Park Dr. Temecula, CA 92590	Noise Measurements	5.71	DBE	Caltrans
Nordby Biological Consulting 5173 Waring Road #171 San Diego, CA 92120	404(b)(1) Analysis and Natural Environment Study (NES)	9.40	SBE/SLBE	State of California/N /A
Rick Engineering Company 5620 Friars Road San Diego, CA 92110	Water Quality Assessment Report (WQAR)/Hydra ulics Study; Construction Methodology	11.39	OBE	N/A
Tierra Environmental Services, Inc. 9915 Business Park Avenue, Suite C San Diego, CA 92131	Cultural Resources Records Search	1.58	MBE	Caltrans

T.Y. Lin 404Camino del Rio South, Suite 700 San Diego, CA 92108	Equestrian Path Cost Estimate/NEPA Support	0.77	OBE	N/A
Urban Systems Associates, Inc. 4540 Kearny Villa Road, Suite 106 San Diego, CA 92123	Revise CEQA TIA for NEPA	0.68	OBE	N/A
Allied Geotechnical Engineers, Inc. 9500 Cuyamaca Street, Suite 102 Santee, CA 92071-2685	Geotechnical Engineering		UDBE- DBE, MBE	Caltrans
Konecny Biological Services 1501 E Grand Ave #2403 Escondido, CA 92027	Avian Biology		OBE/SBE	N/A

<sup>\*</sup> Listed for informational purposes only.

## List of Abbreviations:

Certified Woman Business Enterprise WBE
Contificat Discrete at Designary Enterpolicy
Certified Disadvantaged Business Enterprise DBE
Certified Disabled Veteran Business Enterprise DVBE
Other Business Enterprise OBE
Small Local Business Enterprise SLBE
Emerging Local Business Enterprise ELBE

<sup>\*\*</sup> Consultant shall indicate if Subcontractor is certified by one of the agencies listed in Section VII of the Equal Opportunity Contracting Program (EOCP) Attachment.

#### ATTACHMENT CC

## **CONTRACT ACTIVITY REPORT**

Consultants are required by contract to report Subcontractor activity in this format. Reports shall be submitted via the Project Manager to the *Equal Opportunity Contracting Program (EOCP)* no later than thirty (30) days after the close of each quarter.

PROJECT: El Camino Real Bridge/Road Widening PRIME CONTRACTOR: RBF Consulting

CONTRACT AMOUNT: \$651,752 INVOICE PERIOD: Third Quarter DATE: September 19, 2013

Include Additional Services Not-to-Exceed Amount

	Indicate	Curre	nt Period	Paid 1	to Date	Original Commitment		
Subcontractor	SLBE, ELBE, MBE, WBE, DBE, DVBE or OBE	Dollar Amount	% of Contract	Dollar Amount	% of Contract	Dollar Amount	% of Contract	
KTU+A	OBE	0	0	12,860.75	1.97	13,715	2.10	
Entech Consulting	DBE	0	0	34,045.08	5.22	37,240	5.71	
Nordby Biological	SBE/SLBE	0	0	9,127.37	1.40	61,255	9.40	
Rick Engineering Company	OBE	285.00	0.44	57,412.15	8.8	74,209	11.39	
Tierra Environmental	MBE	0	0	2,205.10	0.33	10,300	1.58	
T.Y. Lin	OBE	0	0	4,728.77	0.73	5,000	0.77	
Urban Systems Assoc.	OBE	0	0	12,336.00	1.89	4,400	0.68	
Allied Geotechnical	UDBE-DBE, MBE					Removed		
Konecny Biological	OBE/SBE					Removed		
Prime Contractor Total:	\$445,633							
Contract Total:	\$651,752							

Completed by:	Monica Kling

# City of San Diego Human Resources Department Request for Human Resources Approval for Purchase Requisition

Requesting Department:	Public Works					
Vendor Name:	RBF Consulting					
Purchase Requisition #:	N/A					
Department Contact:	Name: RBF Consulting se Requisition #: N/A nent Contact: Dean Marsden, 533-4608 Request: 08/07/2013					
Date of Request:	08/07/2013					
Contract Amount:	\$ 258,297 amendment (\$651,752 total contract amoun					

Please submit request to HumanResources@sandiego.gov or MS 56L

Question	Department Response
What is the contract for? Provide a brief description of the activity and what work the contract would be doing	Consultant environmental services of FHWA funded bridge project. Amendment required to perform additional studies and reports beyond scope of Original Agreement to obtain NEPA approval of project.
Are City employees currently performing any of the work?	City staff managing consultant contracts and in a Deputy City Engineer capacity enforcing standards.
Will any City employees be displaced as a result of this contract?	No
If this is a renewal of an existing contract, how long have these services been contracted out?	Amendment to Original Agreement which is dated May 24, 2011.
Is this a Public Works Contract? (construction, reconstruction or repair of City buildings, street or other facilities)	No
Is this a Tenant Improvement?	No
Was another department contacted to determine if they can or do perform this service (i.e. Streets, Facilities, etc.)? If so, please attach communication.	No

NOTE: If a public works construction contract and over \$100k, see City Charter Section 94.

HUMAN RESOURCES DEPARTMENT USE ONLY	APPROVED
Based on the Department's representation, this contract is _ from a labor relations perspective.	
	8.12.13
Human Resources Department Liaison	Date

# The City of San Diego COMPTROLLER'S CERTIFICATE

				CERT	IFICATE OF UNALL	OTTED BA	ALANCE	ORIGINATING DEF	
								N	0 2112
1	HEREBY	CERTIF	Y that the mor	ney required fo	r the allotment of fur	nds for th	e purpose set forth i	n the foregoing re	solution is available
n the	Treasury, o	or is ant	icipated to con	ne into the Trea	asury, and is otherw	ise unall	otted.		
Amoui	nt:						Fund:		
Purno	Se.								
Purpose:    Date:   By:									
	Funded Program Fund Grant Number G/L Account Functional Area Business Area Center WBS Eleme  CERTIFICATION OF UNENCUMBERED BALANCE  HEREBY CERTIFY that the indebtedness and obligation to be incurred by the contract or agreement authorized ed resolution, can be incurred without the violation of any of the provisions of the Charter of the City of San Diego, that sufficient moneys have propose of said contract, that sufficient moneys to meet the obligations of said contract are actually in the Treas								
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Date:							ву:	COMPTROLLER'S DE	PARTMENT
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		Fund	Grant Number	G/I Account	Functional Area			Internal Order or	Original Amount
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zendoi		KDF C	onsuling						
ourpos	se:		•		ot to exceed \$258,29 Camino Real - Half Mi			purpose of funding t	he first amendment
Date:				September 18	, 2013		By: James Lo	ong Comptroller's DE	PARTIMONT
					ACCOUNTING DA	ATA			
Doc.	Funded	E d	Crant Number	G/L Account	Functional Area	Business	Internal Order	WDS Flamout	Original Amount
Item 001	Program S00856	Fund 600000	Grant Number 1000130-1999	512028	OTHR-00000000-TR	Area 2113	internal Order	WBS Element S-00856.02.04	\$206,637.60
002	S00856	400169	•	512028	OTHR-00000000-TR	2113		S-00856.02.04	\$51.659.40
_		-							
		-							

CC-361 (REV 7-09)

FUND OVERRIDE CC 3000006362

\$258,297.00

TOTAL AMOUNT

#### FIRST AMENDMENT TO AGREEMENT

This First Amendment to the Agreement Between the City of San Diego and RBF Consulting for El Camino Real Bridge/Road Widening – Environmental Documentation (Contract No. H104890A) dated May 24, 2011 [Agreement], is hereby entered into by and between the City of San Diego [City], a municipal corporation, and RBF Consulting [Consultant].

#### RECITALS

- A. The City and Consultant [collectively referenced herein as the "Parties"] entered into the Agreement, which is on file in the Office of the City Clerk as Document No. RR-306817, to provide *environmental consulting services* for *El Camino Real Bridge / Road Widening Project* [Project].
- B. The City desires to execute a First Amendment to the Agreement for the Consultant to provide additional Professional Services, as indicated in the expanded Scope of Services [Exhibit A-1], for a compensation amount not to exceed \$258,297 (consisting of \$178,297 for the Scope of Services and \$80,000 for Additional Services), with total compensation for services provided under the Agreement not to exceed \$651,752.
- C. Consultant desires to provide the services required under this First Amendment.

  NOW, THEREFORE, in consideration of the Recitals stated above and incorporated herein by this reference and the mutual obligations of the Parties expressed herein, the Parties agree to modify the Agreement, which is incorporated herein by reference, as follows:
  - 1. Section 1.1 is amended to read as follows:

ADD: "The Consultant shall perform Professional Services as set forth in the written Scope of Services [Exhibit A-1] at the direction of the City as specifically enumerated in the Compensation and Fee Schedule [Exhibit B-1], and in accordance to the Time Schedule [Exhibit C-1]."

#### 2. DELETE Section 2.1 in its entirety and REPLACE with the following:

"2.1 Term of Agreement. This Agreement shall be effective on the date it is executed by the last Party to sign the Agreement, and approved by the City Attorney in accordance with San Diego Charter Section 40. Unless otherwise terminated, it shall be effective until completion of the Scope of Services or August 9, 2016 whichever is the earliest but not to exceed five years unless approved by City ordinance."

#### 3. Section 3.1 is amended to read as follows:

ADD: "The City shall pay the Consultant for all Professional Services and all expenses related to performance under this First Amendment to the Agreement, in an amount not to exceed \$258,297, as set forth in the Compensation and Fee Schedule [Exhibit B-1]. The Consultant shall be entitled to compensation for Professional Services under this First Amendment to the Agreement, whether within the Scope of Services or as Additional Services, based on the Compensation and Fee Schedule. For the duration of this First Amendment to the Agreement, the Consultant shall not be entitled to fees which exceed the Compensation and Fee Schedule. The Total Compensation to Consultant under this Agreement shall not exceed \$651,752."

4. Section 3.2 (Additional Services) is amended to read as follows:

ADD: "If the City requires additional Professional Services [Additional Services] beyond the Scope of Services performed pursuant to this First Amendment to the Agreement, except for additional costs as described in Section 3 of this Agreement, the City shall pay Consultant an additional fee not to exceed \$80,000. The City and the Consultant must agree in writing upon such fee for a specific task based on the Fee Schedule prior to the Consultant beginning the Additional Services."

5. Article VI is amended to read as follows:

DELETE Article VI in its entirety and REPLACE with the following:

"6.1 Indemnification and Hold Harmless Agreement. With respect to any liability, including but not limited to claims asserted or costs, losses, attorney fees, or payments for injury to any person or property caused or claimed to be caused by the acts or omissions of the Consultant, or Consultant's employees, agents, and officers, arising out of any services performed under this Agreement, the Consultant agrees to defend, indemnify, protect, and hold harmless the City, its agents, officers, and employees from and against all liability. Also covered is liability arising from, connected with, caused by, or claimed to be caused by the passive negligent acts or omissions of the City, its agents, officers, or employees which may be in combination with the active or passive negligent acts or omissions of the Consultant, its employees, agents or officers, or any third party. The Consultant's duty to defend, indemnify, protect and hold harmless shall not include any claims or liabilities arising from the sole negligence or willful misconduct of the City, its agents, officers or employees."

- 6. The following attachments are incorporated herein by reference as follows: Exhibits A-1 (Scope of Services), B-1 (Compensation and Fee Schedule), C-1 (Time Schedule).
- 7. The Parties agree that this First Amendment to the Agreement represents the entire understanding of the Consultant and the City and affects only those paragraphs referred to, and all other terms and conditions of the Agreement remain in full force and effect.

IN WITNESS WHEREOF, this First Amendment to the Agreement Between the City of San Diego and RBF Consulting for El Camino Real Bridge/Road Widening – Environmental **Documentation** is executed by the City of San Diego acting by and through its Mayor, or his designee, pursuant to Resolution No.\_\_\_\_\_ authorizing such execution, and by Consultant. THE CITY OF SAN DIEGO Mayor or Designee By\_\_\_\_ Date: RBF Consulting By: Richard A. Rubin **Executive Vice President** Title: Date: Friday, September 6, 2013 I HEREBY APPROVE the form and legality of the foregoing Amendment on this JAN I. GOLDSMITH, City Attorney By: Ryan Kohut Deputy City Attorney

#### ADDITIONAL WORK REQUEST EL CAMINO REAL BRIDGE/ROAD WIDENING PROJECT July 29, 2013

#### TASK 1 PHASE I INITIAL SITE ASSESSMENT

Based on direction received from Caltrans, RBF will prepare a Phase I Initial Site Assessment (ISA) for the proposed El Camino Real/Bridge Widening (the project), located in the city of Del Mar and San Diego, California. The ISA will be prepared in accordance with the American Society for Testing and Materials (ASTM) Standard Practice E 1527-05 and the California Department of Transportation's (Caltrans) Standard Environmental Reference (SER). It is acknowledged in this scope of work that four (4) build alternatives will be considered.

The ISA is not intended to provide specific qualitative or quantitative information as to the actual presence of hazardous materials at the site, but is to merely identify the potential presence based on available information and provide preliminary conclusions relative to site conditions. This scope specifically excludes laboratory testing, field sampling, environmental lien searches, and chain of title documents (although these can be reviewed by RBF if provided by the Client). Also, environmental lien searches and chain of title documents may be reviewed on a time and materials basis, if requested by the Client. The Phase I ISA will include the following components:

- RBF will perform a site visit, which will consist of a visual examination of the project site for visual evidence of potential environmental concerns, including existing or potential soil and groundwater contamination as evidenced by soil or pavement staining or discoloration; stressed vegetation; indications of waste dumping or burial; pits; ponds; or lagoons; containers of hazardous substances or petroleum products; electrical and hydraulic equipment that may contain PCBs, such as electrical transformers and hydraulic lifts; and underground and aboveground storage tanks. RBF will examine the physical characteristics of the property (i.e., apparent runoff directions, location of paved areas, etc.). It should be noted that the site visit specifically excludes any subsurface investigation including, but not limited to, sampling and/or laboratory analysis.
- A preliminary visual examination of immediately adjacent property conditions and their general nature will be conducted.
- An investigation of historical uses of the project site by examining locally available aerial
  photographs (including historical aerial photos), historical topographic maps, and other
  available documentation for evidence of potential environmental concerns associated
  with prior land uses. This analysis will be provided in table format within the ISA report.
  Other past investigations will also be considered, if provided by the Client.
- This scope of work assumes that permanent right-of-way acquisition at multiple properties within multiple cities, for four Build Alternatives, would be required as part of the proposed project. Investigations will be conducted for each proposed Assessor's Parcel Number (APN) to be acquired as part of the project (for each of the four Build Alternatives). This analysis will include an exhibit (for each Build Alternative) illustrating the proposed project, the existing roadway right-of-way, the proposed roadway right-of-way, APNs, and the proposed acquisition areas (to be provided by the project Engineer).

A table will also be provided that will summarize potential recognized environmental conditions (RECs) per each APN to be acquired (for each Build Alternative).

- RBF will interview knowledgeable persons (i.e., current property owners, operators, occupants, adjacent residents, as well as applicable public agencies, if available) to identify operations conducted on the project site and neighboring properties, if any. RBF will also identify the uses of all adjoining properties (i.e., those contiguous to the project site). If such operations are likely to affect the project site by contamination with hazardous substances or petroleum products, RBF will describe the risks presented to the project site within the ISA.
- A review of information available on general geology and topography of the project site and local groundwater conditions will be conducted.
- RBF will include a review of the commercial database summaries, provided by Environmental Data Resources, Inc. (EDR), regarding public agency records for the project site and surrounding area.
- A review of property data for the project site, if available, will be conducted.
- RBF will complete the ISA Checklist, as required by Caltrans, for the project.

#### TASK 2 AGRICULTURAL/FARMLAND ANALYSIS

Based on direction received from Caltrans, RBF will incorporate an analysis of potential impacts to agricultural and farmland resources within the Community Impact Assessment (CIA). NEPA and the Farmland Protection Policy Act (FPPA) require Federal agencies, such as FHWA, to coordinate with the Natural Resources Conservation Service (NRCS) if their activities may irreversibly convert farmland (directly or indirectly) to nonagricultural uses. For the purposes of the FPPA, farmland includes prime farmland, unique farmland, and land of statewide or local importance. Because there are "Farmlands of Local Importance" designations within or adjacent to the project site (according to the California Department of Conservation Farmland Monitoring and Mapping Program's 2008 "Important Farmland in California" map), an analysis of farmland impacts will also be conducted and reported on in the CIA document, following quidance in the SER. A separate, stand-alone document is not completed for Farmland Impacts. If it is determined that there are viable farmlands in the project area, RBF will complete Form AD-1006 in coordination with the NRCS and Caltrans District 11, and will also complete a Farmland Conversion Impact Rating form for each project alternative, if these lands are proposed to be converted as part of project implementation.

#### TASK 3 SECTION 4(f) ANALYSIS

Per the direction provided by Caltrans regarding the analysis of potential impacts to recreational resources under NEPA, RBF will prepare a Section 4(f) discussion within the EA. This discussion will focus on the Del Mar Horsepark, Fairbanks Ranch Country Club, Del Mar Polo Fields, and existing and proposed trails within the project vicinity. The discussion will describe existing and/or planned activities at each facility and provide justification regarding why each facility would not be a substantially-affected Section 4(f) resource. Additional recreational facilities within a 0.5-mile radius of the project site will also be identified and discussed. This task also includes consultation with the San Dieguito River Park JPA to identify existing/planned facilities in the area.

A formal Individual Section 4(f) analysis is not included within this task. If any recreational resources within the project area are identified as Section 4(f) resources that would be

adversely impacted by the project, additional analysis can be provided under a separate scope and fee.

#### TASK 4 VISUAL IMPACT ASSESSMENT

Per direction received from Caltrans, RBF has retained KTU+A to prepare a formal Visual Impact Assessment (VIA) to support the visual/aesthetics analysis within the EA. KTU+A will update and modify the Visual Study previously prepared in support of the CEQA document. The VIA will utilize recently-prepared visual simulations that have been included in the RECON EIR.

The NEPA document will take the CEQA analysis and adapt it to FHWA and local Caltrans VIA standards, assuming use of the Complex VIA template. The Complex VIA template has been substantially updated since the previous visual analysis was prepared nearly 10 years ago. Though the previous visual analysis was generally aligned with FHWA standards, it did not follow the current methodology for visual rating score sheets or existing landscape unit systems. The entire document will be reformatted to meet the latest Complex VIA template in addition to Chapter 27 guidance and additional policy documents required by Caltrans. Refinement of the project's Visual Assessment Units, sensitivity to change, and visual impact levels will also be updated to meet current Caltrans standards. KTU&A will also verify and update existing conditions within the project area, including viewer groups and viewer response in the project area.

Additionally, several alternatives analyzed in the CEQA document will not be analyzed in the NEPA VIA. Thus, all text, visual simulations, references, and figure numbering systems will be updated throughout the document.

#### TASK 5 NOISE MEASUREMENTS

As part of the Noise Study Report being prepared for the project, RBF has retained Entech to perform supplemental noise measurements that include simultaneous traffic counts to support existing baseline conditions at and surrounding the project site. During the noise monitoring survey phase of the noise analysis, Entech will conduct a reconnaissance of the project area to determine existing land use activities, developed lands, and undeveloped lands for which development is planned, designed and programmed, which may be affected by noise the project. Physical and terrain features which affect noise propagation and features which may be altered during construction are noted. A preliminary listing of sensitive receptors and associated noise measurement sites listed below were selected following the guidance provided in the Caltrans TeNS document (Caltrans, 2009). One long term measurement will be conducted to determine the noisiest peak hour (defines LOS C/D conditions when maximum traffic volumes are at free flowing conditions). The noise measurements will be conducted utilizing a Type I Larson Davis Sound Level meter.

Existing short-term noise level measurements at seven sites will be conducted at the worst hourly traffic noise hour. Noise measurements are conducted at identified sites to calibrate the traffic noise model and to ensure complete description of existing noise levels that are representative of the land uses along the proposed alignments. All measurements are conducted for 15 minute sampling periods during daytime off-peak hours when traffic is moving freely. At each measurement site, traffic counts are conducted concurrently with the noise measurements. The sources of noise, topographical features, ground conditions and other features that could affect current or future noise transmission are described for each receiver.

Traffic volumes that are counted during the noise measurement survey are modeled and the resulting sound levels are compared with the measured sound levels to reach close agreement.

#### TASK 6 404(b)(1) ANALYSIS

RBF has retained Nordby Biological Consulting (Nordby) to prepare the 404(b)(1) Alternatives Analysis Evaluation leading to the identification of the least environmentally damaging practicable alternative (LEDPA) pursuant to 40 Code of federal Regulations (CFR) Part 230, Section 404(b)(1) Guidelines for Specification of Disposal Sites for Dredged or Fill Material (Guidelines). The document will include:

- Alternatives developed, including those withdrawn from further consideration and alternatives considered in the EA,
- Identification of the preferred alignment,
- Agency comments on the preferred alignment,
- Guideline requirements,
- Comparison of each alternative's impacts on upland and wetland habitats,
- Potential impacts on the physical and chemical characteristics of the aquatic ecosystem, potential impacts,
- Potential impacts on biological characteristics of the aquatic ecosystem
- Potential impacts on wetlands,
- Potential impacts on human use characteristics.
- Air quality plans conformity,
- · Cumulative effects,
- · Actions to minimize adverse effects, and
- LEDPA identification.

This task assumes that there will be four project team meetings and four agency meetings during the preparation of the 404(b)(1) analysis. These meetings will be required to discuss impacts to sensitive wetland resources as well as impacts to animal species listed as endangered by the U.S. Fish and Wildlife Service and endangered and fully-protected by the California Department of Fish and Wildlife (CDFW). Impacts to wetlands will require coordination with regulatory agencies, including the U.S Army Corps of Engineers, the California Regional Water Quality Control Board, Caltrans District 11, Federal Highways Administration and CDFW. The 404(b)(1) alternatives assessment is a critical step in identifying and supporting the preferred federal action alternative. There are no similar projects that have resulted in impacts of this scale to an endangered and fully protected bird species - the lightfooted clapper rail (Rallus longirostris levipes). Thus, there is no precedent for a project with this scale of potential impacts. Because the environmental issues of the project are complex. close agency coordination is critical. Because each agency will have the opportunity to review the draft 404(b)(1) analysis and the final document and will condition final regulatory permits, regular meetings are proposed to avoid delays in the review process. The four agency meetings and the four team meetings are intended to provide a mechanism for avoiding delays and facilitating discussion and feedback.

In addition, it is assumed that Caltrans will have two reviews of the 404(b)(1) analysis, with significantly fewer issues to resolve on the second review.

#### TASK 7 COMPLETION OF NATURAL ENVIRONMENT STUDY

RBF has also retained Nordby for completion of the draft Natural Environment Study (NES) prepared by ICF International. Specifically, Nordby will utilize existing documentation data, and graphics prepared by ICF to complete the NES for the proposed project and process the document through Caltrans District 11. Primary components of the work effort are anticipated to include: 1) refinement of the proposed mitigation element of the project; 2) revision of the appendix presenting the City of San Diego Biology Guidelines Consistency Summary, and 3) revision of graphics, impact tables and text.

It is assumed that there will be a draft and final revision of the NES based on Caltrans review. This task also assumes up to 6 meetings with the City, Caltrans and the project team regarding revisions of the NES. This task also includes support from RBF for providing GIS and graphics services to Nordby for use in the revised NES.

This task also accounts for revisions to the NES that are required as part of revised vegetation mapping for the W-19 mitigation site performed by SANDAG. These revisions to the vegetation mapping for the W-19 will require updated text, tables, calculations, and graphics within the NES for the proposed project. Updated mitigation acreages will also need to be calculated and revised within the NES. These revisions include support from RBF for providing GIS and graphics services to Nordby.

#### TASK 8 WATER QUALITY ASSESSMENT REPORT

Based on direction provided by Caltrans, RBF has retained Rick Engineering to prepare a Water Quality Assessment Report (WQAR) to comply with the requirements of NEPA and support conclusions within the EA. The WQAR will include a discussion of the proposed project, the physical setting of the project area, and the regulatory framework with respect to water quality. It also provides data on surface water and groundwater resources within the project area and their water quality health, describes water quality impairments and beneficial uses, identifies potential water quality impacts/benefits associated with the proposed project, and recommends avoidance and/or minimization measures for potentially adverse impacts. The WQAR does not make conclusions regarding significance of the impacts; the determination of significance will be addressed in the NEPA document based on information provided in the WQAR.

The WQAR will be prepared using the Caltrans' "Water Quality Assessment Report Content and Recommended Format," dated June 2012. The WQAR will provide a detailed description of existing conditions, including topography, local and regional hydrology, floodplains, and geology and soils. The WQAR will also require that information from the project's NES is incorporated, as Caltrans requires a summary of biological communities, aquatic habitat, wetlands, and riparian areas. An analysis of potential impacts during the short-term construction process will also be required.

A detailed accounting of existing water quality objectives and beneficial uses for both surface water and groundwater will be provided, including a listing of impaired waters and areas of special biological significance. The impact analysis will focus on issues related to physical and chemical characteristics of the aquatic environment will be studies, biological resources, human use characteristics, and impacts during short-term construction and long-term operation.

This scope assumes preparation and submittal of the WQAR, and one (1) round of minor revisions to address plan check comments.

#### TASK 9 SUMMARY FLOODPLAIN ENCROACHMENT REPORT

Based on direction received from Caltrans, a Summary Floodplain Encroachment Report (SFER) will be required for the proposed project. RBF has retained Rick Engineering to prepare and process a SFER to comply with the requirements of the National Environmental Policy Act (NEPA) and the California Environmental Quality Act (CEQA). The SFER will rely on assessment of the key items (i.e. 7 items) in accordance with 23 CFR 650.111(b)(c)(d). The SFER will be prepared using the Caltrans' "Summary Floodplain Encroachment Report" guidelines (see source below). This scope assumes one (1) round of minor revisions to address plan check comments.

Source: http://www.dot.ca.gov/ser/vol1/sec3/special/ch17flood/chap17.htm#summary

# TASK 10 TEMPORARY CONSTRUCTION IMPACT ANALYSIS IN SUPPORT OF LOCATION HYDRAULIC STUDY

RBF has retained Rick Engineering for preparation of a Location Hydraulic Study (LHS) in support of the EA. An LHS has been drafted for different build alternatives, assuming that the impacts for all the alternatives were the same. However, Caltrans has also requested a separate LHS for the temporary impacts of the berm/trestle based on the outcome of the construction methods analysis (CMA). Therefore, a separate LHS will be prepared by Rick Engineering to document the results of the CMA. The LHS will be prepared using the Caltrans' "Location Hydraulic Study" guidelines (see source below).

Source: http://www.dot.ca.gov/ser/vol1/sec3/special/ch17flood/chap17.htm#lhs

# TASK 11 RICK ENGINEERING - BRIDGE CONSTRUCTION METHODOLOGY AND ANALYSIS

Rick Engineering has provided (and will continue to provide) services related to the development of a construction methodology and analysis of the El Camino Real Bridge/Road Widening Project. Rick Engineering's services can be separated into two primary categories: 1) Hydrology and Hydraulics Report in support of the construction methods analysis; and 2) ongoing design services and assistance in support of the preparation of the NEPA document for the proposed project.

#### Hydraulic Report for Construction Methods Analysis

As a result of meetings with Caltrans and the Project Development Team (PDT), it was agreed that the following are key hydraulic considerations that should be modeled, including preliminary design, and documented within a hydraulic report. Caltrans has indicated that the results of temporary impact analysis should be incorporated into the NES and EA. Key hydraulic considerations include:

- Elevation of temporary berm or trestle;
- Low-flow opening(s) sized for daily flows and up to a specific storm event (i.e. 1-inch storm or 2-year storm event);

- Minimize increase to water surface elevations for larger storm events (i.e. 10-year, 50-year, 100-year); and
- Removal of equipment from the channel with the prediction of storm events larger than those capable of bypassing through the low flow opening(s), including a factor of safety.

Primary tasks associated with the modeling and analysis required for the Hydraulic Report would be:

- 1. Hydrology Analysis: Prepare hydrologic analyses to help quantify the amount of precipitation that could be accommodated for with the temporary design alternatives that would allow low-flow storm events to pass through the project area within a defined low-flow channel (or two) and through additional low-flow temporary culverts that could extend through the temporary berm intended to support temporary construction conditions within the river corridor. Please note, this analysis is not intended to be used as a detailed hydrology study for use with other design items, more specifically only to help estimate a reasonable design approach in selecting an appropriate elevation for the berm and sizing of the low-flow openings.
- Hydraulics Analysis: Prepare HEC-RAS analyses with revised geometry conditions to reflect the temporary conditions anticipated as a result of the construction methods alternatives. This includes looking at the low-flow channel opening(s) and low-flow culverts. Each geometry condition (up to three alternatives), will be analyzed with several flow conditions to reflect water surface elevations associated with varying storm events (as identified above in Hydrology).
- Design Analysis: Includes designing alternatives for the low-flow trapezoidal channel that would co-function as a wildlife corridor and low-flow culverts as-needed based on the H&H analyses.
- 4. Narrative and Report: Summarize the alternatives considered and results of the modeling and analysis described above. This would elaborate on the hydraulics narrative previously generated and provided in the July 18, 2012 document for bridge construction methodologies.
- 5. Meetings and Coordination: Attend additional meetings with the PDT, Caltrans, and resource agencies, as-needed to address these items of work. Also includes coordination with the PDT and Caltrans on the design iterations considering each of the three primary considerations identified in the July 18, 2012 document. This assumes approximately 18 hours of an engineer's time.

It should be noted that this task includes work efforts already completed for the Hydraulic Report for the construction methods analysis. Efforts include the preparation of the narrative, project development team meetings, and project coordination.

This task accounts for work previously performed on the Bridge Construction Methodology Memorandum, in addition to anticipated future work on the Memorandum. The Memorandum provides a description and analysis of the following primary components:

- Construction methodologies of the proposed bridge (i.e., berm or trestle);
- A preliminary/qualitative discussion of potential noise reduction measures during construction;

- A preliminary discussion of potential biological impacts due to bridge construction activities; and
- A qualitative discussion of potential hydraulic impacts during the construction process.

It is anticipated that additional revisions to the Bridge Construction Methodology Memorandum may be required as a result of City Development Services Department and Caltrans review of the NES.

#### TASK 12 MANAGEMENT, COORDINATION, AND MEETINGS

Additional management, coordination, and meetings for RBF are required in support of the technical documentation described above within Tasks 1 through 9. As part of this task, RBF will provide oversight of the preparation of technical documentation through subconsultant management, progress/schedule updates, consultation with technical specialists, and technical review.

In addition, additional funding for management, coordination, and meetings of the EA is required since RBF's scope of work assumed a maximum schedule of 16 months and up to six (6) team meetings. RBF's existing budget has been nearly exhausted over the course of the past 19 months since our contract was executed and filed and it is estimated that RBF has attended approximately 17 meetings to date. As such, this task accounts for continuing oversight of the EA effort, management of the RBF and subconsultant team, coordination and consultation with City and Caltrans staff, and maintenance/updating of the EA schedule. This task is based on an assumption of approximately three hours of management/coordination time per week for the Project Manager and two hours per week for the Environmental Analyst. This task also includes RBF's attendance at up to 14 additional meetings, which may include meetings with the Project Development Team, Caltrans, and regulatory/resource agencies, among others.

#### **EXHIBIT B-1 - COMPENSATION AND FEE SCHEDULE**

#### City of San Diego - El Camino Real Bridge/Road Widening Project

		Project Director	Project Manager	Sr. Engineer/ Sr. Planner	Project Eng. I Project Planner	Technical Specialist	Graphic Artist	Envir. Analyst / Staff Planner	Clerical / Word Processing	Tech Study Lump Sum	TOTAL	TOTAL
	Billing Rate		\$180	\$154	\$132	\$128	\$91	\$91	\$59		HOURS	COST
	2015年至7月1日中的大学的大学的大学的大学的大学的大学的大学的大学的大学的大学的大学的大学的大学的		TO LEGISTRY	(1) 建建筑运行。		CERTAIN TO THE PARTY OF THE PAR	en ever and	TO THE COMMON SERVICE	<b>和中心</b> 与 <b>全国</b> 中的心	T-967 12170		No. To STANK
ASK	· · · · · · · · · · · · · · · · · · ·		point and a second		,							
1	Phase I Initial Site Assessment		2			96	8		2		108	\$13,49
2	Agricultural/Farmland Analysis		2				·	34	2		38	\$3,57
3	Section 4(f) Analysis		4					54	2		60	\$5,75
4	Visual Impact Assessment		2					4		\$11,075	6	\$11,79
5	Noise Measurements		2					2		\$5,817	4	\$6,35
6	404(b)(1) Analysis		4					6		\$13,258	10	\$14,52
7	Completion of NES		4				71	8		\$22,900	83	\$30,80
8	Water Quality Assessment Report		2					4		\$15,410	6	\$16,13
9	Summary Floodplain Encroachment Report		6					8		\$2,500	14	\$4,30
10	Temporary Hydraulics		4					6		\$1,400	10	\$2,66
11	Construction Methodology		16					30		\$33,850	46	\$39,46
12	Management/Coordination/Mtgs.	8	100					80			188	\$26,92
	Subtotal - Labor Hours	8	148	0	0	96	79	236	6		573	
	Subtotal Labor Cost	\$1,640	\$26,640	\$0	\$0	-\$12,288	\$7,189	\$21,476	\$354	* \$106,210	Property of	\$175,79
											121.3	
	Reproduction / Delivery Expenses (Allowance)											\$2,500
	Subtotal - Direct/Costs											\$2,50
	TOTAL											\$178,29
	ADDITIONAL SERVICES									\$80,000	0	\$80,00

#### **EXHIBIT C-1 – TIME SCHEDULE**

#### Task# **DURATION** (from initiation of task) 1. Phase I Initial Site Assessment 4 Months 2. Agricultural/Farmland Analysis 2 Months 3. Section 4(f) Analysis 2 Months 4. Visual Impact Assessment 4 Months 5. Noise Measurements 2 Weeks 6. 404(b)(1) Analysis 6 Months 7. Completion of NES 9 Months 8. Water Quality Assessment Report 3 Months 9. Summary Floodplain Encroachment Report 1 Month 10. Temporary Hydraulics 2 Weeks 11. Construction Methodology 2 Months 12. Management/Coordination/Mtgs. Varies (ongoing) **Additional Services** Varies

Note: Timelines are approximate, subject to external factors such as agency consultation and review times.



City of San Diego.

#### ATTACHMENT AA

**Equal Opportunity Contracting (EOC)** 

1010 Second Avenue · Suite 500 · San Diego, CA 92101

Phone: (619) 533-4464 · Fax: (619) 533-4474

## WORK FORCE REPORT

#### **ADMINISTRATIVE**

The objective of the *Equal Employment Opportunity Outreach Program*, San Diego Municipal Code sections 22.3501 through 22.3517, is to ensure that contractors doing business with the City, or receiving funds from the City, do not engage in unlawful discriminatory employment practices prohibited by state and federal law. Such employment practices include, but are not limited to unlawful discrimination in the following: employment, promotion or upgrading, demotion or transfer, recruitment or recruitment advertising, layoff or termination, rate of pay or other forms of compensation, and selection for training, including apprenticeship. Contractors are required to provide a completed Workforce Report (WFR).

#### **CONTRACTOR IDENTIFICATION**

Type of Contractor:	G Construction	G Vendor/Supplier	G Financial Institution	G Lessee/Lessor
	☑ Consultant	G Grant Recipient	G Insurance Company	G Other
Name of Company: RBF	Consulting, a company of	of Michael Baker Corp.		
AKA/DBA: <u>NA</u>		****		
Address (Corporate Headqua	= :			
City Irvine		nty Orange	State CA	Zip <u>92618</u>
Telephone Number: (949)			: (949) 472-8373	The second secon
Name of Company CEO: _S				
· · · ·		-	County (if different from above	
Address: 9755 Clairemon	<u>l Mesa Blvd., San Diego,</u>	92124 / 5050 Avenida	Encinas, Ste. 260, Carlsbac	d, 92008
City San Diego	Cou	nty San Diego	State CA	Zip <u>92124</u>
Telephone Number: (858) 6	14-5000	FAX Number	: (858) 614-5001	
Type of Business: Consulting	g Engineer	Type of Licen	se; NA	
The Company has appointed	: Katherine Burdick			
as its Equal Employment Op	portunity Officer (EEOO).	The EEOO has been give	en authority to establish, disser	minate, and enforce
equal employment and affirm	native action policies of this	s company. The EEOO m	nay be contacted at:	
Address: 14725 Alton Pa	rkway, Irvine, CA 92618			
Telephone Number: (949) 4	72-3505	FAX Number	: (949) 472-8373	
	☑ One Sa	n Diego County (or Mo	ost Local County) Work For	ce - Mandatory
	G Branch	Work Force *		
	G Managi	ng Office Work Force		
Check the box ab	ove that applies to this WF	R.		
*Submit a separa	ite Work Force Report for a	ll participating branches.	Combine WFRs if more than	one branch per county.
I, the undersigned representa	• •			
, , ,		(Firn	ı Name)	
San Diego	······································	California	hereby certify that in	formation provided
(County)		(State)		
herein is true and correct. T	his document was executed	on this 7th	day of <u>August</u> 2	2013
X athlee A	ilhasek			•
		Kathlee	en Silhasek, Human Resour	ces Manager
(Authorized	l Signature)		(Print Authorized Signature)	
	·			

# WORK FORCE REPORT – NAME OF FIRM: RBF Consulting

#### OFFICE(S) or BRANCH(ES): San Diego

INSTRUCTIONS: For each occupational category, indicate number of males and females in every ethnic group. Total columns in row provided. Sum of all totals should be equal to your total workforce. Include all those employed by your company on either a full or part-time basis. The following groups are to be included in ethnic categories listed in columns below:

- (1) Black, African-American
- (2) Hispanic, Latino, Mexican-American, Puerto Rican
- (3) Asian, Pacific Islander
- (4) American Indian, Eskimo

- (5) Filipino
- (6) White, Caucasian
- (7) Other ethnicity; not falling into other groups

OCCUPATIONAL CATEGORY	( Bl	l) ack	(2 Hisp	2) Sanic	(í Ás	3) Îgn =	Ame Inc	t) rican lian	(S Filij	5) oino	(6 Wl	) ite	( Other E	7) thnicities
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Management & Financial	1		2	1	1	1			-		18	6		
Professional				1	2	1					23	5		
A&E, Science, Computer			2	1	3						11	2		
Technical			1	1		1					5	1		
Sales														
Administrative Support		1	1	1		2						3		
Services														
Crafts								<u> </u>						
Operative Workers														
Transportation						ļ								
Laborers*														
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*RBF does not ask employee representation.	s to self	f-identi	fy as F	ilipino	o. Emp	oloyee	s of Fi	lipino (	descen	t are ir	icluded	l in ou	r Asia	n
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Board of Directors														ļ
Volunteers														ļ
Artists														

# SUBCONTRACTOR PARTICIPATION LIST

This list shall include the name and complete address of all Subcontractors who qualify as SLBEs or ELBEs. Contractors may also list participation by MBE, WBE, DBE, DBVE and OBE firms. However, no additional points will be awarded for participation by these firms, except that DVBEs that qualify as local businesses shall counted as SLBEs.

Contractor shall also submit Subcontractor commitment letters on Subcontractor's letterhead, no more than one page each, from Subcontractors listed below to acknowledge their commitment to the team, scope of services, and percent of participation in the project.

Subcontractors shall be used in the percentages listed. No changes to this Participation List will be allowed without prior written City approval.

NAME AND ADDRESS SUBCONTRACTORS	SCOPE OF SERVICES	PERCENT OF CONTRACT	SLBE/ELBE (*/MBE/ WBE/DBE/ DVBE/OBE)	** WHERE CERTIFIED
KTU+A 3916 Normal Street San Diego, CA 92103	Visual Impact Assessment (VIA)	4.28	OBE	N/A
Entech Consulting Group 43410 Business Park Dr. Temecula, CA 92590	Noise Measurements	2.25	DBE	Caltrans
Nordby Biological Consulting 5173 Waring Road #171 San Diego, CA 92120	404(b)(1) Analysis and Natural Environment Study (NES)	14.00	SBE/SLBE	State of California/N /A
Rick Engineering Company 5620 Friars Road San Diego, CA 92110	Water Quality Assessment Report (WQAR)/Hydra ulics Study; Construction Methodology	20.58	OBE	N/A

<sup>\*</sup> Listed for informational purposes only.

List of Abbreviations:

Certified Minority Business Enterprise
Certified Woman Business Enterprise

MBE

WBE

<sup>\*\*</sup> Consultant shall indicate if Subcontractor is certified by one of the agencies listed in Section VII of the Equal Opportunity Contracting Program (EOCP) Attachment.

## ATTACHMENT BB

Certified Disadvantaged Business Enterprise	DBE
Certified Disabled Veteran Business Enterprise	DVBE
Other Business Enterprise	OBE
Small Local Business Enterprise	SLBE
Emerging Local Business Enterprise	ELBE

## ATTACHMENT CC

# **CONTRACT ACTIVITY REPORT**

Consultants are required by contract to report Subcontractor activity in this format. Reports shall be submitted via the Project Manager to the *Equal Opportunity Contracting Program (EOCP)* no later than thirty (30) days after the close of each quarter.

Equal Opportunity Commutating 1 rogram (EOC1) no later than unity	(30) days after the close of each quarter.	•
PROJECT: El Camino Real Bridge/Road Widening	PRIME CONTRACTOR: RBF Consulting	
CONTRACT AMOUNT: \$258,297	INVOICE PERIOD:	DATE:
Include Additional Services Not-to-Exceed Amount		

	Indicate	Currei	nt Period	Paid t	o Date	Original Commitmen	
Subcontractor	SLBE, ELBE, MBE, WBE, DBE, DVBE or OBE	Dollar Amount	% of Contract	Dollar Amount	% of Contract	Dollar Amount	% of Contract
KTU+A	OBE					\$11,075	4.28
Entech Consulting	DBE					\$5,817	2.25
Nordby Biological Consulting	SBE/SLBE					\$36,158	14.00
Rick Engineering Company	OBE					\$53,160	20.58
			10.70				
Prime Contractor Total:	\$152,087						
Contract Total:	\$258,297	,					

Completed by:	Monlea	Klin	
•			



#### THE CITY OF SAN DIEGO

#### CONSULTANT AWARD TRACKING FORM

Consultant Award Tracking Form: The purpose of this form is to track the cumulative amount of money awarded to both architectural and engineering (A&E) firms and non-A&E firms; and to ensure that the cumulative amount of money awarded to consultant does not exceed \$250,000 for non-CIP funded contracts and \$1,000,000 for CIP funded contracts in a fiscal year including this contract. If this cumulative award limit is exceeded, inclusive of this contract award, Council approval is required.

A copy of this form must be attached to forms Mayoral Action PA-2625, Mayoral Action 1544, Council Action 1472 and Purchase Orders for processing.

THIS SECTION TO BE COMPLETED BY CITY STAFF

Date: 08/21/2013 Department Name: Public Works - I	ECP
City Project Manager: Dean Marsden	
Name of Firm: RBF Consulting	
Project Name: El Camino Real Bridge / Road Widening	
Contract or Amendment Amount: \$ 258,297	·
Appropriate approval authority:  ☐ Mayoral Action PA-2625  ☐ Mayoral Action 1544  ☐ Council Action 1472  ☐ Purchase Order	
THIS SECTION TO BE COMPLETED A	ND REVIEWED BY CONSULTANT
The City reserves the right to disqualify any Consultant if this t prior to the contract award.  If it is determined subsequent to the contract award that this tra- contract will be illegal and deemed void if awarded without Co Code Section 22.3207. In such an instance, the City shall not the from the void contract and reserves the right in its sole discreti	acking form was not accurately executed, the underlying uncil approval and it is beyond the limits set in Municipal be responsible for any losses or damages which may result
Dollar amount awarded to the consultant by the City of San Die	go this fiscal year (July 1 through June 30) including this
contract or amendment: \$ 258,297.00	annual office and
I hereby certify that I am an authorized representative of:	
ROF CONSULTING (Name of Authorized Representative)	f Firm)  day of September - 2013  (Month) (Year)  (PRINTED name of Authorized Representative)

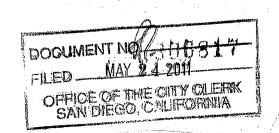
# AGREEMENT BETWEEN THE CITY OF SAN DIEGO

AND

RBF CONSULTING FOR

EL CAMINO REAL BRIDGE / ROAD WIDENING – ENVIRONMENTAL DOCUMENTATION (FEDERAL VERSION)

**CONTRACT NUMBER: H104890A** 



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## **CONSULTANT AGREEMENT EXHIBITS**

Exhibit A - Scope of Services

Exhibit B - Compensation and Fee Schedule

Exhibit C - Time Schedule

Exhibit D - City's Equal Opportunity Contracting Program Consultant Requirements

(AA) Work Force Report

(BB) Subcontractors List

(CC) Contract Activity Report

Exhibit E - Consultant Certification for a Drug-Free Workplace

Exhibit F - Consultant Evaluation Form

Exhibit G - Vendor Registration Form

Exhibit H - Contractor Standards Pledge of Compliance

Exhibit I - Determination Form

Exhibit J - California Labor Code Sections 1720 and 1771

Exhibit K- Equal Benefits Ordinance Certification of Compliance

#### <u>ATTACHMENTS</u>

- 1 Certification of Local Agency
- 2 Certification of Consultant

# AGREEMENT BETWEEN THE CITY OF SAN DIEGO AND RBF CONSULTING FOR CONSULTANT SERVICES

THIS Agreement is made and entered into between the City of San Diego, a municipal corporation [City], and RBF Consulting [Consultant] for the Consultant to provide Services to the City for Environmental Consulting Services.

### RECITALS

The City wants to retain the services of a Environmental Consulting firm to provide Environmental Consulting services [ the Services].

The Consultant has the expertise, experience and personnel necessary to provide the Services. The City and the Consultant [Parties] want to enter into an Agreement whereby the City will retain the Consultant to provide, and the Consultant shall provide, the Services.

In consideration of the above recitals and the mutual covenants and conditions set forth, herein, and for good and valuable consideration, the sufficiency of which are hereby acknowledged, the Parties hereby set forth their mutual covenants and understandings as follows:

### ARTICLE I

### CONSULTANT SERVICES

The above-listed recitals are true and correct and are hereby incorporated by reference.

- 1.1 Scope of Services. The Consultant shall perform the Services as set forth in the written Scope of Services [Exhibit A] at the direction of the City
- 1.2 Contract Administrator. The Engineering and Capital Projects Department is the contract administrator for this Agreement. The Consultant shall provide the Services under the direction of a designated representative of the Engineering and Capital Projects Department. The City's designated representative will communicate with the Consultant on all matters related to the administration of this Agreement and the Consultant's performance of the Services rendered hereunder. When this Agreement refers to communications to or with the City, those communications will be with the designated representative, unless the designated representative or the Agreement specifies otherwise. However, when this Agreement refers to an act or approval to be performed by City, that act or approval shall be performed by the Mayor or his designee, unless the Agreement specifies otherwise.
- 1.3 City Modification of Scope of Services. The City may, without invalidating this Agreement, order changes in the Scope of Services by altering, adding to or deducting from the Professional Services to be performed. All such changes shall be in writing and shall be performed in accordance with the provisions of this Agreement. If any such changes cause an

increase or decrease in the Consultant's cost of, or the time required for, the performance of any of the Professional Services, the Consultant shall immediately notify the City. If the City deems it appropriate, an equitable adjustment to the Consultant's compensation or time for performance may be made, provided that any adjustment must be approved by both Parties in writing in accordance with Section 9.1 of this Agreement.

- 1.4 Written Authorization. Prior to performing any Services, the Consultant shall obtain from the City a written authorization to proceed. Further, throughout the term of this Agreement, the Consultant shall immediately advise the City in writing of any anticipated change in the Scope of Services (Exhibit A), Compensation and Fee Schedule [Exhibit B], or Time Schedule [Exhibit C], and shall obtain the City's written consent to the change prior to making any changes. In no event shall the City's consent be construed to relieve the Consultant from its duty to render all Services in accordance with applicable laws and accepted industry standards.
- 1.5 Confidentiality of Services. All Services performed by the Consultant, including but not limited to all drafts, data, correspondence, proposals, reports, and estimates compiled or composed by the Consultant, pursuant to this Agreement, are for the sole use of the City, its agents and employees. Neither the documents nor their contents shall be released to any third party without the prior written consent of the City. This provision does not apply to information that (a) was publicly known, or otherwise known to the Consultant, at the time that it was disclosed to the Consultant by the City, (b) subsequently becomes publicly known through no act or omission of the Consultant, or (c) otherwise becomes known to the Consultant other than through disclosure by the City. Except for Subcontractors covered by Section 4.4, neither the documents nor their contents shall be released to any third party without the prior written consent of the City.
- 1.6 Competitive Bidding. If applicable, the Consultant shall comply with the following: Consultant shall ensure that any plans, specifications, studies, or reports prepared, required, or recommended under this Agreement allow for competitive bidding. The Consultant shall prepare such plans, specifications, studies, or reports so that procurement of services, labor or materials are not available from only one source, and shall not prepare plans, specifications, studies, or reports around a single or specific product, piece of major equipment or machinery, a specific patented design, or a proprietary process, unless required by principles of sound engineering practice and supported by a written justification that has been approved in writing by the City. The Consultant shall submit this written justification to the City prior to beginning work on such plans, specifications, studies, or reports. Whenever the Consultant recommends a specific product or equipment for competitive procurement, such recommendation shall include at least two brand names of products that are capable of meeting the functional requirements applicable to the Project.

### ARTICLE II

### **DURATION OF AGREEMENT**

2.1 Term of Agreement. This Agreement shall be effective on the date it is executed by the last Party to sign the Agreement, and approved by the City Attorney in accordance with San Diego Charter Section 40. Unless otherwise terminated, it shall be effective until completion

of the Scope of Services or January 2, 2016 whichever is the earliest but not to exceed five years unless approved by City ordinance.

- 2.2 Time of Essence. Time is of the essence for each provision of this Agreement, unless otherwise specified in this Agreement. The time for performance of the Scope of Services (Exhibit A) is set forth in the Time Schedule (Exhibit C).
- 2.3 Notification of Delay. The Consultant shall immediately notify the City in writing if the Consultant experiences or anticipates experiencing a delay in performing the Services within the time frames set forth in the Time Schedule (Exhibit C). The written notice shall include an explanation of the cause for, and a reasonable estimate of the length of the delay. If in the opinion of the City, the delay affects a material part of the City's requirements for the Services, the City may exercise its rights under Sections 2.5-2.7 of this Agreement.
- 2.4 Delay. If delays in the performance of the Services are caused by unforeseen events beyond the control of the Parties, such delay may entitle the Consultant to a reasonable extension of time, but such delay shall not entitle the Consultant to damages or additional compensation. Any such extension of time must be approved in writing by the City. The following conditions may constitute such a delay: war; changes in law or government regulation; labor disputes; strikes; fires, floods, adverse weather or other similar condition of the elements necessitating cessation of the Consultant's work; inability to obtain materials, equipment, or labor; required additional Services; or other specific reasons agreed to between the City and the Consultant; provided, however, that: (a) this provision shall not apply to, and the Consultant shall not be entitled to an extension of time for, a delay caused by the acts or omissions of the Consultant; and (b) a delay caused by the inability to obtain materials, equipment, or labor shall not entitle the Consultant to an extension of time unless the Consultant furnishes the City, in a timely manner, documentary proof satisfactory to City of the Consultant's inability to obtain materials, equipment, or labor.
- 2.5 City's Right to Suspend for Convenience. The City may, at its sole option and for its convenience, suspend all or any portion of the Consultant's performance of the Services, for a reasonable period of time not to exceed six months. In accordance with the provisions of this Agreement, the City will give written notice to the Consultant of such suspension. In the event of such a suspension, in accordance with the provisions of Article III of this Agreement, the City shall pay to the Consultant a sum equivalent to the reasonable value of the Services the Consultant has satisfactorily performed up to the date of suspension. Thereafter, the City may rescind such suspension by giving written notice of rescission to the Consultant. The City may then require the Consultant to resume performance of the Services in compliance with the terms and conditions of this Agreement; provided, however, that the Consultant shall be entitled to an extension of time equal to the length of the suspension, unless otherwise agreed to in writing by the Parties.
- 2.6 City's Right to Terminate for Convenience. The City may, at its sole option and for its convenience, terminate all or any portion of the Services agreed to pursuant to this Agreement by giving written notice of such termination to the Consultant. Such notice shall be delivered by certified mail with return receipt for delivery to the City. The termination of the Services shall be effective upon receipt of the notice by the Consultant. After termination of this Agreement, the Consultant shall complete any and all additional work necessary for the orderly

filing of documents and closing of the Consultant's Services under this Agreement. For services satisfactorily rendered in completing the work, the Consultant shall be entitled to fair and reasonable compensation for the Services performed by the Consultant before the effective date of termination. After filing of documents and completion of performance, the Consultant shall deliver to the City all documents or records related to the Consultant's Services. By accepting payment for completion, filing and delivering documents as called for in this paragraph, the Consultant discharges the City of all of the City's payment obligations and liabilities under this Agreement.

2.7 City's Right to Terminate for Default. If the Consultant fails to satisfactorily perform any obligation required by this Agreement, the Consultant's failure constitutes a Default. A Default includes the Consultant's failure to adhere to the Time Schedule. If the Consultant fails to satisfactorily cure a Default within ten calendar days of receiving written notice from the City specifying the nature of the Default, the City may immediately cancel and/or terminate this Agreement, and terminate each and every right of the Consultant, and any person claiming any rights by or through the Consultant under this Agreement. The rights and remedies of the City enumerated in this Section are cumulative and shall not limit, waive, or deny any of the City's rights under any other provision of this Agreement. Nor does this Section otherwise waive or deny any right or remedy, at law or in equity, existing as of the date of this Agreement or hereinafter enacted or established, that may be available to the City against the Consultant.

### ARTICLE III

### COMPENSATION

- 3.1 Amount of Compensation. The City shall pay the Consultant for performance of all Professional Services rendered in accordance with this Agreement, including reasonably related expenses, for a total contract amount not exceeding \$393,455.00. The compensation for the Scope of Services shall not exceed \$368,455.00, and the compensation for Additional Services (described in Section 3.2), if any, shall not exceed \$25,000.00.
- 3.2 Additional Services. The City may require that the Consultant perform additional Professional Services [Additional Services] beyond those described in the Scope of Services [Exhibit A]. Prior to the Consultant's performance of Additional Services, the City and the Consultant must agree in writing upon a fee for the Additional Services, including reasonably related expenses, in accordance with the Compensation and Fee Schedule (Exhibit B). The City will pay the Consultant for the performance of Additional Services in accordance with Section 3.3.
- 3.3 Manner of Payment. The City shall pay the Consultant in accordance with the Compensation and Fee Schedule (Exhibit B). For the duration of this Agreement, the Consultant shall not be entitled to fees, including fees for expenses, that exceed the amounts specified in the Compensation and Fee Schedule. The Consultant shall submit one invoice per calendar month in a form acceptable to City in accordance with the Compensation and Fee Schedule. The Consultant shall include with each invoice a description of completed Professional Services, reasonably related expenses, if any, and all other information, including but not limited to: the progress percentage of the Scope of Services and/or deliverables completed prior to the invoice

date, as required by the City. The City will pay undisputed portions of invoices within thirty calendar days of receipt.

- 3.4 Additional Costs. Additional Costs are those costs that can be reasonably determined to be related to the Consultant's errors or omissions, and may include Consultant, City, or Subcontractor overhead, construction, materials, demolition, and related costs. The Consultant shall not be paid for the Professional Services required due to the Consultant's errors or omissions, and the Consultant shall be responsible for any Additional Costs associated with such errors or omissions. These Additional Costs may be deducted from monies due, or that become due, the Consultant. Whether or not there are any monies due, or becoming due, the Consultant shall reimburse the City for Additional Costs due to the Consultant's errors or omissions.
- 3.5 Eighty Percent Notification. The Consultant shall promptly notify the City in writing of any potential cost overruns. Cost overruns include, but are not limited to the following: (1) where anticipated costs to be incurred in the next sixty calendar days, when added to all costs previously incurred, will exceed 80 percent of the maximum compensation for this Agreement; or (2) where the total cost for performance of the Scope of Services appears that it may be greater than the maximum compensation for this Agreement.

### ARTICLE IV

### CONSULTANT'S OBLIGATIONS

4.1 Industry Standards. The Consultant agrees that the Services rendered under this Agreement shall be performed in accordance with the standards customarily adhered to by an experienced and competent Environmental Consulting firm using the degree of care and skill ordinarily exercised by reputable professionals practicing in the same field of service in the State of California. Where approval by the City, the Mayor or his designee, or other representatives of the City is required, it is understood to be general approval only and does not relieve the Consultant of responsibility for complying with all applicable laws, codes, and good consulting practices.

### 4.2 Right to Audit.

- 4.2.1 Access. The City retains the right to review and audit, and the reasonable right of access to Consultant's and any Subcontractor's premises to review and audit the Consultant's or Subcontractor's compliance with the provisions of this Agreement [City's Right]. The City's Right includes the right to inspect and photocopy same, and to retain copies, outside of the Consultant's premises, of any and all records related to the Services provided hereunder with appropriate safeguards, if such retention is deemed necessary by the City in its sole discretion. This information shall be kept by the City in the strictest confidence allowed by law.
- 4.2.2 Audit. The City's Right includes the right to examine any and all books, records, documents and any other evidence of procedures and practices that the City determines

are necessary to discover and verify that the Consultant or Subcontractor is in compliance with all requirements under this Agreement.

4.2.2.1 Cost Audit. If there is a claim for additional compensation or for Additional Services, the City's Right includes the right to examine books, records, documents, and any and all other evidence and accounting procedures and practices that the City determines are necessary to discover and verify all direct and indirect costs, of whatever nature, which are claimed to have been incurred, or anticipated to be incurred.

4.2.2.1.1 Accounting Records. The Consultant and all subcontractors shall maintain complete and accurate records in accordance with generally accepted accounting practices in the industry. The Consultant and Subcontractors shall make available to the City for review and audit, all Service related accounting records and documents, and any other financial data. Upon the City's request, the Consultant and Subcontractors shall submit exact duplicates of originals of all requested records to the City.

- 4.2.3 City's Right Binding on Subcontractors. The Consultant shall include the City's Right as described in Section 4.2, in any and all of their subcontracts, and shall ensure that these sections are binding upon all Subcontractors.
- 4.2.4 Compliance Required before Mediation or Litigation. A condition precedent to proceeding with mandatory mediation and further litigation provided for in Article VII is the Consultant's and Subcontractors full compliance with the provisions of this Section 4.2 within sixty days of the date on which the City mailed a written request to review and audit compliance.
- 4.3 Insurance. The Consultant shall not begin the Services under this Agreement until it has: (a) obtained, and provided to the City, insurance certificates reflecting evidence of all insurance as set forth herein; however, the City reserves the right to request, and the Consultant shall submit, copies of any policy upon reasonable request by the City; (b) obtained City approval of each company or companies as required in Section 4.3.3 of this Agreement; and (c) confirmed that all policies contain the specific provisions required in Section 4.3.4 of this Agreement. Consultant's liabilities, including but not limited to Consultant's indemnity obligations, under this Agreement, shall not be deemed limited in any way to the insurance coverage required herein. Except as provided for under California law, all policies of insurance required hereunder must provide that the City is entitled to thirty (30) days prior written notice (10 days for cancellation due to non-payment of premium) of cancellation or non-renewal of the policy or policies. Maintenance of specified insurance coverage is a material element of this Agreement and Consultant's failure to maintain or renew coverage or to provide evidence of renewal during the term of this Agreement may be treated as a material breach of contract by the City.

Further, the Consultant shall not modify any policy or endorsement thereto which increases the City's exposure to loss for the duration of this Agreement.

4.3.1 Types of Insurance. At all times during the term of this Agreement, the Consultant shall maintain insurance coverage as follows:

- 4.3.1.1 Commercial General Liability. Commercial General Liability (CGL) Insurance written on an ISO Occurrence form CG 00 01 07 98 or an equivalent form providing coverage at least as broad which shall cover liability arising from any and all personal injury or property damage in the amount of \$1 million per occurrence and subject to an annual aggregate of \$2 million. There shall be no endorsement or modification of the CGL limiting the scope of coverage for either insured vs. insured claims or contractual liability. All defense costs shall be outside the limits of the policy.
- 4.3.1.2 Commercial Automobile Liability. For all of the Consultant's automobiles including owned, hired and non-owned automobiles, the Consultant shall keep in full force and effect, automobile insurance written on an ISO form CA 00 01 12 90 or a later version of this form or an equivalent form providing coverage at least as broad for bodily injury and property damage for a combined single limit of \$1 million per occurrence. Insurance certificate shall reflect coverage for any automobile (any auto).
- 4.3.1.3 Workers' Compensation. For all of the Consultant's employees who are subject to this Agreement and to the extent required by the applicable state or federal law, the Consultant shall keep in full force and effect, a Workers' Compensation policy. That policy shall provide a minimum of \$1 million of employers' liability coverage, and the Consultant shall provide an endorsement that the insurer waives the right of subrogation against the City and its respective elected officials, officers, employees, agents and representatives.
- 4.3.1.4 Professional Liability. For all of the Consultant's employees who are subject to this Agreement, the Consultant shall keep in full force and effect, Professional Liability coverage for professional liability with a limit of \$1 million per claim and \$2 million annual aggregate. The Consultant shall ensure both that: (1) the policy retroactive date is on or before the date of commencement of the Project; and (2) the policy will be maintained in force for a period of three years after substantial completion of the Project or termination of this Agreement whichever occurs last. The Consultant agrees that for the time period defined above, there will be no changes or endorsements to the policy that increase the City's exposure to loss.
- **4.3.2 Deductibles.** All deductibles on any policy shall be the responsibility of the Consultant and shall be disclosed to the City at the time the evidence of insurance is provided.

### 4.3.3 Acceptability of Insurers.

- 4.3.3.1 Except for the State Compensation Insurance Fund, all insurance required by this Contract or in the Special General Conditions shall only be carried by insurance companies with a rating of at least "A-, VI" by A.M. Best Company, that are authorized by the California Insurance Commissioner to do business in the State of California, and that have been approved by the City.
- 4.3.3.2 The City will accept insurance provided by non-admitted, "surplus lines" carriers only if the carrier is authorized to do business in the State of California and is included on the List of Eligible Surplus Lines Insurers (LESLI list). All policies of insurance carried by non-admitted carriers are subject to all of the requirements for policies of insurance provided by admitted carriers described herein.

### 4.3.4 Required Endorsements

The following endorsements to the policies of insurance are required to be provided to the City before any work is initiated under this Agreement.

### 4.3.4.1 Commercial General Liability Insurance Endorsements

ADDITIONAL INSURED. To the fullest extent allowed by law including but not limited to California Insurance Code Section 11580.04, the policy or policies must be endorsed to include as an Insured the City of San Diego and its respective elected officials, officers, employees, agents and representatives with respect to liability arising out of (a) ongoing operations performed by you or on your behalf, (b) your products, (c) your work, including but not limited to your completed operations performed by you or on your behalf, or (d) premises owned, leased, controlled or used by you.

PRIMARY AND NON-CONTRIBUTORY COVERAGE. The policy or policies must be endorsed to provide that the insurance afforded by the Commercial General Liability policy or policies is primary to any insurance or self-insurance of the City of San Diego and its elected officials, officers, employees, agents and representatives as respects operations of the Named Insured. Any insurance maintained by the City of San Diego and its elected officials, officers, employees, agents and representatives shall be in excess of Consultant's insurance and shall not contribute to it.

### 4.3.4.2 Automobile Liability Insurance Endorsements

ADDITIONAL INSURED. To the fullest extent allowed by law including but not limited to California Insurance Code Section 11580.04, the policy or policies must be endorsed to include as an Insured the City of San Diego and its respective elected officials, officers, employees, agents and representatives with respect to liability arising out of automobile owned, leased, hired or borrowed by or on behalf of the Consultant.

### 4.3.4.3 Worker's Compensation and Employer's Liability Insurance Endorsements

WAIVER OF SUBROGATION. The Worker's Compensation policy or policies must be endorsed to provide that the insurer will waive all rights of subrogation against the City and its respective elected officials, officers, employees, agents and representatives for losses paid under the terms of this policy or these policies which arise from work performed by the Named Insured for the City.

- 4.3.5 Reservation of Rights. The City reserves the right, from time to time, to review the Consultant's insurance coverage, limits, deductible and self-insured retentions to determine if they are acceptable to the City. The City will reimburse the Consultant for the cost of the additional premium for any coverage requested by the City in excess of that required by this Agreement without overhead, profit, or any other markup.
- **4.3.6** Additional Insurance. The Consultant may obtain additional insurance not required by this Agreement.

- 4.3.7 Excess Insurance. All policies providing excess coverage to the City shall follow the form of the primary policy or policies including but not limited to all endorsements.
- 4.4 Subcontractors. The Consultant's hiring or retaining of any third parties [Subcontractors] to perform Services [Subcontractor Services] is subject to prior approval by the City. The Consultant shall list on the Subcontractor List [Exhibit D Attachment BB] all Subcontractors known to the Consultant at the time this Agreement is entered. If at any time after this Agreement is entered into the Consultant identifies a need for additional Subcontractor Services, the Consultant shall give written notice to the City of the need, at least forty-five days before entering into a contract for such Subcontractor Services. The Consultant's notice shall include a justification, a description of the scope of work, and an estimate of all costs for the Subcontractor Services. The Consultant may request that the City reduce the forty-five day notice period. The City agrees to consider such requests in good faith.
- 4.4.1 Subcontractor Contract. All contracts entered into between the Consultant and any Subcontractor shall contain the information as described in Sections 4.6 and 4.7, and shall also provide as follows:
- **4.4.1.1** Each Subcontractor shall obtain insurance policies which shall be kept in full force and effect during any and all work on this Agreement. Each Subcontractor shall obtain, and the Consultant shall require the Subcontractor to obtain, all policies described in Section 4.3.1.
- 4.4.1.2 The Consultant is obligated to pay the Subcontractor, for Consultant and City-approved invoice amounts, out of amounts paid by the City to the Consultant, not later than fourteen working days from the Consultant's receipt of payment from the City. Nothing in this paragraph shall be construed to impair the right of the Consultant and any Subcontractor to negotiate fair and reasonable pricing and payment provisions among themselves.
- 4.4.1.3 In the case of a deficiency in the performance of Subcontractor Services, the Consultant shall notify the City in writing of any withholding of payment to the Subcontractor, specifying: (a) the amount withheld; (b) the specific cause under the terms of the subcontract for withholding payment; (c) the connection between the cause for withholding payment and the amount withheld; and (d) the remedial action the Subcontractor must take in order to receive the amount withheld. Once the Subcontractor corrects the deficiency, the Consultant shall pay the Subcontractor the amount withheld within fourteen working days of the Consultant's receipt of the City's next payment.
- 4.4.1.4 In any dispute between the Consultant and Subcontractor, the City shall not be made a party to any judicial or administrative proceeding to resolve the dispute. The Consultant agrees to defend and indemnify the City as described in Article VI of this Agreement in any dispute between the Consultant and Subcontractor should the City be made a party to any judicial or administrative proceeding to resolve the dispute in violation of this position.

- **4.4.1.5** The Subcontractor is bound to the City's Equal Opportunity Contracting Program covenants set forth in Article IV, Section 4.6 and Exhibit D of this Agreement.
- 4.4.1.6 The City is an intended beneficiary of any work performed by the Subcontractor for purposes of establishing a duty of care between the Subcontractor and the City.
- 4.5 Contract Activity Report. The Consultant shall submit statistical information to the City as requested in the City's Contract Activity Report [Exhibit D Attachment CC]. The statistical information shall include the amount of subcontracting provided by firms during the period covered by the Contract Activity Report. With the Contract Activity Report, the Consultant shall provide an invoice from each Subcontractor listed in the report. The Consultant agrees to issue payment to each firm listed in the Report within fourteen working days of receiving payment from the City for Subcontractor Services as described in Section 4.4.1.

### 4.6 Non-Discrimination Requirements.

- 4.6.1 Compliance with the City's Equal Opportunity Contracting Program. The Consultant shall comply with the City's Equal Opportunity Contracting Program Consultant Requirements [Exhibit D]. The Consultant shall not discriminate against any employee or applicant for employment on any basis prohibited by law. The Consultant shall provide equal opportunity in all employment practices. The Consultant shall ensure that its Subcontractors comply with the City's Equal Opportunity Contracting Program Consultant Requirements. Nothing in this Section shall be interpreted to hold the Consultant liable for any discriminatory practice of its Subcontractors.
- 4.6.2 Non-Discrimination Ordinance. The Consultant shall not discriminate on the basis of race, gender, religion, national origin, ethnicity, sexual orientation, age, or disability in the solicitation, selection, hiring or treatment of Subcontractors, vendors or suppliers. The Consultant shall provide equal opportunity for Subcontractors to participate in subcontracting opportunities. The Consultant understands and agrees that violation of this clause shall be considered a material breach of the contract and may result in contract termination, debarment, or other sanctions. This language shall be in contracts between the Consultant and any Subcontractors, vendors and suppliers.
- 4.6.3 Compliance Investigations. Upon the City's request, the Consultant agrees to provide to the City, within sixty calendar days, a truthful and complete list of the names of all Subcontractors, vendors, and suppliers that the Consultant has used in the past five years on any of its contracts that were undertaken within San Diego County, including the total dollar amount paid by the Consultant for each subcontract or supply contract. The Consultant further agrees to fully cooperate in any investigation conducted by the City pursuant to the City's Nondiscrimination in Contracting Ordinance [San Diego Municipal Code sections 22.3501-22.3517.] The Consultant understands and agrees that violation of this clause shall be considered a material breach of the contract and may result in remedies being ordered against the Consultant up to and including contract termination, debarment, and other sanctions for violation of the provisions of the Nondiscrimination in Contracting Ordinance. The Consultant further understands and agrees that the procedures, remedies and sanctions provided for in the Nondiscrimination Ordinance apply only to violations of said Nondiscrimination Ordinance.

- 4.7 Drug-Free Workplace. The Consultant agrees to comply with the City's Drug-Free Workplace requirements set forth in Council Policy 100-17, adopted by San Diego Resolution R-277952 and incorporated into this Agreement by this reference. The Consultant shall certify to the City that it will provide a drug-free workplace by submitting a Consultant Certification for a Drug-Free Workplace form [Exhibit E].
- 4.7.1 Consultant's Notice to Employees. The Consultant shall publish a statement notifying employees that the unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance is prohibited in the work place, and specifying the actions that will be taken against employees for violations of the prohibition.
- 4.7.2 Drug-Free Awareness Program. The Consultant shall establish a drug-free awareness program to inform employees about all of the following:
  - 4.7.2.1 The dangers of drug abuse in the work place.
  - 4.7.2.2 The policy of maintaining a drug-free work place.
- **4.7.2.3** Available drug counseling, rehabilitation, and employee assistance programs.
- 4.7.2.4 The penalties that may be imposed upon employees for drug abuse violations.
- 4.7.3 Posting the Statement. In addition to Section 4.7.1 above, the Consultant shall post the drug-free policy in a prominent place.
- 4.7.4 Subcontractor's Agreements. The Consultant further certifies that each contract for Subcontractor Services for this Agreement shall contain language that binds the Subcontractor to comply with the provisions of Article IV, Section 4.7 of this Agreement, as required by Sections 2.A.(1) through (3) of Council Policy 100-17. Consultants and Subcontractors shall be individually responsible for their own drug-free work place program.
- 4.8 Product Endorsement. The Consultant acknowledges and agrees to comply with the provisions of City of San Diego Administrative Regulation 95.65, concerning product endorsement. Any advertisement identifying or referring to the City as the user of a product or service requires the prior written approval of the City.
- 4.9 Conflict of Interest. The Consultant is subject to all federal, state and local conflict of interest laws, regulations, and policies applicable to public contracts and procurement practices, including but not limited to California Government Code sections 1090, et. seq. and 81000, et. seq., and the City of San Diego Ethics Ordinance, codified in the San Diego Municipal Code at sections 27.3501 to 27.3595.
- 4.9.1 If, in performing the Services set forth in this Agreement, the Consultant makes, or participates in, a "governmental decision" as described in Title 2, section 18701(a)(2) of the California Code of Regulations, or performs the same or substantially all the same duties for the City that would otherwise be performed by a City employee holding a position specified in the department's conflict of interest code, the Consultant shall be subject to a conflict of

interest code requiring the completion of one or more statements of economic interests disclosing the Consultant's relevant financial interests. The determination as to whether any individual members of the Design Professional's organization must make disclosures of relevant financial interests is set forth in the Determination Form (Exhibit I).

- 4.9.1.1 Statements of economic interests shall be made on Fair Political Practices Commission Form 700 and filed with the City Clerk. The Consultant shall file a Form 700 (Assuming Office Statement) within thirty calendar days of the City's determination that the Consultant is subject to a conflict of interest code. The Consultant shall also file a Form 700 (Annual Statement) on or before April 1, disclosing any financial interests held during the previous calendar year for which the Consultant was subject to a conflict of interest code.
- 4.9.1.2 If the City requires the Consultant to file a statement of economic interests as a result of the Services performed, the Consultant shall be considered a "City Official" subject to the provisions of the City of San Diego Ethics Ordinance, including the prohibition against lobbying the City for one year following the termination of this Agreement.
- 4.9.2 The Consultant shall establish and make known to its employees and agents appropriate safeguards to prohibit employees from using their positions for a purpose that is, or that gives the appearance of being, motivated by the desire for private gain for themselves or others, particularly those with whom they have family, business, or other relationships.
- 4.9.3 The Consultant's personnel employed for the Services shall not accept gratuities or any other favors from any Subcontractors or potential Subcontractors. The Consultant shall not recommend or specify any product, supplier, or contractor with whom the Consultant has a direct or indirect financial or organizational interest or relationship that would violate conflict of interest laws, regulations, or policies.
- 4.9.4 If the Consultant violates any conflict of interest law or any of the provisions in this Section 4.9, the violation shall be grounds for immediate termination of this Agreement. Further, the violation subjects the Consultant to liability to the City for attorneys fees and all damages sustained as a result of the violation.
- 4.10 Mandatory Assistance. If a third party dispute or litigation, or both, arises out of, or relates in any way to the Services provided under this Agreement, upon the City's request, the Consultant, its agents, officers, and employees agree to assist in resolving the dispute or litigation. The Consultant's assistance includes, but is not limited to, providing professional consultations, attending mediations, arbitrations, depositions, trials or any event related to the dispute resolution and/or litigation.
- 4.11 Compensation for Mandatory Assistance. The City will compensate the Consultant for fees incurred for providing Mandatory Assistance as Additional Services under Section 3.3. If, however, the fees incurred for the Mandatory Assistance are determined, through resolution of the third party dispute or litigation, or both, to be attributable in whole, or in part, to the acts or omissions of the Consultant, its agents, officers, and employees, the Consultant shall reimburse the City. The City is then entitled to reimbursement of all fees paid to the Consultant, its agents, officers, and employees for Mandatory Assistance.

- 4.12 Attorney Fees related to Mandatory Assistance. In providing the City with dispute or litigation assistance, the Consultant or its agents, officers, and employees may incur expenses and/or costs. The Consultant agrees that any attorney fees it may incur as a result of assistance provided under Section 4.11 are not reimbursable. The Parties agree this provision does not in any way affect their rights to seek attorney fees under Article VIII, Section 8.8 of this Agreement.
- 4.13 Notification of Increased Construction Cost. If applicable, at any time prior to the City's approval of the final plans, specifications, studies, or report, the Consultant anticipates that the total construction cost will exceed the estimated construction budget, the Consultant shall immediately notify the City in writing. This written notification shall include an itemized cost estimate and a list of recommended revisions which the Consultant believes will bring the construction cost to within the estimated construction budget. The City may either: (1) approve an increase in the amount authorized for construction; or (2) delineate a project which may be constructed for the budget amount; or (3) any combination of (1) and (2).
- 4.14 ADA Certification. The Consultant hereby certifies that it agrees to comply with the City's Americans With Disabilities Act Compliance/City Contracts requirements set forth in Council Policy 100-04, adopted by San Diego Resolution R-282153 and incorporated into this Agreement by this reference.

### ARTICLE V

### FEDERAL REQUIREMENTS

- 5.1 This Project is funded by the Highway Bridge Program (HBP). All Project work and Agreements will be subject to the review and approval of the State of California Department of Transportation (CALTRANS) and the Federal Highway Administration (FHWA).
- 5.2 The Consultant and its Subcontractors shall maintain all books, documents, papers, employee time sheets, accounting records, and other evidence pertaining to costs incurred, and shall make such materials available at their respective offices at all reasonable times during the contract period and for three years from the date of final payment under the contract, for inspection by the City, CALTRANS and FHWA, the Comptroller General of the United States, or their duly authorized representatives.
- 5.3 The cost principles and procedures for use in the determination of allowable elements of cost will be governed by the Federal Acquisition Regulations in 48 CFR, Chapter 1, Part 31.
- 5.4 The Consultant warrants that he/she has not employed or retained any company or person, other than a bona fide employee working for the Consultant, to solicit or secure this Agreement, and that he/she has not paid or agreed to pay any company or person, other than a bona fide employee, any fee, commission, percentage, brokerage fee, gift, or any other consideration, contingent upon or resulting from the award or formation of this Agreement. For breach or violation of this warranty, the City shall have the right to annul this agreement without liability, or at its discretion to deduct from the Agreement price or consideration, or otherwise

recover, the full amount of such fee, commission, percentage, brokerage fee, gift, or contingent fee.

- 5.5 The Consultant shall comply with all Federal, State, and Local laws and ordinances applicable to the work. This includes compliance with prevailing wage rates and their payment in accordance with California Labor Code, including but not limited to Sections 1720 and 1771 [see Exhibit J].
- 5.6 Neither this Agreement or any part thereof shall be subcontracted, assigned, or transferred by the Consultant except as otherwise provided for in the Agreement.
- 5.7 The Consultant shall comply with California Government Code section 7550 as follows:

Any document or written report prepared for or under the direction of a State or Local Agency, which is prepared in whole or in part by non-employees of such Agency, shall contain the numbers and dollar amounts of all contracts and subcontracts relating to the preparation of such document or written report; provided, however, that the total cost for work performed by non-employees of the agency exceeds FIVE THOUSAND DOLLARS (\$5,000). The contract and subcontract numbers and dollar amounts shall be contained in a separate section of such document or written report.

When multiple documents or written reports are the subject or product of the contract, the disclosure section may also contain a statement indicating that the total contract amount represents compensation for multiple documents or written reports.

- 5.8 All procurement transactions will be conducted in a manner providing full and open competition consistent with the standards of 49 CFR Part18. Some of the situations considered to be restrictive of competition include, but are not limited to:
  - (i) Placing unreasonable requirements on firms in order for them to qualify to do business,
  - (ii) Requiring unnecessary experience and excessive bonding,
  - (iii) Noncompetitive pricing practices between firms or between affiliated companies,
  - (iv) Noncompetitive awards to consultants that are on retainer contracts,
  - (v) Organizational conflicts of interest,
  - (vi) Specifying only a brand name product instead of allowing an equal product to be offered and describing the performance of other relevant requirements of the procurement, and
  - (vii) Any arbitrary action in the procurement process.
- 5.9 The City will perform a cost analysis of its Agreement with the Consultant when adequate price competition is lacking, and for sole source procurements, including contract

modifications or change orders, unless price reasonableness can be established on the basis of a catalog or market price of a commercial product sold in substantial quantities to the general public or based on prices set by law or regulation. A price analysis will be used in all other instances to determine the reasonableness of the proposed contract price.

- 5.10 The City and the Consultant must on request make available for awarding agency pre-award review procurement documents, such as requests for proposals or invitations for bids, independent cost estimates, or other pertinent documents when:
  - (i) The City's or the Consultant's procurement procedures or operation fails to comply with the procurement standards in 49 CFR Section 18.36; or
  - (ii) The procurement is expected to exceed the simplified acquisition threshold [currently fixed at \$100,000 by 41 U.S.C. 403(11)] and is to be awarded without competition or only one bid or offer is received in response to a solicitation; or
  - (iii) The procurement, which is expected to exceed the simplified acquisition threshold, specifies a brand name product; or
  - (iv) The proposed award is more than the simplified acquisition threshold and is to be awarded to other than the apparent low bidder under a sealed bid procurement; or
  - (v) A proposed contract modification changes the scope of a contract or increases the contract amount by more than the simplified acquisition threshold.
- 5.11 The City will use procurement procedures which reflect applicable State and local laws and regulations, provided that the procurements conform to applicable Federal law and standards identified in 49 CFR Section 18.36.
- 5.12 Contract modifications are required for any modification in the terms of the original contract that change the cost of the contract; significantly change the character, scope, complexity, or duration of the work; or significantly change the conditions under which the work is required to be performed. A contract modification shall clearly outline the changes made and determine a method of compensation. FHWA approval of contract modifications shall be obtained prior to beginning the work, except that in unusual circumstances the Consultant may be authorized to proceed with work prior to agreement on the amount of compensation and execution of the contract modification, provided the FHWA has previously approved the work and has concurred that additional compensation is warranted.
- 5.13 The Consultant agrees to comply with all applicable standards, orders, or requirements issued under section 306 of the Clean Air Act (42 U.S.C. 1857(h)), section 508 of the Clean water Act (33 U.S.C. 1368), Executive Order 11738, and Environmental Protection Agency regulations (40 CFR part 15).

### ARTICLE VI

### INDEMNIFICATION

6.1 Indemnification and Hold Harmless Agreement. With respect to any liability, including but not limited to claims asserted or costs, losses, attorney fees, or payments for injury to any person or property caused or claimed to be caused by the acts or omissions of the Consultant, or Consultant's employees, agents, and officers, arising out of any services performed under this Agreement, the Consultant agrees to defend, indemnify, protect, and hold harmless the City, its agents, officers, and employees from and against all liability. Also covered is liability arising from, connected with, caused by, or claimed to be caused by the active or passive negligent acts or omissions of the City, its agents, officers, or employees which may be in combination with the active or passive negligent acts or omissions of the Consultant, its employees, agents or officers, or any third party. The Consultant's duty to defend, indemnify, protect and hold harmless shall not include any claims or liabilities arising from the sole negligence or sole willful misconduct of the City, its agents, officers or employees.

### ARTICLE VII

### MEDIATION

- 7.1 Mandatory Non-binding Mediation. With the exception of Sections 2.5-2.7 of this Agreement, if a dispute arises out of, or relates to this Agreement, or the breach thereof, and if said dispute cannot be settled through normal contract negotiations, prior to the initiation of any litigation, the Parties agree to attempt to settle the dispute in an amicable manner, using mandatory mediation under the Construction Industry Mediation Rules of the American Arbitration Association [AAA] or any other neutral organization agreed upon before having recourse in a court of law.
- 7.2 Mandatory Mediation Costs. The expenses of witnesses for either side shall be paid by the Party producing such witnesses. All other expenses of the mediation, including required traveling and other expenses of the mediator [Mediator], and the cost of any proofs or expert advice produced at the direct request of the Mediator, shall be borne equally by the Parties, unless they agree otherwise.
- 7.3 Selection of Mediator. A single Mediator that is acceptable to both Parties shall be used to mediate the dispute. The Mediator will be knowledgeable in construction aspects and may be selected from lists furnished by the AAA or any other agreed upon Mediator. To initiate mediation, the initiating Party shall serve a Request for Mediation on the opposing Party. If the Mediator is selected from a list provided by AAA, the initiating Party shall concurrently file with AAA a "Request for Mediation" along with the appropriate fees, a list of three requested Mediators marked in preference order, and a preference for available dates.
- 7.3.1 If AAA is selected to coordinate the mediation [Administrator], within ten working days from the receipt of the initiating Party's Request for Mediation, the opposing Party shall file the following: a list of preferred Mediators listed in preference order after striking any Mediators to which they have any factual objection, and a preference for available dates. If the opposing Party strikes all of initiating Party's preferred Mediators, opposing Party shall submit a list of three preferred Mediators listed in preference order to initiating Party and Administrator. Initiating Party shall file a list of preferred Mediators listed in preference order, after striking any

Mediator to which they have any factual objection. This process shall continue until both sides have agreed upon a Mediator.

- 7.3.2 The Administrator will appoint or the Parties shall agree upon the highest, mutually preferred Mediator from the individual Parties' lists who is available to serve within the designated time frame.
- 7.3.3 If the Parties agree not to use AAA, then a Mediator, date and place for the mediation shall be mutually agreed upon.
- 7.4 Conduct of Mediation Sessions. Mediation hearings will be conducted in an informal manner and discovery will not be allowed. All discussions, statements, or admissions will be confidential to the Party's legal position. The Parties may agree to exchange any information they deem necessary.
- 7.4.1 Both Parties must have an authorized representative attend the mediation. Each representative must have the authority to recommend entering into a settlement. Either Party may have attorney(s) or expert(s) present. Upon reasonable demand, either Party may request and receive a list of witnesses and notification whether attorney(s) will be present.
- 7.4.2 Any agreements resulting from mediation shall be documented in writing. All mediation results and documentation, by themselves, shall be "non-binding" and inadmissible for any purpose in any legal proceeding, unless such admission is otherwise agreed upon, in writing, by both Parties. Mediators shall not be subject to any subpoena or liability and their actions shall not be subject to discovery.

### ARTICLE VIII

### INTELLECTUAL PROPERTY RIGHTS

- 8.1 Work For Hire. All original designs, plans, specifications, reports, documentation, and other informational materials, whether written or readable by machine, originated or prepared exclusively for the City pursuant to this Agreement (Deliverable Materials) is "work for hire" under the United States Copyright law and shall become the sole property of the City. The Consultant, including its employees, and independent Subcontractor(s), shall not assert any common law or statutory patent, copyright, trademark, or any other intellectual proprietary right to the City to the deliverable Materials.
- 8.2. Rights in Data. All rights (including, but not limited to publication(s), registration of copyright(s), and trademark(s) in the Deliverable Materials, developed by the Consultant, including its employees, agents, talent and independent Subcontractors pursuant to this Agreement are the sole property of the City. The Consultant, including its employees, agents, talent, and independent Subcontractor(s), may not use any such Product mentioned in this article for purposes unrelated to Consultant's work on behalf of the City without prior written consent of the City.

- 8.3 Intellectual Property Rights Assignment. Consultant, its employees, agents, talent, and independent Subcontractor(s) agree to promptly execute and deliver, upon request by City or any of its successors or assigns at any time and without further compensation of any kind, any power of attorney, assignment, application for copyright, patent, trademark or other intellectual property right protection, or other papers or instruments which may be necessary or desirable to fully secure, perfect or otherwise protect to or for the City, its successors and assigns, all right, title and interest in and to the content of the Deliverable Materials; and cooperate and assist in the prosecution of any action or opposition proceeding involving said rights and any adjudication of the same.
- 8.4 Moral Rights. Consultant, its employees, agents, talent, and independent Subcontractor(s) hereby irrevocably and forever waives, and agrees never to assert, any Moral Rights in or to the Deliverable Materials which Consultant, its employees, agents, talent, and independent Subcontractor(s), may now have or which may accrue to Consultant, its employees, agents, talent, and independent Subcontractor(s)' benefit under U.S. or foreign copyright laws and any and all other residual rights and benefits which arise under any other applicable law now in force or hereafter enacted. The term "Moral Rights" shall mean any and all rights of paternity or integrity in or to the Deliverable Materials and the right to object to any modification, translation or use of said content, and any similar rights existing under judicial or statutory law of any country in the world or under any treaty, regardless of whether or not such right is denominated or referred to as a moral right.
- 8.5 Subcontracting. In the event that Consultant utilizes a Subcontractor(s) for any portion of the Work that is in whole or in part of the specified Deliverable(s) to the City, the agreement between Consultant and the Subcontractor [Subcontractor Agreement] shall include a statement that identifies that the Deliverable/Work product as a "work-for hire" as defined in the Act and that all intellectual property rights in the Deliverable/Work product, whether arising in copyright, trademark, service mark or other belongs to and shall vest solely with the City. Further, the Subcontractor Agreement shall require that the Subcontractor, if necessary, shall grant, transfer, sell and assign, free of charge, exclusively to the City, all titles, rights and interests in and to said Work/Deliverable, including all copyrights and other intellectual property rights. City shall have the right to review any Subcontractor agreement for compliance with this provision.
- 8.6 Publication. Consultant may not publish or reproduce any Deliverable Materials, for purposes unrelated to Consultant's work on behalf of the City without prior written consent of the City.
- 8.7 Intellectual Property Warranty and Indemnification. Consultant represents and warrants that any materials or deliverables, including all Deliverable Materials, provided under this contract are either original, not encumbered and do not infringe upon the copyright, trademark, patent or other intellectual property rights of any third party, or are in the public domain. If Deliverable Materials provided hereunder become the subject of a claim, suit or allegation of copyright, trademark or patent infringement, City shall have the right, in its sole discretion, to require Consultant to produce, at Consultant's own expense, new non-infringing materials, deliverables or Works as a means of remedying any claim of infringement in addition

to any other remedy available to the City under law or equity. Consultant further agrees to indemnify and hold harmless the City, its officers, employees and agents from and against any and all claims, actions, costs, judgments or damages of any type alleging or threatening that any materials, deliverables, supplies, equipment, services or Works provided under this contract infringe the copyright, trademark, patent or other intellectual property or proprietary rights of any third party (Third Party Claims of Infringement). If a Third Party Claim of Infringement is threatened or made before Consultant receives payment under this contract, City shall be entitled, upon written notice to Consultant, to withhold some or all of such payment.

- 8.8 Enforcement Costs. The Consultant agrees to pay any and all costs the City incurs enforcing the indemnity and defense provisions set forth in Article 8, including but not limited to, attorney's fees.
- 8.9 Ownership of Documents. Once the Consultant has received any compensation for the Professional Services performed under this Agreement, all documents, including but not limited to, original plans, maps, studies, sketches, drawings, computer printouts and disk files, and specifications prepared in connection with or related to the Scope of Services or Professional Services, shall be the property of the City.

### ARTICLE IX

### **MISCELLANEOUS**

- 9.1 Notices. In all cases where written notice is required under this Agreement, service shall be deemed sufficient if the notice is deposited in the United States mail, postage paid. Proper notice shall be effective on the date it is mailed, unless provided otherwise in this Agreement. For the purpose of this Agreement, unless otherwise agreed in writing, notice to the City shall be addressed to: Engineering and Capital Projects Department, 600 B Street, Suite 800, San Diego, CA 92101, Attn: Dean Marsden, Mail Station 908A and notice to the Consultant shall be addressed to: RBF Consulting, 9755 Clairemont Mesa Blvd., Suite 100, San Diego, CA 92124-1324.
- 9.2 Headings. All article headings are for convenience only and shall not affect the interpretation of this Agreement.
- 9.3 Non-Assignment. The Consultant shall not assign the obligations under this Agreement, whether by express assignment or by sale of the company, nor any monies due or to become due, without the City's prior written approval. Any assignment in violation of this paragraph shall constitute a Default and is grounds for immediate termination of this Agreement, at the sole discretion of the City. In no event shall any putative assignment create a contractual relationship between the City and any putative assignee.
- 9.4 Independent Contractors. The Consultant and any Subcontractors employed by the Consultant shall be independent contractors and not agents of the City. Any provisions of this

Agreement that may appear to give the City any right to direct the Consultant concerning the details of performing the Services, or to exercise any control over such performance, shall mean only that the Consultant shall follow the direction of the City concerning the end results of the performance.

- 9.5 Consultant and Subcontractor Principals for Consultant Services. It is understood that this Agreement is for unique Professional Services. Retention of the Consultant's Professional Services is based on the particular professional expertise of the following members of the Consultant's organization: Lorraine Ahlquist [Project Team]. Accordingly, performance of Professional Services on the Project may not be delegated to other members of the Consultant's organization or to Subcontractors without the prior written consent of the City. It is mutually agreed that the members of the Project Team are the principal persons responsible for delivery of all Professional Services and may not be removed from the Project without the City's prior written approval. Removal of any member of the Project Team without notice and approval by the City may be considered a default of the terms and conditions of this Agreement by the Consultant. In the event any member of the Project Team becomes unavailable for any reason. the City must be consulted as to any replacement. If the City does not approve of a proposed replacement, the City may terminate this Agreement pursuant to section 2.6 of this Agreement. Further, the City reserves the right, after consultation with the Consultant, to require any of the Consultant's employees or agents to be removed from the Project.
- 9.6 Covenants and Conditions. All provisions of this Agreement expressed as either covenants or conditions on the part of the City or the Consultant, shall be deemed to be both covenants and conditions.
- 9.7 Compliance with Controlling Law. The Consultant shall comply with all laws, ordinances, regulations, and policies of the federal, state, and local governments applicable to this Agreement. In addition, the Consultant shall comply immediately with all directives issued by the City or its authorized representatives under authority of any laws, statutes, ordinances, rules, or regulations. The laws of the State of California shall govern and control the terms and conditions of this Agreement.
- 9.8 Jurisdiction and Attorney Fees. The jurisdiction and applicable laws for any suit or proceeding concerning this Agreement, the interpretation or application of any of its terms, or any related disputes shall be in accordance with the laws of the State of California. The prevailing Party in any such suit or proceeding shall be entitled to a reasonable award of attorney fees in addition to any other award made in such suit or proceeding.
- 9.9 Successors in Interest. This Agreement and all rights and obligations created by this Agreement shall be in force and effect whether or not any Parties to the Agreement have been succeeded by another entity, and all rights and obligations created by this Agreement shall be vested and binding on any Party's successor in interest.
- 9.10 Integration. This Agreement and the Exhibits and references incorporated into this Agreement fully express all understandings of the Parties concerning the matters covered in this Agreement. No change, alteration, amendment, or modification of the terms or conditions of this Agreement, and no verbal understanding of the Parties, their officers, agents, or employees shall be valid unless made in the form of a written change agreed to in writing by both Parties.

All prior negotiations and agreements are merged into this Agreement.

- 9.11 Counterparts. This Agreement may be executed in counterparts, which when taken together shall constitute a single signed original as though all Parties had executed the same page.
- 9.12 No Waiver. No failure of either the City or the Consultant to insist upon the strict performance by the other of any covenant, term or condition of this Agreement, nor any failure to exercise any right or remedy consequent upon a breach of any covenant, term, or condition of this Agreement, shall constitute a waiver of any such breach of such covenant, term or condition. No waiver of any breach shall affect or alter this Agreement, and each and every covenant, condition, and term hereof shall continue in full force and effect without respect to any existing or subsequent breach.
- 9.13 Severability. The unenforceability, invalidity, or illegality of any provision of this Agreement shall not render any other provision of this Agreement unenforceable, invalid, or illegal.
- 9.14 Additional Consultants or Contractors. The City reserves the right to employ, at its own expense, such additional Consultants or contractors as the City deems necessary to perform work or to provide the Services.
- 9.15 Employment of City Staff. This Agreement may be unilaterally and immediately terminated by the City, at its sole discretion, if the Consultant employs an individual who, within the last twelve months immediately preceding such employment did, in the individual's capacity as an officer or employee of the City, participate in, negotiate with, or otherwise have an influence on the recommendation made to the City Council or Mayor in connection with the selection of the Consultant.
- 9.16 Municipal Powers. Nothing contained in this Agreement shall be construed as a limitation upon the powers of the City as a chartered city of the State of California.
- 9.17 **Drafting Ambiguities.** The Parties agree that they are aware that they have the right to be advised by counsel with respect to the negotiations, terms and conditions of this Agreement, and the decision of whether or not to seek advice of counsel with respect to this Agreement is a decision which is the sole responsibility of each Party. This Agreement shall not be construed in favor of or against either Party by reason of the extent to which each Party participated in the drafting of the Agreement.
- 9.18 Signing Authority. The representative for each Party signing on behalf of a corporation, partnership, joint venture or governmental entity hereby declares that authority has been obtained to sign on behalf of the corporation, partnership, joint venture, or entity and agrees to hold the other Party or Parties hereto harmless if it is later determined that such authority does not exist.
- 9.19 Conflicts Between Terms. If an apparent conflict or inconsistency exists between the main body of this Agreement and the Exhibits, the main body of this Agreement shall control. If a conflict exists between an applicable federal, state, or local law, rule, regulation,

order, or code and this Agreement, the law, rule, regulation, order, or code shall control. Varying degrees of stringency among the main body of this Agreement, the Exhibits, and laws, rules, regulations, orders, or codes are not deemed conflicts, and the most stringent requirement shall control. Each Party shall notify the other immediately upon the identification of any apparent conflict or inconsistency concerning this Agreement.

- 9.20 Consultant Evaluation. City will evaluate Consultant's performance of Services using the Consultant Evaluation Form (Exhibit F).
- 9.21 Exhibits Incorporated. All Exhibits referenced in this Agreement are incorporated into the Agreement by this reference.
- 9.22 Survival of Obligations. All representations, indemnifications, warranties and guarantees made in, required by or given in accordance with this Agreement, as well as all continuing obligations indicated in this Agreement, shall survive, completion and acceptance of the Professional Services and termination or completion of the Agreement.
- 9.23 Vendor Registration. All consultants wishing to conduct business with the City of San Diego, or those intending to compete for City contracts, must submit a completed Vendor Registration Form (Exhibit G) to the City of San Diego's Purchasing & Contracting Department. This form must be received by the City prior to competing for or being awarded any contracts.
- 9.24 Contractor Standards. This Agreement is subject to the Contractor Standards clause of the Municipal Code Chapter 2, Article 2, Division 32 adopted by Ordinance No. O-19383. All consultants are required to complete the Contractor Standards Pledge of Compliance included herein as (Exhibit H). The Contractor Standards are available online at <a href="https://www.sandiego.gov/purchasing/vendor/index.shtml">www.sandiego.gov/purchasing/vendor/index.shtml</a> or by request from the Purchasing & Contracting Department by calling (619) 236-6000.
- 9.25 Equal Benefits Ordinance. This Agreement is subject to the Equal Benefits Ordinance [EBO]. All consultants are required to complete the Equal Benefits Ordinance Certification of Compliance included herein as Exhibit K. Effective January 1, 2011, any contract awarded from this solicitation is subject to the City of San Diego's Equal Benefits Ordinance [EBO], Chapter 2, Article 2, Division 43 of the San Diego Municipal Code [SDMC].

In accordance with the EBO, contractors must certify they will provide and maintain equal benefits as defined in SDMC §22.4302 for the duration of the contract [SDMC §22.4304(f)]. Failure to maintain equal benefits is a material breach of the contract [SDMC §22.4304(e)]. Contractors must notify employees of their equal benefits policy at the time of hire and during open enrollment periods and must post a copy of the following statement in an area frequented by employees:

During the performance of a contract with the City of San Diego, this employer will provide equal benefits to its employees with spouses and its employees with domestic partners.

Contractors also must give the City access to documents and records sufficient for the City to verify the contractors are providing equal benefits and otherwise complying with EBO requirements. Full text of the EBO and the Rules Implementing the Equal Benefits Ordinance are posted on the City's website at www.sandiego.gov/purchasing/ or can be requested from the Equal Benefits Program at (619) 533-3948.

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# COPPUPLICATE ORIGINAL

IN WITNESS WHEREOF, this Agreement is executed by the City of San Diego, acting by and through its Mayor, pursuant to Resolution No. 306817, authorizing such execution, and by the Consultant pursuant to RBF Corporate Authorization levels

Dated this 4 day of August, 2011.

THE CITY OF SAN DIEGO
Mayor or Designee

W. Downs Prior
Principal Contract Specialist
Public Works Contracting

I HEREBY CERTIFY I can legally bind RBF Consulting and that I have read all of this Agreement, this 25<sup>+79</sup> day of 74/1.

Richard A. Rubin, P.E., AICP, LEED AP Executive Vice President

I HEREBY APPROVE the form and legality of the foregoing Agreement this day of August, 2011.

JAN I. GOLDSMITH, City Attorney

Deputy City Attorney

### **CONSULTANT AGREEMENT EXHIBITS**

Exhibit A -	Scope of Services
Exhibit B -	Compensation and Fee Schedule
Exhibit C -	Time Schedule
Exhibit D -	City's Equal Opportunity Contracting Program Consultant Requirements  AA Local Agency Proposer DBE Information (Consultant)  BB Local Agency Bidder DBE Information (Construction) -RESERVED  CC Final Report – Utilization of DBE, First Tier Subcontractors  RESERVED  DD Monthly DBE Trucking Verification-RESERVED  EE Local Agency Proposer UDBE Commitment (Consultant)  FF Local Agency Bidder UDBE Commitment (Construction) -RESERVED  GG Subcontracting Request  HH UDBE Information-Good Faith Efforts  II Subcontractor List  JJ DBE Certification Status Change  KK Workforce Report
Exhibit E -	Consultant Certification for a Drug-Free Workplace
Exhibit F -	Consultant Evaluation Form
Exhibit G -	Vendor Registration Form
Exhibit H -	Contractor Standards Pledge of Compliance
Exhibit I -	Determination Form
Exhibit J-	California Labor Code Sections 1720 and 1771
Exhibit K-	Equal Benefits Ordinance Certification of Compliance

### **ATTACHMENTS**

- 1 Certification of Local Agency
- 2 Certification of Consultant

## SCOPE OF SERVICES

### SCOPE OF WORK

#### TASK 1.0 PROJECT MANAGEMENT

RBF will manage project duties for the project in order to assure a cost efficient, quality process. As the prime contractor, our staff will provide a suite of disciplines to effectively coordinate and communicate leading to project approval. Within this task, RBF will do the following:

- Prepare and maintain the environmental quality control plan
- Prepare monthly project documentation and invoicing
- Prepare and maintain the project schedule for environmental approval activities

RBF will take an aggressive approach to ensure the timely resolution of issues. Note: This budget assumes that the project EA phase will take no more than 16 months. If this project phase lasts longer, the budget for project management will be adjusted to accommodate additional meetings, monthly documentation, etc. approach, discuss and identify any additional information needs, and review the projected permitting schedules, mainly via telephone, mail, and fax, but up to six team meetings also will be required. Objectives of the coordination will include identifying feasible mitigation options and preparing for initial and final coordination with regulatory agencies. RBF will arrange meetings, prepare agendas, distribute minutes and other relevant materials, provide progress reports and schedule updates, and provide a list of action items to the team.

### TASK 2.0 ENVIRONMENTAL APPROVAL

The RBF Team would provide management and analysis services to complete the NEPA Environmental Assessment (EA) and supporting technical studies as well as the refinement of previously prepared studies utilized for the CEQA approval.

The Caltrans environmental experience of the RBF Team will ensure a streamline preparation of the environmental documentation pursuant to FHWA Standards consistent with Caltrans' Standard Environmental References (SER). In addition, the RBF Team's extensive background in regulatory permitting will enable the RBF Team to utilize relationships with local jurisdictions to complete the required permits, coordinate successful meets, and provide leverage during negotiations with the resource agencies.

### TASK 2.1 PROJECT KICK-OFF AND PROJECT DESCRIPTION

The RBF team will assist the City in getting the project moving in fast forward. RBF will work with City staff in preparing an agenda for the kick-off meeting and will be



responsible for pursuing action items identified during the course of the meeting. Based upon the kick-off meeting discussion and City direction, RBF will prepare a detailed work plan and schedule for completing key milestones for project implementation. The RBF team will bring their knowledge and experience from working on similar projects that have transitioned from CEQA to NEPA to the table for discussion. RBF will work with the E&CP Department to determine the management and communication approach best suited for this project and the extent to which the team will convene throughout the course of the project (e.g., monthly or bi-weekly progress meetings). Project team communication protocol would be established at this time as well. The kick-off meeting would be used to establish schedules and expectations of the project team and participating agencies. All available documentation and files on the project would be provided to the RBF team in order to expedite the first leg of the project - existing data review and research. This task is included on a time and materials basis not to exceed the budgeted fee and 30 hours for this task.

### TASK 2.2 RESEARCH AND INVESTIGATION

Following the project kick-off meeting, the RBF team will review all available data, including engineering/feasibility studies, environmental studies, planning documents, geohydrology and engineering studies for the project. RBF will utilize as much existing data as possible and supplement where necessary.

The RBF team will identify data gaps, additional data needs and environmental survey or assessment constraints, such as seasonal survey limitations and compatibility with the project schedule. This task is included on a time and materials basis not to exceed the budgeted fee and 31 hours for this task.

### TASK 2.4 TECHNICAL STUDIES

The following environmental issue areas may need further review and/or analysis for NEPA compliance. The work efforts associated with each of these issue areas is provided below.

### **BIOLOGICAL RESOURCE SERVICES**

### NATURAL ENVIRONMENT STUDY

Consultant will review previously prepared study to ensure that the literature review is up to date and document any existence or potential occurrence of sensitive plant and animal species on the project site or in the vicinity. Federal and State lists of sensitive species and current database records, including the California Natural Diversity Data Base (California



Department of Fish and Game, 2007) and the California Native Plant Society's Electronic Inventory of Rare and Endangered Vascular Plants of California (Skinner, et al., 2007), will be examined. In accordance with Caltrans guidelines, Consultant will re-submit, if necessary, a letter to the U.S. Fish and Wildlife Service requesting a list of threatened and endangered species known from the project vicinity. The results of the records search will be summarized in a table and included in the NES. The Biological Study Area (BSA) will be determined through coordination with a Caltrans Biologist.

If necessary, the fieldwork will be conducted by qualified Consultant biologists in order to re-document the presence/absence of sensitive biological resources (e.g., species or habitats), or to determine the potential for occurrence of such resources that may not be detectable when the fieldwork is conducted. The location of any sensitive biological resources present on site, including plants and plant communities, will be mapped. A jurisdictional delineation, described below, will be conducted as part of this NES. Additional focused surveys that may be required are described in the Potential Additional Technical Studies section below.

Consultant will update the NES that will include a description of the field methods used and the results of the biological assessment of the project area. The report will include a list of plant and animal species present within the project area and a general description of the plant communities occurring. If any sensitive resources are found on the site, Consultant will prepare and include in the NES a graphic displaying the location of the sensitive plant communities on site and any sensitive biological resources observed. Tables describing sensitive species and their habitats that are present or potentially present will also be provided in the report. Our report will also identify and assess project impacts on the existing biological resources, including any sensitive species. Mitigation measures will also be included as necessary. These mitigation measures may be conceptual (i.e., specific restoration plans are not included in this scope).

The proposed scope of services includes an update to the existing NES to be reviewed by (1) the City of San Diego, and (2) Caltrans. The RBF team will prepare and distribute up to five copies of the NES for each of the two review cycles and will respond to comments and update the NES as needed for completion of each cycle.

The Consultants biologist will be available to attend up to two team meetings, including PDT meetings.

Focused Surveys for Special Status Species

Based on the results of a previous literature review, the proposed project may have potential effects to the following special status species:

Arroyo Toad



- Least Bell's Vireo
- Light Footed Clapper Rail
- Belding Savannah Sparrow
- Quino Checkerspot Butterfly
- Pacific Pocket Mouse
- Southwestern Willow Flycatcher

If the general biological field survey reveals that suitable habitat is present for the above species, or any other species identified during the preparation of the NES, then additional surveys may be required in order to determine the presence or absence of such species. The scope of these surveys would be dependent on the extent of habitat to be surveyed for a particular species, and coordination with the U.S Fish and Wildlife Service (USFWS) and/or California Department of Fish and Game (CDFG) as appropriate. Since the scope and nature of the surveys has not yet been determined, the cost for focused surveys cannot be accurately estimated. However, an estimate of costs has been included based on the focused survey study area noted in the June 2006 NES for each species.

Consultant will prepare letter reports summarizing the results of any necessary focused surveys.

### BIOLOGICAL ASSESSMENT

If threatened or endangered species may be affected by the project, coordination with the USFWS and CDFG will be required for compliance with the Federal/State Endangered Species Acts.

In the event that endangered species (i.e., arroyo toad, least Bell's vireo, light footed clapper rail, southwestern willow flycatcher, & Quino checkerspot butterfly) or critical habitats are present, Consultant will be available to provide assistance with the Endangered Species Act Section 7 Consultation process, including preparation of the Biological Assessment, attendance at meetings, coordination with the project team, responding to information requests from the U.S. Fish and Wildlife Service, and researching mitigation options. Assistance with the Section 7 Consultation process is an included task. Until the field work and NES have been completed, the cost for preparation of a Biological Assessment is an estimated cost to complete 7 Consultation services.

### CWA SECTION 404(B)(1) ALTERNATIVES ANALYSIS

RBF will prepare a "water-dependency" Alternatives Analysis required under Section 404(b)(1) of the federal Clean Water Act. RBF assumes up to three (3) alternatives



(provided by the client) will be evaluated in detail as part of the Alternatives Analysis. The feasibility and practicability of each alternative will be discussed. The 404(b)(1) Alternatives Analysis is required to identify possible alternatives that could avoid, minimize, or mitigate for impacts caused by the proposed action while still accomplishing the objectives of the project. When considering if an alternative is superior to the proposed action, several factors will be considered, including economic impacts.

RBF Consulting assumes the client will provide any economic data and preliminary engineering information to include within the alternatives analysis. This task includes the preparation of up to six exhibits to help illustrate the project and support written text.

### **CULTURAL RESOURCE SERVICES**

Tierra has previously conducted four cultural resources inventories for the project as it has changed over time. Three sites CA-SDI-14,969, CA-SDI-8,225/H and CA-SDI-10,117 were recorded adjacent to the project area and an effort was made to relocate them and determine whether they extend into the APE. All three of these sites were relocated and found to be outside the APE.CA-SDI-686 Locus C appears to have been heavily impacted by the realignment of El Camino Real and was not relocated within the APE. Only a small amount of shell was identified southwest of the proposed project in an area of tomato fields.

While nothing is specifically known to exist within the APE, the project vicinity, i.e., the alluvial San Dieguito River Valley, has documented buried archaeological resources of extreme importance to the understanding of the San Dieguito Complex and the cultural history of widely and potentially applicable regions of the greater U.S. west, Great Basin, and Southwest.

Archaeological monitoring is recommended for earth moving activities and Native American monitor should also be present. Tierra has authored a cultural resources late discovery plan outlining the methods for dealing with potential archaeological discoveries should they be encountered during construction.

Should the APE change from that approved in 2006 to include areas that were not originally surveyed earlier, it will be necessary to conduct a cultural resources survey for these areas. If the changes are such that the APE abuts parcels not previously considered in the earlier technical documents it will be necessary to evaluate any structures present within these parcels. This task is included on a time and materials basis not to exceed the budgeted fee and hours (32 hours for Consultation with Native American Heritage Commission; 34 hours for Historical Property Survey Report; and 35 hours for the Historical Resources Evaluation Report) for this task.



### AIR QUALITY ASSESSMENT

RBF will update the air quality analysis technical study and global climate change documentation consistent with Caltrans SER guidelines, to include a discussion on the current status of the California Clean Air Act and the San Diego Air Pollution Control District's (SDAPCD) 2009 Regional Air Quality Strategy Revision (RAQS). Existing pollutant data will be obtained from the nearest SDAPCD monitoring station.

Emission factors will be based on the use of EMFAC7 and U.S. EPA 42 emission factors. Consistency with the 2009 Regional Air Quality Strategy Revision (RAQS) will be evaluated based upon Conformity Analysis criteria for public works projects.

Asbestos is an air quality, as well as a hazardous materials, issue. If structural demolition is needed, the release of friable asbestos may be an abatement issue. Structural asbestos is regulated by Federal and related State/air district regulations. Due to the existing bridge and potential disturbance of the bridge, a structural asbestos analysis may be required.

Utilizing the CEQA compliant air quality analysis to the maximum extent possible, RBF will provide additional analyses that are required per FHWA Conformity requirements ensuring the additional analyses are performed and documented in consistency with Caltrans SER guidelines. FHWA Conformity requirements may include the include completing the transportation air quality checklist, determining if the project is subject to conformity requirements, if it is documented in and thereby conform to the RTP and RTIP, ensuring the project is not a Project of Air Quality Concern (POAQC) for PM 10 and PM 2.5, a CO Hot Spot Analysis, and a Mobile Source Air Toxics (MSAT) analysis. These additional work efforts and analysis related to FHWA Conformity requirements must strictly adhere to Caltrans SER guidelines. This task is included on a time and materials basis not to exceed the budgeted fee for this task.

### NOISE IMPACT ANALYSIS

As this project will receive federal funding it is required to undergo CEQA and NEPA review to identify the environmental impacts of the project and determine whether identified impacts are significant. However, the requirements for CEQA compliance and NEPA compliance vary greatly within the requirements and approaches to analyses between a CEQA noise study and a NEPA compliant Noise Study Report (i.e. utilizing the Caltrans Traffic Noise Analysis Protocols (TNAP)). Details of the required components for the Noise Study Report, as provided in the Caltrans SER guidelines are discussed in further detail below.

The El Camino Real Bridge/Road Widening project will physically alter an existing highway which significantly changes either the horizontal or vertical alignment or



increases the number of through traffic lanes, classified as Type 1 projects, are required to undergo a detailed traffic noise analysis to satisfy NEPA/CEQA, FHWA, and the California Streets and Highways Code, Section 216 requirements. The traffic noise analysis will be performed in accordance with FHWA guidance 23 CFR 772, the Caltrans Traffic Noise Analysis Protocol (August, 2006) and the Caltrans Technical Noise Supplement (October, 1998).

The initial noise analysis was presented in the 2006 Draft Environmental Impact Report (EIR) with the associated noise technical report utilizing the 1998 Caltrans Noise Protocol and Sound 32 model. Since the development of the initial noise analysis, Caltrans has updated their Noise Protocol document in August of 2006. As the Record of Decision for the environmental document for this project will occur beyond 2 years from this revision publication date, the new Caltrans Protocol and the Traffic Noise Model (TMN 2.5) will be utilized to update the previously prepared studies. It is anticipated that the overall conclusions from the previous noise analysis will not change substantially but that the Noise Study Report will be updated to reflect new Caltrans format and model changes. Further, it is assumed that the design alternatives will remain the same as defined in the initial NSR.

#### PREDICTIVE NOISE MODELING

Utilizing the TNM2.5 files obtained from RECON, noise modeling results will be updated from CNEL to Leq values to predict current, future no-build and build alternatives. The prediction of noise levels will be based on the same inputs such as projected traffic volume (average daily traffic), traffic mix (percentage of truck traffic), topography and distance of the project from the receptors that was utilized for the CEQA analysis. Peak hour noise in the design year for the project will be modeled at selected noise sensitive receptors based on forecast traffic volumes that were utilized in the CEQA analysis. Modeling must be adequate to accurately predict the noise levels at each of the receptors, assess the number of properties within 500 feet of the project that are impacted or will be impacted and determine the increase in traffic noise. Predicted noise impacts for the future build alternatives will be compared to the future no-build alternative to determine if a noise impact. A noise impact occurs when: 1) there is a substantial noise increase (when the predicted noise levels with the project exceed existing noise levels by 12 dBA) or/and; 2) the future traffic noise level with the project approaches or exceeds the noise abatement criteria (NAC).

### NOISE ABATEMENT EVALUATION

In accordance with FHWA and Caltrans requirements, noise abatement measures will be considered at locations along the alignments where traffic noise impacts are predicted. If



traffic noise impacts are predicted at the sensitive receptors, noise abatement measures must be evaluated at these locations. Preliminary noise abatement design includes considerations such as barrier heights, lengths, and location. Noise abatement is only considered where frequent human use occurs and a lowered noise level would be of benefit. A feasible and reasonable analysis will be performed at sensitive receptor locations were a traffic noise impacts was predicted. Noise abatement will be included as part of the project only if constructing the abatement is reasonable and feasible. Noise abatement will be evaluated at impacted sensitive receptor locations by varying wall heights until a 5dBA reduction in the future noise level is achieved. Other considerations such as topography, access requirements, other noise sources and safety considerations will be included as part of the feasible review. Those sensitive receptors that are determined to be feasible will undergo a reasonableness review. To determine whether a noise abatement measure is reasonable, a cost-benefit analysis will be conducted taking into account: absolute noise level, build versus existing noise, environmental impacts of abatement, public and local agencies input, newly constructed development versus development predating 1978 and the total noise abatement allowance versus the project cost. The preliminary decision of providing noise abatement for exteriors of residential areas in activity Category B is made from the reasonable allowance per benefited residence.

If the traffic noise impacts predicted have a potential to cause a significant adverse environmental impact due to either one or both of the following:

- A substantial noise increase and/or;
- The proposed noise abatement has a potential for a significant effect on a competing resource such as designated scenic highways, scenic vistas, historical sites, endangered species, etc. mitigation must be considered under CEQA requirements. In addition, it must be further evaluated whether the proposed noise mitigation itself will result in a significant adverse environmental effect before the public involvement process is conducted. If the proposed project or the proposed noise abatement would result in a significant environmental effect, then an overall mitigation plan must be developed and reported in the Draft Noise Technical Report before incorporating into the Draft EIS/EIR Noise Technical Section for circulation to solicit public input.

### Noise Study Report

A noise study report will be developed to summarize the findings from the traffic noise analysis.

Construction Noise Impacts

Short-term noise impacts from construction sources also will be analyzed qualitatively.



### Development of the NSR

Entech will prepare a Noise Study Report (NSR) based on Caltrans annotated outline dated February, 2008. The NSR shall be prepared in accordance with the Caltrans and FHWA requirements to support the CEQA/NEPA environmental documentation. The NSR shall take into consideration impacts to frequent outdoor uses, as defined by FHWA, and recommend abatement measures as appropriate. The noise analysis will be performed in accordance with the Caltrans Traffic Noise Analysis Protocols (August, 2006) and Technical Noise Supplement (November, 2009).

A Draft Technical Noise report would be developed and circulated to the project team internally electronically for review and comment. The comments from the project team would be incorporated and incorporated into a Draft NSR and would be developed and circulated to Caltrans and the City of San Diego. It is assumed that Caltrans will review the technical noise report for a completeness review within 5 days of submittal. After the completeness review, Caltrans and the City of San Diego will provide comments to the project team within 45 calendar days. Comments received from Caltrans and the City of San Diego will be reviewed and incorporated and a final version of the NSR will be developed and circulated for Caltrans approval. It is assumed that public meetings to discuss the noise abatement recommendations will occur after Caltrans approval.

### Noise Abatement Decision Report

After Caltrans approval of the NSR, Entech will prepare a Noise Abatement Decision Report (NADR), as defined in the Caltrans Noise Analysis Protocol (August 2006). The report will summarize the preliminary reasonableness determination from the Noise Analysis, present the engineer's cost estimate for the evaluated abatement, evaluation of non acoustical feasibility issues, preliminary noise abatement decision, and secondary effects of abatement (impacts on cultural resources, scenic views, hazardous materials, and biology). Entech will prepare the NADR consistent with the District report guidelines with the best information available. Entech will request information from RBF regarding the non acoustical factors associated with abatement such as geometric standards (minimum sight distances), safety, maintenance, security, geotechnical considerations, and utility relocations, secondary effects on cultural resources, scenic views, hazardous materials, biology and visual effects. A draft and final NADR will be prepared for Caltrans approval following the same approval process as discussed above for the NSR.

### COMMUNITY IMPACT ASSESSMENT

RBF will prepare a Community Impact Assessment (CIA) that will identify the community impacts on neighborhoods, businesses, and minority and low-income populations, as well as the project's compatibility with the existing and future land uses and consistency with



local land use plans, general plans, regional transportation plans, and habitat conservation plans. The community impact analysis will be prepared in accordance with Caltrans Environmental Handbook, Volume 4: Community Impact Assessment. The CIA will consider residential and business acquisitions and relocations; changes in access/circulation, community cohesion characteristics, demographic characteristics and growth; and demand for new or expanded community facilities. Data from the 2000 U.S. Census will be used to identify characteristics of populations within census block groups traversed by or adjacent to the proposed project. Community profiles will also be collected for the City of San Diego, the County of San Diego, and the State of California to help identify regional and local trends in regards to demographics, local industry, occupations, and tax base. Property tax and sales tax revenue issues will be identified and discussed.

The CIA will include documentation on Environmental Justice issues pursuant to Executive Order 12898, Federal Actions to Address Environmental Justice in Minority and Low-Income Populations. The Environmental Justice documentation will include information on demographic and economic characteristics of the project area population and will identify the presence of any minority and low-income population groups. Potential adverse effects on the project area population, including minority and low-income population groups and disproportionately high and adverse effects on minority and low-income population groups will be analyzed.

### VISUAL IMPACT ASSESSMENT

In order to ensure that the Visual Analysis is compliant with Caltrans SR guidelines, this task included team meetings with Caltrans to develop a work plan that will include the specific requirements that Caltrans wants in the VIA. In addition, coordination between the NEPA team ensuring the VIA is consistent with any changes that may occur during the NEPA environmental process. This task is included on a time and materials basis not to exceed the budgeted fee and 15 hours for this task.

### TRAFFIC IMPACT ANALYSIS

In order to ensure that the Traffic Impact Analysis is compliant with Caltrans SER guidelines, this task included team meetings with Caltrans to develop a work plan that will include the specific requirements that Caltrans wants in the TIA. In addition, coordination between the NEPA team ensuring the TIA is consistent with any changes that may occur during the NEPA environmental process. This task is included on a time and materials basis not to exceed the budgeted fee and 25 hours for this task.



#### STORM WATER DATA REPORT (SWDR)

The Storm Water Data Report (SWDR) summarizes the stormwater quality issues (BMP's) of a project. Depending upon the extent of soil disturbance and degree of stormwater impacts, a "Long Form" or "Short Form" SWDR is required. Projects that do not have the potential to create stormwater impacts, and have little or no soil disturbance may utilize the "Short Form" SWDR, but the "Long Form" will be used for this project.

Rick Engineering Company will prepare the SWDR (Long Form) in accordance with the latest, Caltrans Storm Water Quality Handbook dated July 2010.

#### TASK 2.5 ENVIRONMENTAL DOCUMENT



Utilizing the environmental analysis of the technical studies and the existing CEQA environmental document, the RBF will prepare an Environmental Assessment (EA), consistent with the SER Guidelines. Preparation of the EA will include the following work efforts:

#### DRAFT PRELIMINARY ENVIRONMENTAL EVALUATION

Working in consultation with the relevant agencies, RBF will prepare a draft statement of NEPA purpose and need. In cooperation with the agencies and the project engineer, RBF will use the project description for each of the alternatives from the existing CEQA environmental document to be considered to meet the purpose and need. The establishment of purpose and need along with the project description are critical to the success of the project. The purpose and need/project objectives will require approval by the City and Caltrans.

This scope of work is based upon a no-build and up to three build alternatives. RBF will prepare the Environmental Assessment (EA) per Caltrans SER and FHWA guidelines; its format will be determined in discussions with the agencies. This task is included on a time and materials basis not to exceed the budgeted fee and 21 hours for this task.

### FINAL PRELIMINARY ENVIRONMENTAL EVALUATION

RBF will incorporate the City and Caltrans comments into the Environmental Evaluation. In addition, RBF will prepare the notices of preparation of an environmental document required by NEPA, and Caltrans for local, State and federal agencies and the general public. RBF will prepare a Caltrans Notice of Initiation of Studies (NOIS) for review by the City and Caltrans and will distribute it by certified mail. If requested, RBF will arrange a public meeting to initiate the public input. This task is included on a time and materials basis not to exceed the budgeted fee and 16 hours for this task.



#### SCREENCHECK DRAFT ENVIRONMENTAL DOCUMENT (EA)

RBF will incorporate the purpose and need/project description from prior task(s) and the technical studies into the screencheck document. Based upon available data, RBF will prepare sections for land use, public safety, public services, recreation, and utilities. RBF will rely on the use of the existing geotechnical and the updated hydrology information.

The EA will determine the following:

- Whether the project will have any significant adverse effects on the environment under both State and federal standards
- What potential mitigation measures are appropriate for such impacts
- Whether the mitigation measures reduce all impacts below a level of significance

RBF will provide the Screencheck EA to agencies for review and comment, then revise it in response to the agencies' comments; then, a revised Screencheck EA/IS will be provided to the agencies for review and comment, and it will be revised again in response to the agencies' comments.

#### DRAFT ENVIRONMENTAL DOCUMENT (EA)

Based on the previous task, RBF will draft the EA for public review. The critical objective of the EA is to provide the general public and responsible agencies with the means to participate in the environmental process via written comments on issues addressed in the EA. RBF will prepare the requisite public notices under State and federal law for distribution of the EA. RBF will prepare the requisite number of copies of the document itself, accompanied by its technical documents; most copies likely will be in a CD format. RBF will coordinate the preparation of the distribution list with the City and Caltrans, and the firm assumes that the agencies will distribute the document.

#### **RESPONSES TO COMMENTS**

At the close of the public review period for the EA, RBF would meet with Caltrans staff to review any received comments on the EA and to discuss potential responses to these comments. Then, RBF would formulate responses to the comments and submit the response document to the agencies for review and comment. The agencies' comments will be incorporated into the Response to Comments document, which will be submitted to Caltrans as an appendix to the EA for use in public hearings.



# MITIGATION MONITORING PROGRAM/ ENVIRONMENTAL COMMITMENTS RECORD

Prior to City approval, RBF will prepare a mitigation monitoring plan/environmental commitments record, including monitoring forms, to assist the City in implementing the mitigation measures contained in the EA.

#### FINAL ENVIRONMENTAL DOCUMENT (EA)

The RBF team will revise the Final EA based on comments received from the Project Team, and Caltrans, and will submit a Final EA to the Project Team for their review. The Final EA will include all information described above, as well as the Executive Summary and the ECR. On receipt of comments from the Project Team, the RBF team will revise the document accordingly and will submit the Final EA for concurrence with the Project Team, Caltrans and FHWA review. A final FHWA checklist will be included in the this submittal.

#### **DELIVERABLES:**

Comment matrices documenting Project Team, Caltrans and FHWA comments, and changes to the documents

#### PREP OF FINDING OF NO SIGNIFICANT IMPACT (FONSI) (NEPA)

Following public review of the EA, RBF will prepare a FONSI. The final steps in the NEPA process are dependent on Caltrans/FHWA procedures and any agreements with the cooperating agencies. RBF would assist Caltrans in the completion of the federal process that would include preparation of a Draft FONSI for agency use.

#### TASK 3 ENVIRONMENTAL PERMITTING

#### COORDINATION WITH PROJECT TEAM

RBF shall provide one set of copies for Draft application package to the Client for review. This task includes one revision to the Draft applications pursuant to comments made by the Client/Project Team. While revisions and the final applications are prepared for signatures, RBF will notify the Client on the required application fees for both the Regional Board and CDFG application packages. The application fees must be submitted with the formal applications in order for processing to begin.

RBF will send the Final agency applications to the Client for "Applicant" signatures. Once signatures and application fees are obtained from the Client, RBF will make copies of each



Final application and forward the application to each appropriate agency. Two (2) copies of each final application shall be forwarded to the Client for file.

#### COORDINATION WITH REGULATORY AGENCIES

Project coordination shall include required correspondence or telephone calls between the Project Team. This item includes up to four (4) meetings with the Client and/or Project Team (as needed/directed). This item includes up to two (2) meetings with the reviewing staff of the resource agencies during the application review process and Coastal Hearing (a local Los Angeles, Orange, or San Diego County coastal hearing is counted as one of the meetings).

#### **INTERNAL NOTES:**

Reliance on Documents or Reports Prepared by Others – In performing our services herein, it is anticipated that RBF will receive information prepared or compiled by others; therefore, RBF makes no claims as to the accuracy and/or completeness of information relied without independent evaluation or verification.

*Exclusions* – Any work relating to the following items are specifically excluded from the services described herein.

- Soils Engineering and geotechnical report
- Aerial topography or photography
- Utility relocation plans
- Legal descriptions and exhibits
- Application Fees
- Conceptual/Final Mitigation Plan
- Entitlement Services
- Hazardous Wastes

Client Responsibilities - Consultant's obligations hereunder are based upon understanding:

- Client shall include an electronic site plan (preferably in AutoCad format)
- Client shall provide site access



#### REGULATORY PERMIT PROCESSING

RBF shall provide regulatory services for the processing of the permit applications through the Corps, Regional Board, CDFG and CCC. The processing shall include required correspondence or telephone calls between the reviewing staff related to the permit or points of clarification and coordination with the biological consultant, if necessary. A significant amount of this budget is associated with the processing of the CDP.

This task assumes two (2) rounds of formal (written) response to comments per each application package. This task includes the preparation of permit tracking logs for the Client in addition to Permit Summary Binders once the agency approvals are obtained. This task includes Endangered Species Act Section 7 Consultation with the U.S. Fish and Wildlife Service.

#### U.S. ARMY CORPS OF ENGINEERS 404 PERMIT APPLICATION

RBF will prepare an application submittal package for a Corps permit to satisfy the requirements of Section 404 of the Clean Water Act. It is assumed under this task that authorization to proceed from the Corps can be achieved via Nationwide Permit (NWP) 14, Linear Transportation Projects. Should a different permit be required (i.e., an Individual Permit), work shall proceed under an approved contract addendum. The submittal package will include the following items:

- Application cover letter;
- Pre-Construction Notification Form;
- Copy of the Regional Board application package;
- Project Exhibits (Regional Vicinity Map, Site Vicinity Map, Project Photographs,
   Jurisdictional Map, Project Site Plans);
- Overall project description/detailed project description for work within jurisdictional areas;
- Delineation of Jurisdictional Waters;
- CEQA Documentation;
- Biological Report; and,
- Cultural Resources Assessment for Section 106 Compliance



# REGIONAL WATER QUALITY CONTROL BOARD 401 WATER QUALITY CERTIFICATION APPLICATION

RBF will prepare a submittal package for a Water Quality Certification to satisfy the requirements of Section 401 of the CWA. The Certification is necessary prior to issuance of the Corps permit in order to verify that State water quality standards have been met. The submittal package will include:

- Application cover letter;
- 401 Certification Application Form;
- Copies of the Corps/California Department of Fish and Game application packages;
- Project Exhibits (Regional Vicinity Map, Site Vicinity Map, Project Photographs, Jurisdictional Map, Project Site Plan);
- Biological Report;
- Water Quality BMP Design (if available);
- CEQA Notice of Determination<sup>1</sup>; and
- Certification filing fee (provided by client)<sup>2</sup>

#### NOTES:

- An NOD is not required for the submittal; however, an NOD must be forwarded to the resource agencies prior to permit issuance.
- This task does not include the Certification-filing fee pursuant to the Regional Board's October 2008 Fee Schedule (fees based on total project impacts).

# CALIFORNIA DEPARTMENT OF FISH AND GAME 1602 STREAMBED ALTERATION AGREEMENT NOTIFICATION

RBF will prepare a notification submittal package for a CDFG Section 1602 Streambed Alteration Agreement (SAA) pursuant to the California Fish and Game Code. The submittal package will include:

- Notification cover letter;
- CDFG Notification Form FG2023;
- Copy of the Regional Board application package;
- Project Exhibits (Regional Vicinity Map, Site Vicinity Map (USGS Topographic Map, Project Photographs, Jurisdictional Map, Project Site Plan);



- Overall project description/detailed project description for work within jurisdictional areas;
- Biological Report;
- CEQA Notice of Determination<sup>3</sup>;
- CEQA CDFG filing fee (provided by client);
- CD of electronic notification files; and,
- Notification filing fee (provided by client)<sup>4</sup>

#### NOTES:

- The NOD and the CEQA filing fees are proof that the CEQA document has been finalized and approved. These items are not mandatory for the notification submittal, but must be forwarded to the CDFG prior to the issuance of an Agreement.
- This task does not include the notification-filing fee (based on project costs).

### COASTAL DEVELOPMENT PERMIT APPLICATION

RBF will prepare a submittal package for a CDP from the CCC. The submittal package is anticipated to include:

- Standard permit application
- Appendix A: Declaration of Campaign Contributions
- Appendix B: Local Agency Review Form
- Appendix C: Mailing Labels (properties within 100' of project boundaries)
- Appendix D: Declaration of Posting
- Project Site Maps
- CEQA Documentation/Technical Studies
- Stamped/addressed mailing envelopes\*



<sup>\*</sup> This task includes the preparation of mailing labels and envelopes for the CCC's mandatory public review/hearing mailing. This task assumes up to twenty (20) mailing addresses. Additional envelopes can be prepared under a contract augmentation and client approval.

#### OPTIONAL TASKS - PARTIAL COSTS INCLUDED IN FEE SCHEDULE

## ENVIRONMENTAL PERMITTING – COORDINATION WITH PROJECT TEAM

This task includes revisions to the Draft applications pursuant to comments made by the Client/Project Team above and beyond that included in Task 3 and will be billed on a time and materials basis per request of the Client. RBF will send the Final agency applications to the Client for "Applicant" signatures. Once signatures and application fees are obtained from the Client, RBF will make copies of each Final application and forward the application to each appropriate agency. Two (2) copies of each final application shall be forwarded to the Client for file.

#### **ADDITIONAL SERVICES**

#### STRUCTURAL ASSESSMENT

TY Lin International's (TYLI) involvement is limited preparation of bridge planning studies, design support of project alternatives, consultation on bridge design and construction impacts, and recommendations for cost-savings measures.

Structure issues that may affect this effort include:

- Providing input on project impacts from bridge construction
- Evaluating existing structures and maintenance reports
- Recommending suitable widening/replacement alternatives
- Establishing horizontal and vertical clearance constraints
- Identifying seismic retrofitting needs
- Providing input on structure cost

Wherever possible, recommendations will be made for geometric adjustments that would reduce or simplify structures work.

### ENVIRONMENTAL DOCUMENT: DRAFT QA/QC REVIEW (TIME & MATERIALS)

Subsequent to Project Team, Caltrans and FHWA approval of all technical reports, the results of the technical studies will be presented in an Administrative Draft EA. The RBF team will prepare an Administrative Draft EA, incorporating the findings of the technical



studies for submittal to FHWA and Caltrans for review. The EA will be prepared in accordance with the requirements of NEPA, as well as the most current document formats and guidance posted on Caltrans SER (May 2010) web site. The Executive Summary will not be included in the Administrative Draft EA to reduce duplicative revisions in the document.

Short-term construction and long-term operational effects of each alternative will be considered. Appropriate avoidance, minimization and recommended mitigation measures will be described. Potential environmental effects, if any, of the proposed avoidance, minimization, and/or recommended mitigation measures will be identified. The Administrative Draft EA will address the potential indirect and cumulative effects of each alternative.

The EA will be organized in a manner intended to reduce the presentation of duplicative information to the extent feasible for areas where the alternatives overlap.

The Administrative Draft EA will be provided to the Project Team for their review and comment prior to submittal to Caltrans. The RBF team will revise the Administrative Draft EA pursuant to their comments and submit the document to the Project Team, and Caltrans for their concurrent review. The submittal to Caltrans will include a draft FHWA Checklist.

As part of the preparation of the Draft EA the RBF team will prepare a draft Environmental Commitment Record (ECR) in accordance with NEPA for use in ensuring implementation of the recommended mitigation measures for the project. The ECR and the Caltrans SER will be used in the design and construction of improvements for the selected project alternative. For each environmental commitment, the ECR will include the following: description of the mitigation measure if applicable, the timing of implementation, the performance objectives, the requirements for verification of compliance, the party responsible for verifying compliance, and a summary of the potential impacts of the recommended mitigation measures.

RBF team will review all technical studies to be incorporated into the EA for the following:

- Correct list and descriptions of the Build and No Build alternatives
- Adequate description of the existing setting for the environmental parameter
- Description of the regulatory setting and requirements for the environmental parameter, including the current Caltrans SER Guidance
- Identification of appropriate context when considering the severity of impacts under NEPA
- Identification of the potential short- and long-term adverse impacts and beneficial effects of the Build and No Build alternatives on the environmental parameter



- Description of avoidance, minimization and recommended mitigation measures for each identified adverse impacts; including a clear description of project design features that serve to avoid, minimize, or mitigate adverse project impacts
- List of preparers
- List of references and contacts
- Appendices/attachments as appropriate

The Consultant will not prepare the applicable EA sections until complete, final and approved technical reports for each of these parameters (described above) are provided.

The RBF team has well established and implemented quality assurance and quality control (QA/QC) procedures for reviewing our environmental documents. All technical reports and the environmental document submitted for internal review and for submittal to all Project Team members will undergo the QA/QC review process to ensure our highest professional quality products. The Consultant's QA/QC procedures (e.g., redlined documents and comments and revisions) ensure records of QA/QC review for file and auditing purposes. The Consultant will prepare, track, and maintain response to comment matrices to accurately document Project Team, and agency comments and how they have been responded to in the revised documents including the technical reports, administrative draft EA and related forms and reports in preparation for public review.

#### DELIVERABLES:

 Comment matrices documenting Project Team, Caltrans and FHWA comments, and changes to the documents

# ENVIRONMENTAL DOCUMENT: FINAL QA/QC REVIEW (TIME & MATERIALS)

RBF will utilize the same QA/QC process implemented for the Draft EA for the preparation of the Final EA. As stated above, RBF's QA/QC program is a well established and implemented quality assurance and quality control (QA/QC) procedure for reviewing environmental documents. All technical reports and the environmental document submitted for internal review and for submittal to all Project Team members will undergo the QA/QC review process to ensure our highest professional quality products. The Consultant's QA/QC procedures (e.g., redlined documents and comments and revisions) ensure records of QA/QC review for file and auditing purposes. The Consultant will prepare, track, and maintain response to comment matrices to accurately document Project Team, and agency comments and how they have been responded to in the revised documents including the technical reports, Final EA and related.



### COMPENSATION AND FEE SCHEDULE



#### City of San Diego - El Camino Real Bridge Improvements PROJECT BUDGET

		Project Director	Project Manager	Sr. Engineer / Sr. Planner	Project Eng. / Project Planner	Technical Specialist	Graphic Artist	Envir. Analyst / Staff Planner	Clerical / Word Processing	Tech Study Lump Sum	TOTAL	то
-	Billing Rate	\$200	\$176	\$180	\$129	\$128	\$89	\$89	\$\$8		HOURS	C
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1	Project Management	10	54	64		40	1	64	36		268	
2	Environmental Approval			T						·,····	······································	,
	2.1 Project Kick-Off and Project Description		4	8			5	7	6		30	
	2.2 Research and Investigation		4	11				16		<u> </u>	31	<u> </u>
	2.4 Technical Studies											
	Biological Resource Services Natural Environment Study							,,				·····
			2							\$5,042	2	
	Biological Assessment		10							\$20,055	10	
	CWA Section 404(b)(l) Alternatives Analysis Cultural Resource Services		12			30	10	20	6		78	
	Catrans APE Map							, , ,		·		
	Consultation with Native American Heritage Commission		5	4	13		6				28	
	(NAHC)		2							\$3,361	2	
	Archaeological Survey Report/Historical Properties Survey Report/Paleontological Identfication Report		2							\$3,471	2	į
	Historical Resources Evaluation Report		2							\$3,468	2	
	Air Quality Assessment			······································								
	Air Quality Management Plan Consistency		10			50	6		12		78	
	Construction Emissions		4			25	6		8		43	
	Structural Asbestos		12			25	4		6		47	i .
	FHWA Conformity		4			12	4		10		30	i
	Noise Impact Analysis											
	Noise Abatement Evaluation		10							\$5,670	10	
	Noise Study Report		6							\$9,527	6	
	Noise Abatement Decision Report		10	15						\$16,226	25	
	Community Impact Assessment		12	15			15	45	30		117	
	Storm Water Data Report		10	10						\$16,968	20	
	Visual Impact Assessment		15								15	
	Traffic Impact Analysis		25								25	
	Location Hydraulic Study		6	8						\$4,081	14	
	2.5 Environmental Document											
	Draft Preliminary Environmental Evaluation		5	5			3	3	5		21	l
	Final Preliminary Environmental Evaluation		3	4			3	3	3		16	
	Screencheck Draft Environmental Document (EA)	10	35	120		40	35	30	45		315	
	Draft Environmental Document (EA)	8	16	40		25	18	20	20		147	
	Responses to Comments		15	20		10		15	15		75	1
	Mitigation Monitoring Program/Envir. Commitments Record		5	5				15	5		30	
	Final Environmental Document (EA)	2	10	25				20	15		72	
· ·	Prep of Finding of No Significant Impact (NEPA)	2	8	8				8	10		36	 
3	Environmental Permitting		NAMES OF THE OWNER					en andre de la companya de la compa			······································	XXXXIIIYAAAAAAAA
	Coordination with Project Team		15			18	2	12	8	T	55	i
	Coordination with Regulatory Agencies		18			40	4	30	15		107	
	Regulatory Permit Processing									***************************************		***************************************
	ACOE Section 404 Permit Application		5		-	13	T	18	5	1	41	i
	RWQCB 401 Water Certification Application		4			13		18	4		39	
	CDFG 1602 Streambed Alteration Agreement		5			10		16	5		36	i
	Coastal Development Permit		5			18		15	8		46	·
- Very line	Subtotal - Labor Hours	32	370	362	13	369	121	360	269	\$87,869	390	\$



### City of San Diego - El Camino Real Bridge Improvements PROJECT BUDGET

	Project Director	Project Manager	Sr. Engineer / Sr. Planner	Project Eng. / Project Planner	Technical Specialist	Graphic Artist	Envir. Analyst / Staff Planner	Clerical / Word Processing	Tech Study Lump Sum	TOTAL	TOTAL
Silling Ra	ie \$200	5176	\$180	\$129	\$328	\$89	569	\$\$8		HOURS	COST
TASKS											
tional Tasks											
Structural Assessment							<b>†</b>				\$5,00
Environmental Document: Draft QA/QC Review (T&M)		12	15			<b>†</b>	12	12		51	\$6,12
Environmental Document: Final QA/QC Review (T&M)		12	15				12	12		51	\$6,12
Regulatory Permit Processing (T&M)						T				***************************************	\$16,80
Subtotal - Optional Tasks											\$34,0!
imbursable Expenses											1
Reproduction /Delivery Expenses (Allowance)											\$10,00
Communications (Shipping and Courier)						<del></del>					\$1,50
Additional Services (T&M)											\$25,00
Subtotal - Direct Costs											\$36,50



#### City of San Diego - El Camino Real Bridge Improvements PROJECT BUDGET Biological Resource Services

		Project Director	Project Manager	Sr. Engineer / Sr. Planner	Project Eng. / Project Planner	Technical Specialist	Graphic Artist	Envir. Analyst / Staff Planner	Clerical / Word Processing	Tech Study Lump Sum	TOTAL	TOTAL
	Silling Rate	\$200	\$176	\$150	\$129	\$125	583	\$89	\$58		HOURS	COST
TASKS Biological Resource Services												
TASKS Biological Resource Services				25					10			
TASKS				25 50		75	8 24		10		43 167	\$5, \$20,



# City of San Diego - El Camino Real Bridge Improvements PROJECT BUDGET Cultural Resource Services

	Project Director	Project Manager	Sr. Engineer / Sr. Planner	Project Eng. / Project Planner	Technical Specialist	Graphic Artist	Envir. Analyst / Staff Planner	Clerical / Word Processing	Tech Study Lump Sum	TOTAL	TOTAL
Stilling Rate	\$200	\$176	\$160	\$129	\$125	569	\$89	888		HOURS	cos
TASKS  Cultural Resource Services						77777		***************************************			
	7					· · · · · · · · · · · · · · · · · · ·			·		
Consultation with Native American Heritage Commission (NAHC)			6	12			7	5		30	s:
			6 7	12 8		7	7 6	5		30	
(NAHC) Archaeological Survey Report/Historical Properties			6 7 6	12 8 8		7 6	7 6 8	5 4 5			\$: \$: \$:



# City of San Diego - El Camino Real Bridge Improvements PROJECT BUDGET Noise Impact Analysis

	Project Director	Project Manager	Sr. Engineer / Sr. Planner	Project Eng. / Project Planner	Technical Specialist	Graphic Artist	Envir. Analyst / Staff Planner	Clerical / Word Processing	Tech Study Lump Sum	TOTAL	TOTAL
Billing (	Rate \$200	3176	\$150	\$129	\$128	\$89	\$89	\$58		HOURS	COST
				***************************************							
TASKS											
TASKS Noise Impact Analysis											
TASKS			20	15		. 5		5		45	\$5.6
TASKS Noise Impact Analysis			20	15 22		5 12	25	5 12		45 89	\$5,6° \$9.52
TASKS  Noise Impact Analysis  Noise Abatement Evaluation			20 18 30	+ + + + + + + + + + + + + + + + + + + +		5 12 18	25 46	5 12 15			\$5,6° \$9,5° \$16,2°

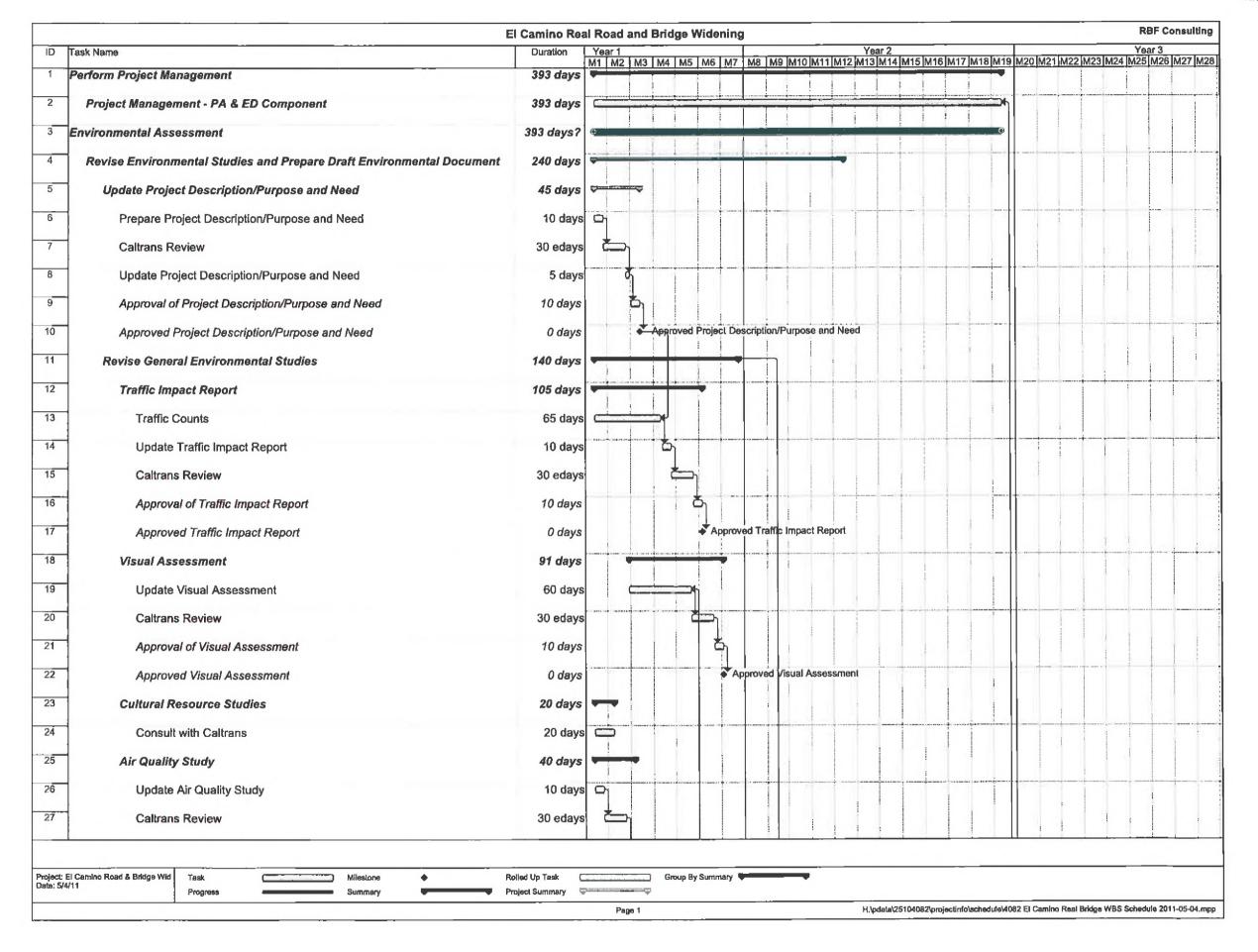


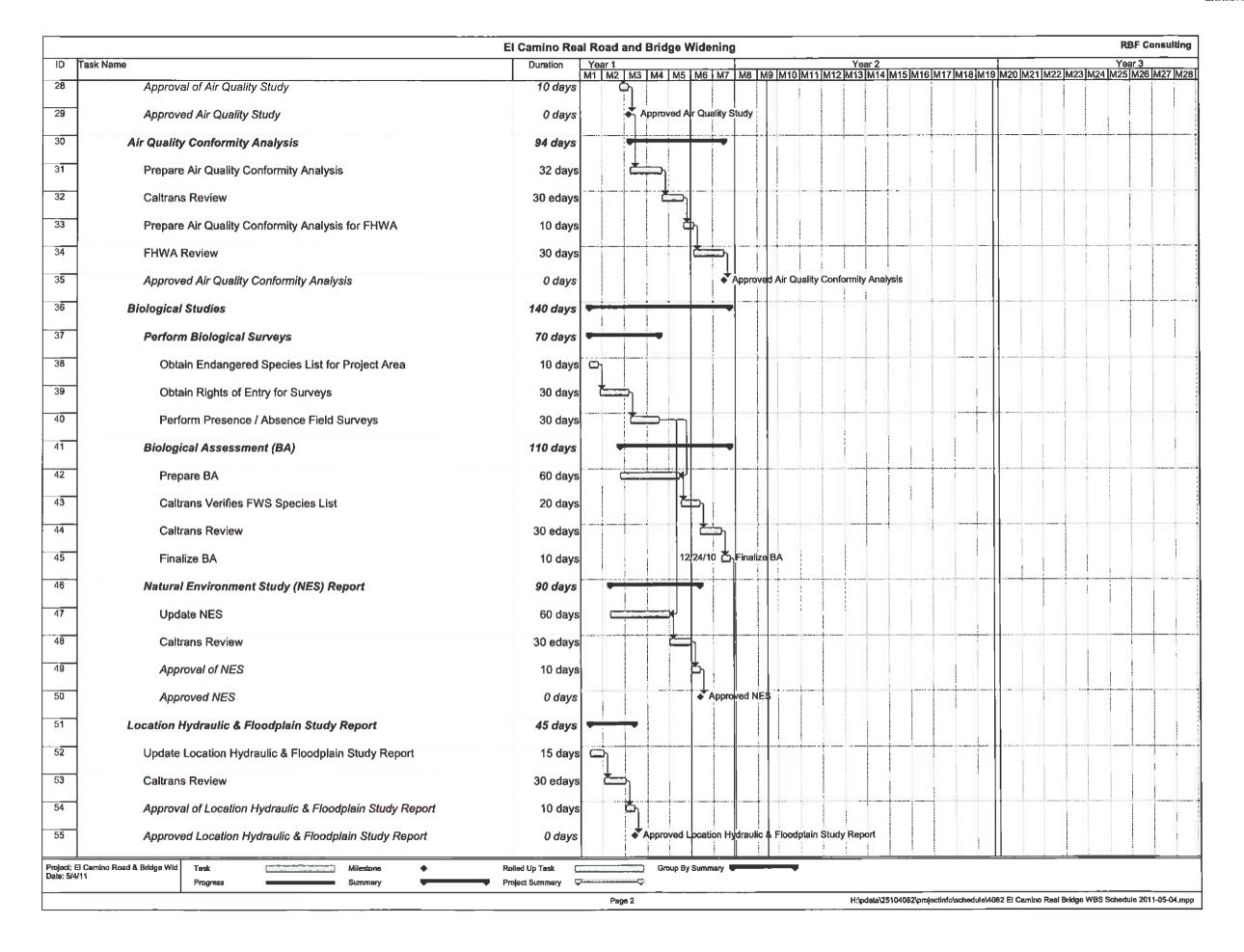
#### City of San Diego - El Camino Real Bridge Improvements PROJECT BUDGET

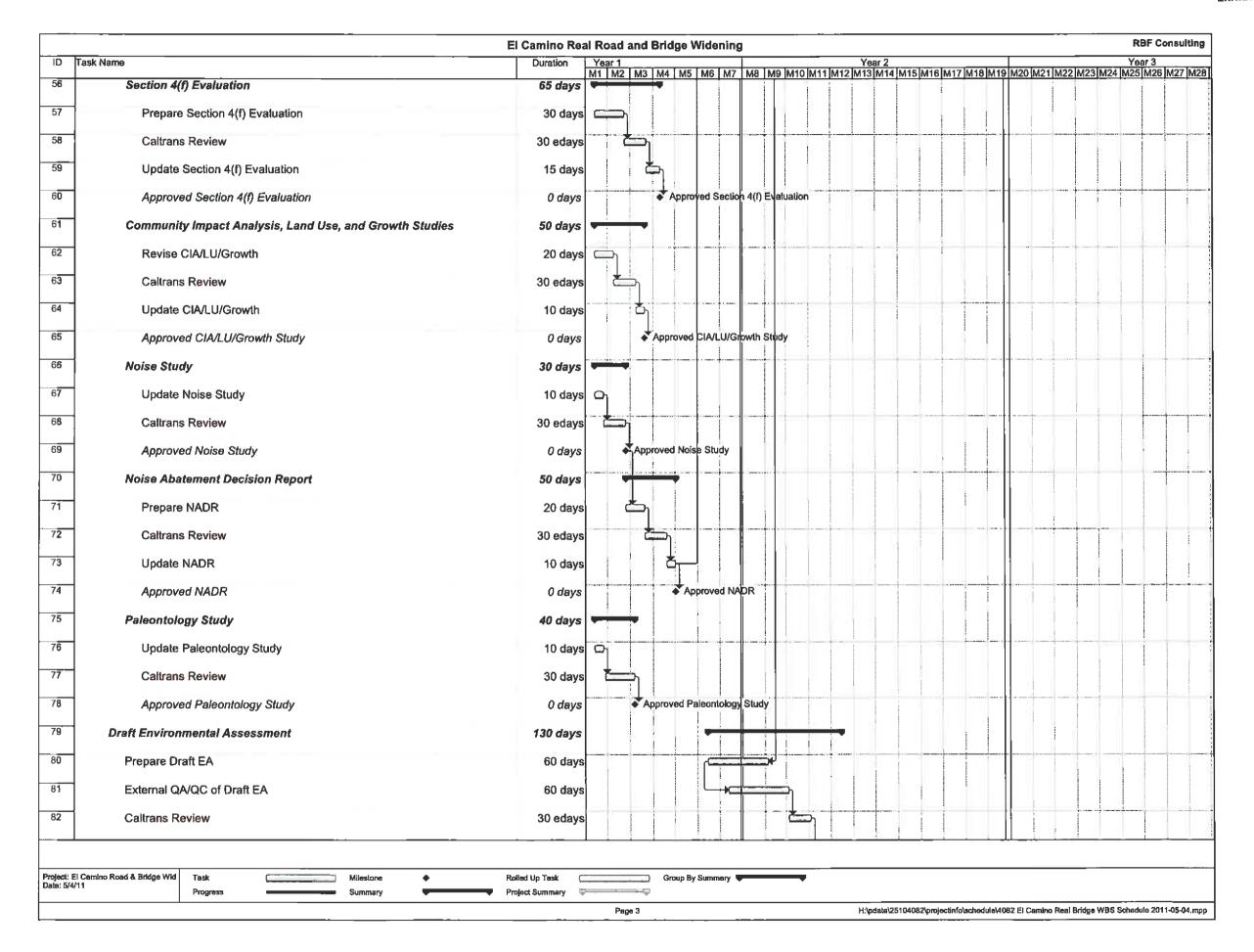
#### Storm Water Data Report, Location Hydraulic Study

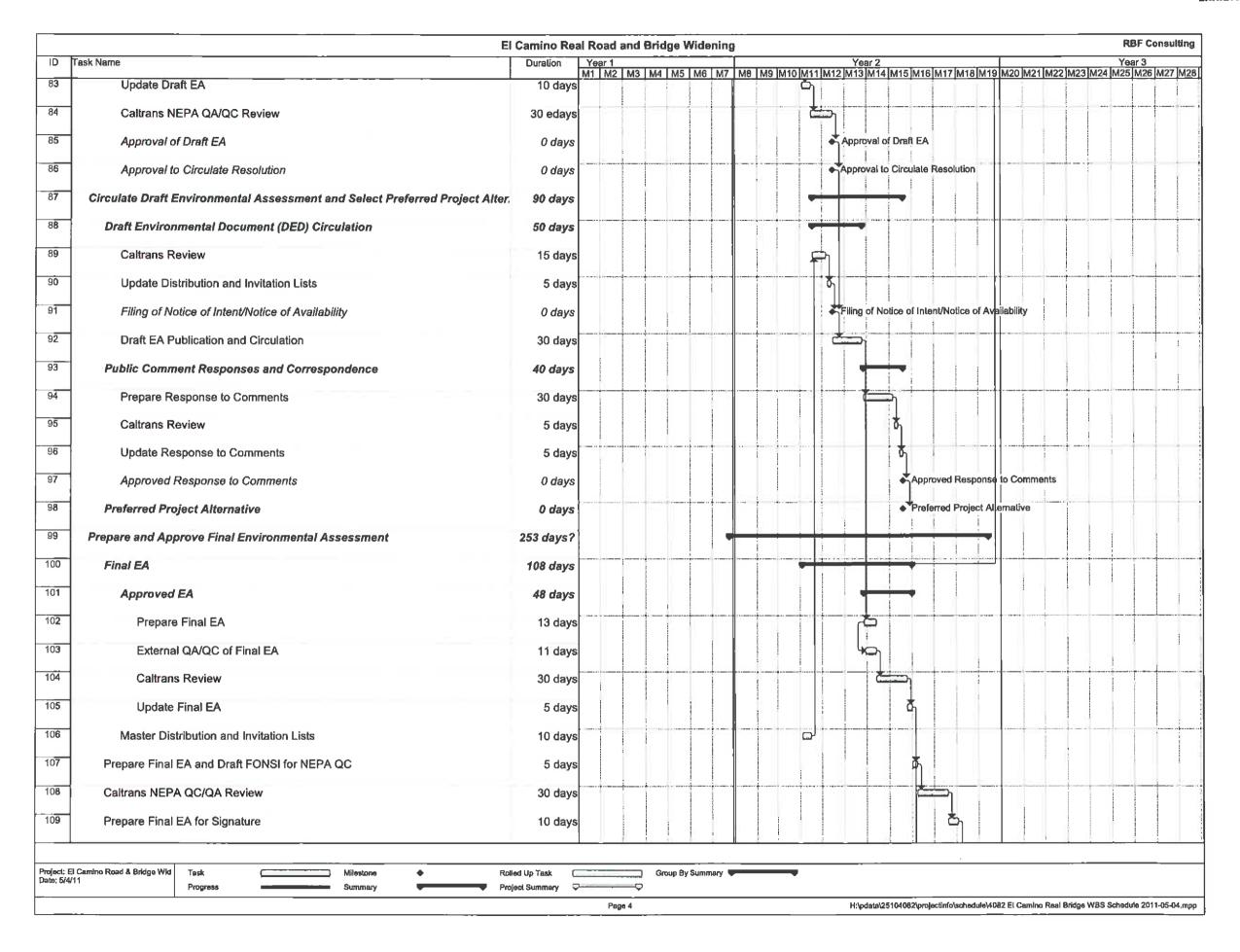
			Project Director	Project Manager	Sr. Engineer / Sr. Planner	Project Eng. / Project Planner	Technical Specialist	Graphic Artist	Envir. Analyst / Staff Planner	Clerical / Word Processing	Tech Study Lump Sum	TOTAL	TOTAL
		Billing Rate	\$200	\$176	\$150	\$129	\$128	\$89	\$89	558		HOURS	COST
TASKS			<u> </u>		Committee Com			***************************************	NAME OF THE OWNER OF THE OWNER.	A CONTRACTOR OF THE PARTY OF TH	TO DESCRIPTION OF THE PARTY OF		
L					50	1 62 1		10	T	10	T	420	A40.00
	Storm Water Data Report Location Hydraulic Study				50 10	62 15		10		10 5		132 34	\$16,968 \$4.08
and profit in the	Storm Water Data Report		0	0		62 15 77	0	10 4 14	0	10 5 15	\$0		\$16,96 \$4,08 \$21,04

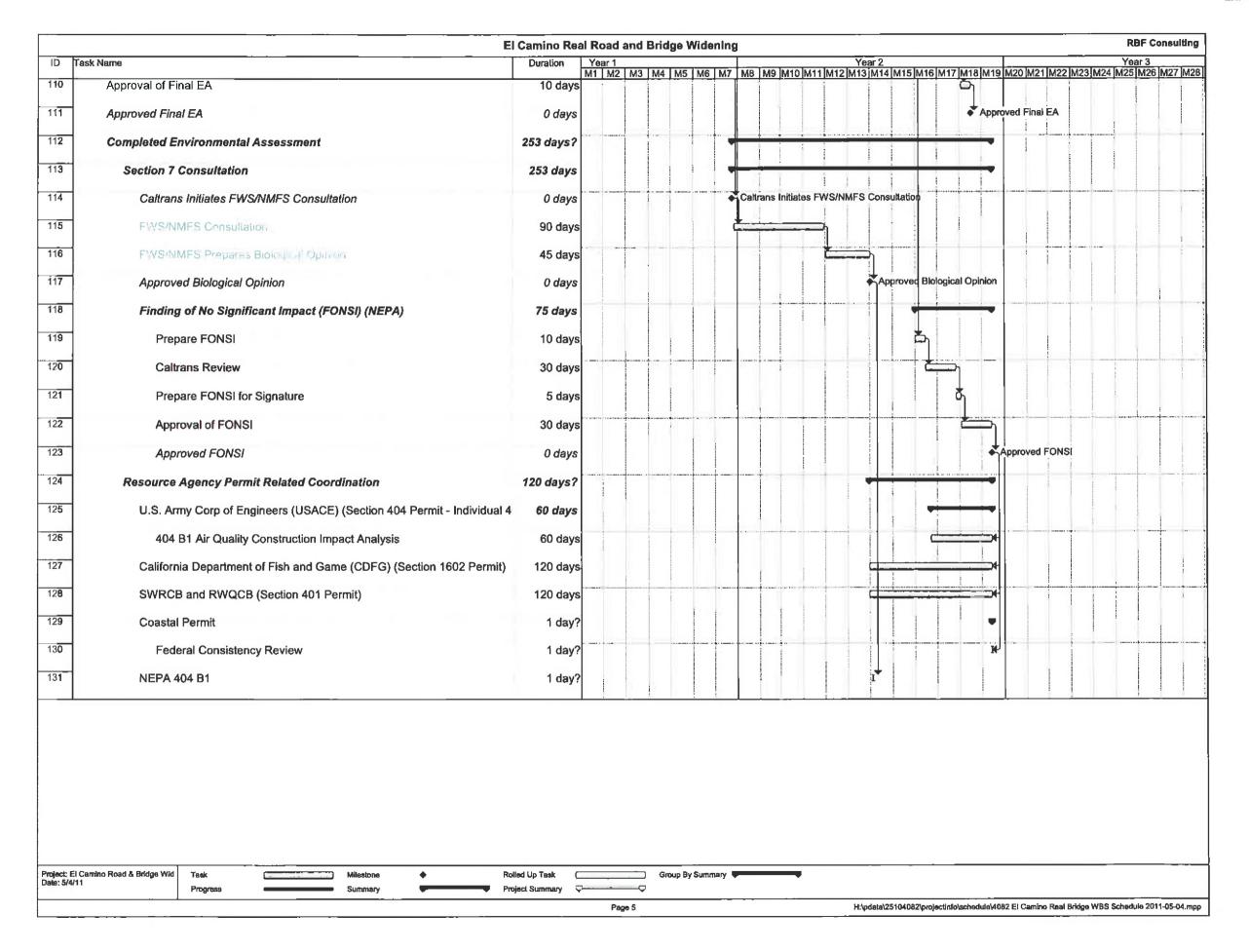
### TIME SCHEDULE











# EQUAL OPPORTUNITY CONTRACTING PROGRAM (EOCP) CONTRACTOR AND CONSULTANT REQUIREMENTS FOR FHWA/CALTRANS FUNDED PROJECTS

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### I. FEDERAL EQUAL OPPORTUNITY REQUIREMENTS FOR CALTRANS-FUNDED PROJECTS.

The following equal opportunity requirements apply to projects funded by Caltrans, including Federal Highway Administration (FHWA) funded projects. These requirements shall apply to construction contracts and agreements with professional service providers and consultants.

For the purpose of these requirements terms "Bid" and "Proposal," "Bidder" and "Proposer," "Subcontractor" and "Subconsultant," "Contractor" and "Consultant," "Contractor" and "Prime Contractor," "Consultant" and "Professional Service Provider," "Suppliers" and "Vendors," "Suppliers" and Dealers," and "Suppliers" and "Manufacturers" may have been used interchangeably.

NOTICE OF REQUIREMENT FOR UTILIZATION II. DISADVANTAGED AND UNDERUTILIZED BUSINESS ENTERPRISES DISADVANTAGED BUSINESS ENTERPRISES TO ENSURE **EQUAL** EMPLOYMENT OPPORTUNITY (Title 49 CFR 26.13(b)):

\*This project is subject to Title 49 CFR 26.13(b):

The Contractor or Subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The Contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT-assisted contracts. Failure by the Contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the recipient deems appropriate.

Take necessary and reasonable steps to ensure that Disadvantaged Business Enterprises (DBEs) have opportunity to participate in the contract (49 CFR 26).

To ensure there is equal participation of the DBE groups specified in 49 CFR 26.5, the City specifies a goal for Underutilized Disadvantaged Business Enterprises (UDBEs). UDBE is a firm that meets the definition of DBE and is a member of one of the following groups:

- 1. Black Americans
- 2. Native Americans
- 3. Asian-Pacific Americans
- 4. Women

References to DBEs include UDBEs, but references to UDBEs do not include all DBEs.

The Bidder shall make Work available to UDBEs and select Work parts consistent with available UDBE Subcontractors and Suppliers.

The Bidder shall meet the UDBE goal shown in the Notice to Bidders or Proposers or demonstrate that it made adequate good faith efforts to meet this goal.

It is the Bidder's responsibility to verify that the UDBE is certified as DBE at date of Bid opening or Proposal due date. For a list of DBEs certified by the California Unified Certification Program, go to: <a href="http://www.dot.ca.gov/hq/bep/find">http://www.dot.ca.gov/hq/bep/find</a> certified.htm

Only UDBE participation will count towards the UDBE goal. DBE participation will count towards the City's Annual Anticipated DBE Participation Level (AADPL) and the California statewide goal.

Credit for materials or supplies Contractor purchases from UDBEs counts towards the goal in the following manner:

1. 100% counts if the materials or supplies are obtained from a UDBE manufacturer.

- 2. 60% counts if the materials or supplies are obtained from a UDBE regular dealer.
- 3. Only fees, commissions, and charges for assistance in the procurement and delivery of materials or supplies count if obtained from a UDBE that is neither a manufacturer nor regular dealer. 49 CFR 26.55 defines "manufacturer" and "regular dealer."

The Contractor or Subcontractor will receive credit towards the goal if the Contractor or Subcontractor employs a UDBE trucking company that performs a commercially useful function as defined in 49 CFR 26.55.

#### III. NONDISCRIMINATION IN CONTRACTING ORDINANCE

The Contractors, Consultants, and professional service providers doing business with the City, and their Subcontractors, shall comply with requirements of the City's *Nondiscrimination in Contracting Ordinance*, San Diego Municipal Code Sections 22.3501 through 22.3517.

- A. <u>Proposal Documents to include Disclosure of Discrimination Complaints</u>. As part of its Bid or Proposal, Proposer shall provide to the City a list of all instances within the past 10 years where a complaint was filed or pending against Proposer in a legal or administrative proceeding alleging that Proposer discriminated against its employees, Subcontractors, or Suppliers, and a description of the status or resolution of that complaint, including any remedial action taken.
- B. <u>Contract Language</u>. The following language shall be included in contracts for City projects between the Consultant or Contractor and any Subcontractors, and Suppliers:

The Consultant or Contractor shall not discriminate on the basis of race, gender, religion, national origin, ethnicity, sexual orientation, age, or disability in the solicitation, selection, hiring, or treatment of Subcontractors, or Suppliers. The Consultant or Contractor shall provide equal opportunity for Subcontractors to participate in subconsulting opportunities. The Consultant or Contractor understands and agrees that violation of this clause shall be considered a material breach of the contract and may result in contract termination, debarment, or other sanctions.

C. <u>Compliance Investigations</u>. Upon the City's request, the Consultant or Contractor agrees to provide to the City, within 60 days, a truthful and complete list of the names of all Subcontractors, and Suppliers that Consultant or Contractor has used in the past 5 years on any of its contracts that were undertaken within San Diego County, including the total dollar amount paid by the Consultant or Contractor for each subcontract or supply contract. The Consultant or Contractor further agrees to fully cooperate in any investigation conducted by the City pursuant to the City's *Nondiscrimination in Contracting Ordinance*, Municipal Code Sections 22.3501 through 22.3517. The Consultant - Contractor understands and agrees that violation of this clause shall be considered a material breach of the contract and may result in remedies being ordered against the Consultant - Contractor up to and including contract termination, debarment

and other sanctions for violation of the provisions of the *Nondiscrimination in Contracting Ordinance*. The Consultant or Contractor further understands and agrees that the procedures, remedies and sanctions provided for in the *Nondiscrimination in Contracting Ordinance* apply only to violations of the *Ordinance*.

#### IV. EQUAL EMPLOYMENT OPPORTUNITY

The Consultant or Contractors shall comply with requirements of San Diego Ordinance No. 18173, Section 22.2701 through 22.2707, Equal Employment Opportunity Outreach Program. Consultant or Contractors shall submit a *Work Force Report (Attachment KK) and/*or an *Equal Employment Opportunity* (EEO) *Plan* to the Program Manager of the City of San Diego Equal Opportunity Contracting Program (EOCP) for approval.

- A. <u>Work Force Report</u>. If a *Work Force Report* (Attachment AA) is submitted, and an EOCP staff Work Force Analysis determines there are under representation when compared to County Labor Force Availability data, Consultant or Contractor shall submit an *Equal Employment Opportunity Plan*.
- B. <u>Equal Employment Opportunity Plan</u>. If an *Equal Employment Opportunity Plan* is submitted, it shall include at least the following assurances that:
- 1. The Consultant or Contractor shall maintain a working environment free of discrimination, harassment, intimidation and coercion at all sites and in all facilities at which the Consultant's or Contractor's employees are assigned to work;
- 2. A responsible official is designated to monitor all employment related activity to ensure the Consultant's or Contractor's EEO Policy is being carried out and to submit reports relating to EEO provisions;
- 3. The Consultant or Contractor disseminates and reviews its EEO Policy with all employees at least once a year, posts the policy statement and EEO posters on all company bulletin boards and job sites, and documents every dissemination review and posting with a written record to identify the time, place, employees present, subject matter, and disposition of meetings;
- 4. The Consultant or Contractor reviews, at least annually, all supervisor's adherence to and performance under the EEO Policy and maintains written documentation of these reviews;
- 5. The Consultant or Contractor discusses its EEO Policy Statement with Subcontractors with whom it anticipates doing business, includes the EEO Policy Statement in its subcontracts, and provides such documentation to the City upon request;
- 6. The Consultant or Contractor documents and maintains a record of all bid solicitations and outreach efforts to and from Subcontractors, consultant associations and other business associations;

- 7. The Consultant or Contractor disseminates its EEO Policy externally through various media, including the media of people of color and women, in advertisements to recruit, maintains files documenting these efforts, and provides copies of these advertisements to the City upon request;
- 8. The Consultant or Contractor disseminates its EEO Policy to union and community organizations;
- 9. The Consultant or Contractor provides immediate written notification to the City when any union referral process has impeded the Consultant's efforts to maintain its EEO Policy;
- 10. The Consultant or Contractor maintains a current list of recruitment sources, including those outreaching to people of color and women, and provides written notification of employment opportunities to these recruitment sources with a record of the organizations' responses;
- 11. The Consultant or Contractor maintains a current file of names, addresses and phone numbers of each walk-in applicant, including people of color and women, and referrals from unions, recruitment sources, or community organizations with a description of the employment action taken;
- 12. The Consultant or Contractor encourages all present employees, including people of color and women employees, to recruit others;
- 13. The Consultant or Contractor maintains all employment selection process information with records of all tests and other selection criteria;
- 14. The Consultant or Contractor develops and maintains documentation for on-the-job training opportunities and/or participates in training programs for all of its employees, including people of color and women, and establishes apprenticeship, trainee, and upgrade programs relevant to the Consultant's employment needs;
- 15. The Consultant or Contractor conducts, at least annually, an inventory and evaluation of all employees for promotional opportunities and encourages all employees to seek and prepare appropriately for such opportunities;
- 16. The Consultant or Contractor ensures the company's working environment and activities are non-segregated except for providing separate or single-user toilets and necessary changing facilities to assure privacy between the sexes;
- 17. The Consultant or Contractor establishes and documents policies and procedures to ensure job classifications, work assignments, promotional tests, recruitment and other personnel practices do not have a discriminatory effect; and
- 18. The Consultant or Contractor is encouraged to participate in voluntary associations, which assist in fulfilling one or more of its non-discrimination obligations. The efforts of a consultant association, consultant/community professional association, foundation or

other similar group of which the Consultant or Contractor is a member will be considered as being part of fulfilling these obligations, provided the Consultant actively participates.

#### V. SUBCONTRACTING GOALS

The Contractors and Consultants are encouraged to take positive steps to diversify and expand their subcontractor solicitation base and to offer contracting opportunities to all eligible DBE certified Subcontractors. To support its Equal Opportunity Contracting commitment, the City has implemented a race-conscious and race neutral project specific goal methodology required for all Caltrans funded projects.

#### A. Subcontractor Participation Level

1. Caltrans has determined statewide DBE goals are as follows:

13.5%	Overall
6.75%	Race Conscious
6.75%	Race Neutral

2. The City has determined its own AADPL of 20%. To work toward achieving this overall goal, the City has determined that the following goals shall apply to this project:

0.6%	Underutilized DBE (UDBE) Race Conscious
2.5%	(DBE) Race Neutral
3.1%	Total DBE Percentage

The Consultant and Contractors are required to meet the Project specific goals for UDBE's as outlined in the Specifications or satisfy good faith documentation requirements.

#### VI. SUBCONTRACTOR AND DBE RECORDS

The Consultant or Contractor shall maintain records showing the name and business address of each first-tier Subcontractor. The records shall also show the name and business address of every DBE Subcontractor as indicated on the Local Agency Proposer DBE Information Form (Attachment AA-Consultants) (Attachment BB-Construction), DBE vendor of materials, and DBE trucking company, regardless of tier. The records shall show the date of payment and the total dollar figure paid to all of these firms. The DBE Contractors shall also show the date of Work performed by their own forces along with the corresponding dollar value of the Work.

Upon completion of the contract, a summary of these records shall be prepared on "Final Report-Utilization of DBE, First Tier Subcontractors" Form CEM-2402(F) (Attachment CC) and certified correct by the Consultant or Contractor or the Contractor's authorized representative, and shall be furnished to the Project Manager and EOC Program Manager. The form shall be furnished to the Project Manager and EOC Program Manager within 90 days from the date of contract acceptance. The amount of \$10,000 will be withheld from payment until a satisfactory form is submitted.

Prior to the fifteenth day of each month, the Contractor shall submit documentation to the Project Manager and EOC Program Manager showing the amount paid to DBE trucking companies. The Consultant or Contractor shall also obtain and submit documentation to the Project Manager and EOC Program Manager showing the amount paid by DBE trucking companies to all firms, including owner-operators, for the leasing of trucks. If the DBE leases trucks from a non-DBE, the Consultant or Contactor may count only the fee or commission the DBE receives as a result of the lease arrangement.

The Consultant or Contractor shall also obtain and submit documentation to the Project Manager and EOC Program Manager showing the truck number, owner's name, California Highway Patrol CA number, and if applicable, the DBE certification number of the owner of the truck for all trucks used during that month. This documentation shall be submitted on "Monthly DBE Trucking Verification" Form CEM-2404(F) (Attachment DD).

#### VII. PERFORMANCE OF SUBCONTRACTORS1

The Bidder shall list in the Bid the name and address of each Subcontractor to whom the Bidder proposes to subcontract portions of the Work in an amount in excess of one-half of one percent of the total Bid or \$10,000, whichever is greater, in accordance with the Subletting and Subcontracting Fair Practices Act, commencing with Section 4100 of the Public Contract Code. The Bidder's attention is invited to other provisions of the Act related to the imposition of penalties for a failure to observe its provisions by using unauthorized Subcontractors or by making unauthorized substitutions.

UDBEs shall perform Work or supply materials as listed in the "Local Agency Bidder or Proposal - UDBE Commitment" (Attachment EE-Consultant) (Attachment FF-Construction) form specified under Section 2, "Bidding," of these special provisions. Consultant or Contractor shall not terminate a UDBE listed Subcontractor for convenience and perform the Work with r its own forces or obtain materials from other sources without prior written authorization from the City.

The City grants authorization to use other forces or sources of materials for requests that show any of the following justifications:

- 1. Listed UDBE fails or refuses to execute a written contract based on Plans and Specifications for the Project.
- 2. Consultant or Contractor stipulates a bond is a condition of executing the subcontract and the listed UDBE fails to meet its bond requirements.
- 3. Work requires a Contractor's license and listed UDBE does not have a valid license under Contractors License Law.
- 4. Listed UDBE fails or refuses to perform the Work or furnish the listed materials.
- 5. Listed UDBE's Work is unsatisfactory and not in compliance with the contract.
- 6. Listed UDBE delays or disrupts the progress of the Work.
- 7. Listed UDBE becomes bankrupt or insolvent.

<sup>1</sup> The requirements of Section IV.C, with respect to the Public Contract Code are mandated by Caltrans for all construction contracts. For consultant contracts, equivalent requirements may apply.

If a listed UDBE Subcontractor is terminated, the Consultant or Contractor shall make good faith efforts to find another UDBE Subcontractor to substitute for the original UDBE. The substitute UDBE shall perform at least the same amount of Work as the original UDBE under the contract to the extent needed to meet the UDBE goal.

The substitute UDBE shall be certified as a DBE at the time of request for substitution.

The City will not pay for Work unless it is performed or supplied by the listed UDBE, unless the UDBE is terminated in accordance with this section.

#### VIII. SUBCONTRACTING2

No subcontract releases the Contractor from the contract or relieves the Contractor of their responsibility for a Subcontractor's work.

If the Contractor violates Public Contract Code § 4100 et seq., the City may exercise the remedies provided under Public Contract Code § 4110. The City may refer the violation to the Contractors State License Board as provided under Pub Cont Code § 4111.

The Contractor shall perform Work equaling at least 30% of the value of the original total Bid with the Contractor's own employees and equipment, owned or rented, with or without operators. \* See note below.

Each Subcontractor shall comply with the Contract.

Each Subcontractor shall have an active and valid state contractor's license with a classification appropriate for the Work to be performed (Bus & Prof Code, § 7000 et seq.).

Submit copies of subcontracts upon request by the Project Manager and EOC Program Manager.

Before subcontracted Work starts, submit a Subcontracting Request form (Attachment GG).

The Contractor shall not use a debarred contractor. A current list of debarred contractors is available at the Department of Industrial Relations' Web site.

Upon request by the City, immediately remove and not again use a subcontractor who fails to prosecute the Work satisfactorily.

Each Subcontractor and any lower tier Subcontractor that may in turn be made shall include the "Required Contract Provisions Federal-Aid Construction Contracts" in Section 14 of these special provisions. Noncompliance shall be corrected. Payment for subcontracted Work involved will be withheld from progress payments due, or to become due, until correction is made. Failure to comply may result in termination of the Contract.

<sup>2 2</sup>The requirements of Section IV.D, with respect to the Public Contract Code are mandated by Caltrans for all construction contracts. For consultant contracts, equivalent requirements may apply.

\*Note: Caltrans requires that at least 30% of this contract be self-performed. The City may impose a higher self-performance requirement. The Contractor shall refer to the Contract Documents for applicable language in for the self-performance requirement.

#### IX. DEMONSTRATED COMMITMENT TO EQUAL OPPORTUNITY

The City seeks to foster a business climate of inclusion and to eliminate barriers to inclusion as defined in the implementation of DBE Program requirements applicable to Caltrans funded projects.

The Bidders are required to submit the following information with their Bids:

1. Good Faith Efforts Submittal. If the Consultant or Contractor has not met the UDBE goal, the Consultant or Contractor shall complete and submit the "UDBE Information - Good Faith Efforts," Exhibit 15-H, (Attachment HH) form with the Bid Proposal showing that the Consultant or Contractor made adequate good faith efforts to meet the goal. Only good faith efforts directed towards obtaining participation by UDBEs will be considered. If good faith efforts documentation is not submitted with the Proposal, it shall be received by the City no later than 4:00 p.m. on the 4th Working Day after Bid opening (or the Proposal due date).

If the Consultant's or Contractor's UDBE Commitment form shows that the Consultant or Contractor has met the UDBE goal or if the Consultant or Contractor is required to submit the UDBE Commitment form, the Consultant or Contractor shall also submit good faith efforts documentation within the specified time to protect the Consultant's or Contractor's eligibility for award of the Contract in the event the City finds that the UDBE goal has not been met.

Good faith efforts documentation shall include the following information and supporting documents, as necessary:

- a. Items of Work the Consultant or Contractor has made available to UDBE firms. Identify those items of Work the Consultant or Contractor might otherwise perform with its own forces and those items that have been broken down into economically feasible units to facilitate UDBE participation. For each item listed, show the dollar value and percentage of the total contract. It is the Consultant's or Contractor's responsibility to demonstrate that sufficient Work to meet the goal was made available to UDBE firms.
- b. Names of certified UDBEs and dates on which they were solicited to participate on the Project. Include the items of Work offered. Describe the methods used for following up initial solicitations to determine with certainty if the UDBEs were interested, and the dates of the follow-up. Attach supporting documents such as copies of letters, memos, facsimiles sent, telephone logs, telephone billing statements, and other evidence of solicitation. The Consultant or Contractor is reminded to solicit certified UDBEs through all reasonable and available means and provide sufficient time to allow UDBEs to respond.

- c. Name of selected firm and its status as a UDBE for each item of Work made available. Include name, address, and telephone number of each UDBE that provided a quote and their price quote, (or in the case of consultant contracts, include the UDBE's letter of commitment) and copy of certification documentation. If the firm selected for the item is not a UDBE, provide the reasons for the selection.
- d. Name and date of each publication in which the Consultant or Contractor requested UDBE participation for the Project. Attach copies of the published advertisements.
- e. Names of agencies and dates on which they were contacted to provide assistance in contacting, recruiting, and using UDBE firms. If the agencies were contacted in writing, provide copies of supporting documents.
- f. List of efforts made to provide interested UDBEs with adequate information about the plans, specifications, and requirements of the contract to assist them in responding to a solicitation. If the Consultant or Contractor has provided information, identify the name of the UDBE assisted, the nature of the information provided, and date of contact. Provide copies of supporting documents, as appropriate.
- g. List of efforts made to assist interested UDBEs in obtaining bonding, lines of credit, insurance, necessary equipment, supplies, and materials, excluding supplies and equipment that the UDBE Subcontractor purchases or leases from the Contractor or its affiliate. If such assistance is provided by the Consultant or Contractor, identify the name of the UDBE assisted, nature of the assistance offered, and date. Provide copies of supporting documents, as appropriate.
- h. Any additional data to support demonstration of good faith efforts.
- 2. UDBE Commitment Submittal. Submit UDBE information on the "Local Agency Proposer-UDBE Commitment (Attachment EE-Consultant) (Attachment FF-Construction), form included in the Bid (or Proposal). If the form is not submitted with the Bid (or Proposal), remove the form from the Bid (or Proposal) before submitting the Consultant's or Contractor's Bid (or Proposal).

If the UDBE Commitment form is not submitted with the Bid (or Proposal), the Apparent Low Bidder, the 2nd low Bidder, and the 3rd low Bidder (or, in the case of consultant agreements, the three highest ranking Proposers) shall complete and submit the UDBE Commitment form to the City. UDBE Commitment form shall be received by the City no later than 4:00 p.m. on the 4th Working Day after Bid opening (or the deadline for submitting Proposals).

Other Bidders (or proposers) do not need to submit the UDBE Commitment form unless the City requests it. If the City requests the Consultant or Contractor to submit a UDBE Commitment form, submit the completed form within 4 Working Days of the request.

Submit written confirmation from each UDBE stating that it is participating in the contract. Include confirmation with the UDBE Commitment form. A copy of a UDBE's

quote (or, in the case of consultant contracts, a letter of commitment) will serve as written confirmation that the UDBE is participating in the contract.

If the Consultant or Contractor does not submit the UDBE Commitment form within the specified time, the City will find Consultant's or Contractor's Bid (or Proposal) nonresponsive.

3. List of Subcontractors. The Consultants are required to submit a Subcontractor List with their Proposals.

The Subcontractors List (Attachment II) shall indicate the Name and Address, Scope of Work, Percent of Total Proposed Contract Amount, Dollar Amount of Proposed Subcontract, Certification Status and Where Certified for each proposed Subcontractor. Subcontractors shall be named on the Subcontractors List if they receive more than 0.5% of the Prime Consultant's fee.

- **4. Commitment Letters.** Proposer shall also submit Subcontractor *Commitment Letters* on Subcontractor's letterhead, no more than one page each, from <u>all proposed</u> Subcontractors to acknowledge their commitment to the team, scope of Work, and percent of participation in the Project.
- 5. Past Participation Levels. Listing of Proposer's Subcontractor participation levels achieved on all private and public projects within the past 3 years. Include name of project, type of project, value of project, Subcontractor firm's name, percentage of Subcontractor's participation, and identification of Subcontractor's ownership as a certified Disadvantaged Business Enterprise.
- **6. Equal Opportunity Employment.** Listing of Proposer's strategies to recruit, hire, train and promote a diverse workforce. These efforts will be considered in conjunction with Proposer's *Workforce Report* as compared to the County's Labor Force Availability.
- 7. Community Activities. Listing of Proposer's current community activities such as membership and participation in local organizations, associations, scholarship programs, mentoring, apprenticeships, internships, community projects, charitable contributions and similar endeavors.

#### **DEFINITIONS**

Certified "Disadvantaged Business Enterprise" (DBE) means a business which is at least 51% owned and operated by one or more socially and economically disadvantaged individuals and whose management and daily operation is controlled by the qualifying party(ies). In the case of a publicly-owned business, at least 51% of the stock shall be owned by, and the business operated by, socially and economically disadvantaged individuals.

UDBE is a firm meeting the definition of a DBE as specified in 49 CFR and is one of the following groups:

- 1. Black American
- 2. Asian-Pacific American
- 3. Native American
- 4. Women

#### XI. PROMPT PROGRESS PAYMENT TO SUBCONTRACTORS

A Prime Contractor or Subcontractor shall pay any Subcontractor not later than 10 days of receipt of each progress payment in accordance with the provision in Section 7108.5 of the California Business and Professions Code concerning prompt payment to Subcontractors. The 10 days is applicable unless a longer period is agreed to in writing. Any delay or postponement of payment over 30 days may take place only for good cause and with the City's prior written approval. Any violation of Section 7108.5 shall subject the violating Contractor or Subcontractor to the penalties, sanction and other remedies of that section. This requirement shall not be construed to limit or impair any contractual, administrative, or judicial remedies otherwise available to the Contractor or Subcontractor in the event of a dispute involving late payment or nonpayment by the Prime Contractor, deficient subcontract performance, or noncompliance by a subcontractor.

#### XII. PROMPT PAYMENT OF FUNDS WITHHELD TO SUBCONTRACTORS

The City shall hold retention from the Prime Contractor and shall make prompt and regular incremental acceptances of portions, as determined by the City, of the Work, and pay retention to the Prime Contractor based on these acceptances. The Prime Contractor, or Subcontractor, shall return all monies withheld in retention from a subcontractor within 30 days after receiving payment for Work satisfactorily completed and accepted including incremental acceptances of portions of the Work by the City. Federal law (49CFR26.29) requires that any delay or postponement of payment over 30 days may take place only for good cause and with the City's prior written approval. Any violation of this provision shall subject the violating Prime Contractor or Subcontractor to the penalties, sanctions and other remedies specified in Section 7108.5 of the Business and Professions Code. These requirements shall not be construed to limit or impair any contractual, administrative, or judicial remedies otherwise available to the Prime Contractor or Subcontractor in the event of a dispute involving late payment or nonpayment by the Prime Contractor, deficient subcontract performance, or noncompliance by a subcontractor.

#### XIII. CERTIFICATION

- A. The City accepts certifications of DBE by any of the following methods:
  - 1. Current certification by the State of California Department of Transportation (CALTRANS) as DBE;
  - Current DBE certification from any participating agency in the statewide certified pool of firms known as CALCERT.
  - 3. Current certification by the City of Los Angles as DBE. For more information go to <a href="http://bca.lacity.org/index.cfm?nxt">http://bca.lacity.org/index.cfm?nxt</a> body=tutorials c.cfm

#### B. DBE Certification Status

If a DBE subcontractor is decertified during the life of the Project, the decertified Subcontractor shall notify the Contractor in writing with the date of decertification. If a subcontractor becomes a certified DBE during the life of the Project, the Subcontractor shall notify the Contractor in writing with the date of certification. The Contractor shall furnish the written documentation to the Project Manager and EOC Program Manager.

Upon completion of the contract, "Disadvantaged Business Enterprises (DBE) Certification Status Change" Form CEM-2403(F) (Attachment JJ) indicating the DBEs' existing certification status shall be signed and certified correct by the Contractor. The certified form shall be furnished to the Engineer within 90 days from the date of contract acceptance.

#### XIV. List of Attachments.

- AA Local Agency Proposer DBE Information (Consultant)
- BB Local Agency Bidder DBE Information (Construction)
- CC Final Report Utilization of DBE, First Tier Subcontractors
- DD Monthly DBE Trucking Verification
- EE Local Agency Proposer UDBE Commitment (Consultant)
- FF Local Agency Bidder UDBE Commitment (Construction)
- GG Subcontracting Request
- HH UDBE Information-Good Faith Efforts
- II Subcontractor List
- JJ DBE Certification Status Change
- KK Workforce Report

Local Agency Proposer DBE Information (Consultant Contracts)

### **EXHIBIT 10-O2 Local Agency Proposer DBE Information (Consultant Contracts)**

NOTE: P	PLEASE	I APPLICA	ABLE 0I	E OF THIS FORM
LOCAL AGENO	<b>Y</b> :	LOCATIO	ON:	
PROJECT DESC	CRIPTION:			
	RACT AMOUNT (\$):			
	NAME:			
WORK ITEM NO.	DESCRIPTION OR SERVICES TO BE SUBCONTRACTED (or contracted if the proposer is a DBE)	DBE CERT NO. AND EXPIRATION DATE	NAME OF EACH DBE (Must be certified at the time proposals are due - include DBI address and phone number)	DOLLAR AMOUN T OF EACH DBE
Local Agency C Federal-Aid Pro Federal Share:	Agency to Complete:  Contract Number:		Total Claimed DBE Participation	\$%
	ertifies that the DBE certifications have been complete and accurate.	n verified and all	Signature of Proposer	
Print Name Local Agency R		Date		ea Code) Tel. No.
and a state of the	lephone Number:	and the state of t		ŕ
Print Name Caltrans Distric	Signature et Local Assistance Engineer	Date	Local Agency Proposer DBE Info (Rev 6/2	

Distribution: (1) Copy - Fax or scan a copy to the Caltrans District Local Assistance Engineer (DLAE) within 15 days after contract execution.

Failure to send a copy to the DLAE within 15 days after contract execution may result in deobligation of funds for this project.

# INSTRUCTIONS - LOCAL AGENCY PROPOSER DBE INFORMATION (CONSULTANT CONTRACTS)

### SUCCESSFUL PROPOSER:

The form requires specific information regarding the consultant or other contract: Local Agency, Location, Project Description, Total Contract Amount, Proposal Date, and successful Proposer's Name.

The form has a column for the Work Item Number and Description or Services to be Subcontracted to DBEs. The prime consultant shall indicate all work to be performed by DBEs including, if the prime consultant is a DBE, work performed by its own forces, if a DBE. The DBE shall provide a certification number to the prime consultant. Enter DBE prime consultant's and subconsultant's certification number. The form has a column for the Names of DBE certified contractors to perform the work (must be certified on or before the proposals are due and include DBE address and phone number).

Enter the Total Claimed DBE Participation dollar amount of items of work in the total DBE Dollar Amount column. (If 100% of item is not to be performed by the DBE, describe exact portion of time to be performed by the DBE.) See "Notice to Proposers Disadvantaged Business Enterprise Information," (Exhibit 10-I) to determine how to count the participation of DBE firms.

Exhibit 10-O2 must be signed and dated by the successful proposer at contract execution. Also list a phone number in the space provided and print the name of the person to contact.

**Local agencies** should complete the Contract Number, Federal-aid Project Number, Federal Share, and Contact Award fields and verify that all information is complete and accurate before signing and sending a copy of the form to the District Local Assistance Engineer within 15 days of contract execution. Failure to submit a completed and accurate form within the 15-day time period may result in the deobligation of funds on this project.

District DBE Coordinator should verify that all information is complete and accurate. Once the information has been verified, the District Local Assistance Engineer signs and dates the for

## Exhibit 10-O1 Local Agency Proposer UDBE Commitment (Consultant Contracts)

NO	OTE: PLEASE REFER TO INSTI	RUCTIONS ON TH	E REVERSE SIDE OF TH	IS FORM
AGENCY: Cit	ty of San Diego	LOCATION: San I	Diego, CA	ninkowinal
PROJECT DES	SCRIPTION: El Camino Real Bridge/Road W	/idening - Environmental I	Documentation	
CONTRACT G	GOAL: \$ <u>estimated cost \$200,000 to \$250,000</u>	) x 0.6% goal = \$1,200 to \$	\$1,500	
PROPOSAL DA	ATE: December 18, 2009			
PROPOSER'S 1	NAME: RBF Consulting			
WORK ITEM NO.	DESCRIPTION OR SERVICES TO BE SUBCONTRACTED	DBE Cert. No. AND EXPIRATION DATE	NAME OF UDBES (Must be certified on the date the proposals are opened - include UDBE address and phone number)	PERCENTAGE AMOUNT OF EACH UDBE
	Noise impact studies	No. 32881 (exp. 3/12)	Entech Northwest	2.5% of total contract
			dba Entech Consulting Group	value
			43410 Business Park Dr.	
			Temecula, CA 92590	
			951-506-0055	
	Geotechnical engineering	No. 8920 (exp. 10/2013)	Allied Geotechnical Engineers	1.2 to 1.5% of total
			9500 Cuyamaca Street, Suite 102	contract value
			Santee, CA 92071	
			619-449-5900	
Tou Local				
	Agency to Complete:		Total Claimed	\$7,400 to 10,000
	Contract Number:		Participation	
	ject Number:		ON THE CONTRACTOR OF THE CONTR	3.7 to 4 %
Federal Share: _	- THE TATE OF THE PARTY OF THE	The second secon		
Proposal Award	Date:			
Local Agency coinformation is c	ertifies that the UDBE certification(s) has been omplete and accurate.	n verified and all	Signature of Proposer	
·				8) 614-5044
Print Name Local Agency R	Signature	Date	Date (Area	Code) Tel. No.
	lephone Number:		Lorraine Ahlquist - Project Mana Person to Contact (Pleas	ager se Type or Print)
	ans Review:		reison to Contact (Pleas	se Type of Pfint)
Print Name Caltrans Distri	Signature ct Local Assistance Engineer	Date	Local Agency Bidder - UDBE Cor	nmitment (Rev. 3/09)

Distribution: (1) Copy – If this Proposer is successful fax or scan a copy to the Caltrans District Local Assistance Engineer (DLAE) within 15 days of award. Failure to send a copy to the DLAE within 15 days of award may result in de-obligation of funds for this project.

(2) Original – Local agency files

# INSTRUCTIONS – LOCAL AGENCY PROPOSER UDBE COMMITMENT (CONSULTANT CONTRACTS)

### ALL PROPOSERS:

PLEASE NOTE: It is the proposer's responsibility to verify that the UDBE(s) falls into one of the following groups in order to count towards the UDBE contract goal: 1) African Americans; 2) Asian-Pacific Americans; 3) Native Americans; 4) Women. This information must be submitted with your proposal. Failure to submit the required UDBE commitment will be grounds for finding the proposal nonresponsive.

A "UDBE" is a firm meeting the definition of a DBE as specified in 49 CFR and is one of the following groups: African Americans, Native Americans, Asian-Pacific Americans, or Women.

The form requires specific information regarding the consultant contract: Local Agency, Location, Project Description, Proposal Date, Proposer's Name, and Contract UDBE Goal.

The form has a column for the Work Item Number and Description or Services to be subcontracted to UDBEs (or performed if the proposer is a UDBE). The UDBE prime contractors shall indicate all work to be performed by UDBEs including work to be performed by its own forces, if a UDBE. The UDBE shall provide a certification number to the Consultant and notify the Consultant in writing with the date of decertification if their status should change during the course of the contract. Enter UDBE prime consultant and subconsultant certification numbers. The form has a column for the Names of certified UDBEs to perform the work (must be certified on the date proposals are due and include UDBE address and phone number).

There is a column for the percent participation of each UDBE. Enter the Total Claimed UDBE Participation percentage of items of work submitted with proposal pursuant to the Special Provisions. (If 100% of item is not to be performed or furnished by the UDBE, describe exact portion of time to be performed or furnished by the UDBE.) See "Notice to Proposers Disadvantaged Business Enterprise Information," (Exhibit 10-I) to determine how to count the participation of UDBE firms. Note: If the proposer has not met the contract goal, the local agency must evaluate the proposer's good faith efforts to meet the goal in order to be considered for award of the contract.

Exhibit 10-O1 must be signed and dated by the consultant submitting the proposal. Also list a phone number in the space provided and print the name of the person to contact.

For the Successful Proposer only, local agencies should complete the Proposal Number, Federal-aid Project Number, Federal Share, and Proposal Date fields and verify that all information is complete and accurate before filing.

GG

### STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION SUBCONTRACTING REQUEST DC-CEM-1201 (REV. 4/94) (OLD HC-45) CT# 7541-3514-7

FRONT

See Instructions On Back

REQUEST NUMBER CONTRACTOR NAME COUNTY ROUTE BUSINESS ADDRESS CONTRACT NO. CITY/STATE ZIP CODE FEDERAL AID PROJECT NO. (From Special Provisions) BID ITEM % OF CHECK IF: SUBCONTR ACTOR DESCRIBE WORK \$ AMOUNT NUMBER(S) BID ITEM BASED ON (Name, Business Address, Phone) (See Categories Below) WHEN LESS THAN 100% SUBBED OF WORK IS SUBBED BID \$ AMOUNT (2)

Categories:

1) Specialty

2) Listed Under Fair Practices Act

3) Certified DBE

### I Certify That:

- The Standard Provisions for labor set forth in the contract apply to the subcontracted work.
- · If applicable, (Federal Aid Projects only) Section 14 (Federal Requirements) of the Special Provisions have been inserted in the subcontracts and shall be incorporated in any lower-tier subcontract. Written contracts have been executed for the above noted subcontracted work.

CONTRA	ACTOR'S SIGNATURE	DATE
NOTE:	This section is to be completed by the Resident Engineer	
1.	Total of bid items	\$
2.	Specialty items (previously requested)\$	***************************************
3.	Specialty items (this request)\$	
4.	Total (lines 2+3)\$	
5.	Contractor must perform with own forces (lines 1 minus 4) x %	\$
6.	Bid items previously subcontracted\$	
7.	Bid items subcontracted (this request)\$	
8.	Total (lines 6+7)\$	
9.	Balance of work Contractor to perform (lines 1 minus 8)\$	
hadron hadron common consumos and		
	APPROVED	
RESIDEN	YT ENGINEER'S SIGNATURE	DATE

CEM-1201 (HC-46 REV. 4/94)

COPY DISTRIBUTION:

Original - Contractor 2. Copy - local agency Resident Engineer
 Copy - local agency Labor Compliance Officer 4. Contractor's Information Copy

Back

### INSTRUCTIONS FOR COMPLETING SUBCONTRACTING REQUEST FORM

All First-tier subcontractors must be included on a subcontracting request.

Submit in accordance with Section 8-1.01 of the Standard Specifications. Type or print requested information. Information copy is to be retained by the contractor. Submit other copies to project's Resident Engineer. After approval, the original will be returned to the contractor.

When an entire item is subcontracted, the value to be shown is the contractor's bid price.

When a portion of an item is subcontracted, describe the portion, and show the % of bid item and value.

#### THIS FORM IS NOT TO BE USED FOR SUBSTITUTIONS.

Prior to submittal of a DC-CEM-1201 involving a replacement Subcontractor, submit a separate written request for approval to substitute a listed subcontractor. Section 4107 of the Government Code covers the conditions for substitution.

NOTE: For contractors who will be performing work on railroad property, it is necessary for the contractor to complete and submit the Certificate of Insurance (State Form DH-OS-A10A) naming the subcontractor as insured. No work shall be allowed which involves encroachment on railroad property until the specified insurance has been approved.

		Exhibit 15-H UDBE Information	n—Good Faith Efforts	
Federal-	aid Project No.		Opening Date	
		El Camino Real Bridge/Road Widening —		
		Environmental		
		Documentation (H104890A)	December	18, 2009
	City of San Diego  0.6 % for thi	established an Unsproject. The information provided here	der-utilized Disadvantaged Busin shows that a good faith effor	
efforts. Indicate adminis	Bidders should subs s that the bidder has tering agency determ	third lowest bidders shall submit the mit the following information even if is met the UDBE goal. This will protect mines that the bidder failed to meet the bidder made a mathematical error.	he "Local Agency Bidder – Ut the bidder's eligibility for av	DBE Commitment" form ward of the contract if the
		ral Agency Bidder – UDBE Commitmood faith efforts were made.	ent" form may not provide su	fficient documentation to
The foll	owing items are liste	ed in the Section entitled "Submission o	*UDBE Commitment" of the S	pecial Provisions:
A.		dates of each publication in what aced by the bidder (please at		
	Pub	lications	Dates of Advertisement	
	Nor	ne		
	FACTOR TO STATE OF THE STATE OF			
	Martina qua anga aga pa		Company of the Compan	
			MACHINE THE PROPERTY OF THE PR	
	-			
В.	and the dates an	dates of written notices sent to ad methods used for following up BEs were interested (please attacte.):	initial solicitations to det	ermine with certainty
	Names of	Date of	Foll	ow Up
	UDBEs	Initial		ods and
	Solicited	Solicitation		ates
	Allied Geotechn Engineering, In		vices and on 12/1/09 to in	vite him to the d information ope & fee on ed letter of

Names of	Date of	Follow Up
UDBEs	Initial	Methods and
Solicited	Solicitation	Dates
Entech Northwest bda	e-mailed 9/28/09 to discuss	Several e-mail back and forth
Entech Consulting Group	teaming; several e-mail back and forth regarding content, scope & fee (9/30/09; 10/9/09; 10/13/09)	(attached) regarding content, scope & fee (9/30/09; 10/9/09; 10/13/09)

C. The items of work which the bidder made available to UDBE firms, including, where appropriate, any breaking down of the contract work items (including those items normally performed by the bidder with its own forces) into economically feasible units to facilitate UDBE participation. It is the bidder's responsibility to demonstrate that sufficient work to facilitate UDBE participation was made available to UDBE firms.

Items of Work	Bidder Normally Performs Item (Y/N)	Breakdown of Items	Amount (\$)*	Percentage Of Contract
Noise studies	N	Noise assessment & report	\$5,000 - 6,250	2.5%
Geotechnical Engineering	N	Geotechnical Engineering	\$2,400 – 3,750	1.2 -1.5%
Biology	N	Biological assessment & report	\$10,000 - 12,500	5%
Avian biology	N	Avian biological assessment & report	\$5,000 - 6,250	2.5%
Visual studies	Y	Visual simulation	\$3,000 - 3,750	1.5%
Cultural resources studies	N	Cultural resources assessment & report	\$5,000 - 6,250	2.5%
Structural engineering	Y	Structural engineering	\$5,000 - 6,250	2.5%
Traffic engineering	Y	Traffic engineering	\$12,000 - \$15,000	6%

<sup>\*</sup> The estimated range of the consultant fee will be between \$200,000 and \$250,000.

D.	The names, addresses and phone numbers of rejected UDBE firms, the reasons for the bidder's
	rejection of the UDBEs, the firms selected for that work (please attach copies of quotes from the
	firms involved), and the price difference for each UDBE if the selected firm is not a UDBE:

No firms were rejected.	
***************************************	

	No firms were rejected.	
Names,	addresses and phone numbers of firms selected for the work above:  Noise studies: Entech Northwest, dba Entech Consulting Group	
	43410 Business Park Drive, Temecula, CA 92590	
	Geotechnical engineering: Allied Geotechnical Engineers, Inc. 9500 Cuyamaca Street, Suite 102,	
-	Santee, CA 92071-2685	
the wor	nnical assistance or information related to the plans, specifications and require	ements for
the wor	Not required of any firm who participated on our team.	ements for

recruiting and using UDBE firms (please attach copies of requests to agencies and any responses

received, i.e., lists, Internet page download, etc.):

Name of Agency/Organization	Method/Date of Contact	Results
Caltrans	Queried Disadvantaged Business Enterprise Database (http://www.dot.ca.gov/ucp/Get LicenseForm.do) as follows to find possible San Diego County UDBEs: Area Codes: 619, 760, 858 NAICS Category: 54 Professional, Scientific, and Technical Services NAICS Codes: 541310 Architectural Services 541320 Landscape Architectural Services 541330 Engineering Services 541340 Drafting Services 541370 Surveying and Mapping (except Geophysical) Services 541620 Environmental Consulting Services Ethnicity: ASIAN PAC BLACK NAT AM Firm Type: DBE	See attached results Evaluated results based on past performance on RBF Teams (if any) and qualifications for this specific project. We then matched those to Items of Work available to subconsultants.
	Area Codes: 619, 760, 858  NAICS Category: 54 Professional, Scientific, and Technical Services NAICS Codes: 541310 Architectural Services 541320 Landscape Architectural Services 541330  Engineering Services 541340 Drafting Services 541370 Surveying and Mapping (except Geophysical) Services 541620 Environmental Consulting Services Firm Type: DBE Gender: F	
H. Any additional data	to support a demonstration of good faith efforts (	use additional sheets if

necessary):

See attached communication log between RBF and all subconsutants.		

NOTE: USE ADDITIONAL SHEETS OF PAPER IF NECESSARY.

## Subconsultant Outreach Communication Log

SUBCONSULTANTS	ROLE	BE STATUS	COMMUNICATION
Entech Northwest	Noise Assessment & Report	UDBE	e-mailed 9/28/09 to discuss teaming; several e-mail back and forth regarding content, scope & fee (9/30/09; 10/9/09; 10/13/09)
Hon Consulting	Environmental documentation	UDBE	Called 9/24/09 to determine potential teaming but Katherine Hon declined.
Nordby Biological Consulting	Biology		Called 10/5/09 to determine teaming potential. E-mailed specific request for information 10/5/09. Received scope & fee from Chris Nordby 10/6/09.
Konecny Biological Consulting	Biology – Avian Wildlife Biologist		10/5/09 – Spoke w/John Konecny . E-mailed him on 10/6/09 and requested he join team. Received documentation (scope, fee and approach) on 10/7/09.
KTU+A	Visual Simulation		Met Sharon Singleton at pre-proposal on 9/23/09. Called her on 9/29/09 to discuss teaming. Received a scope, fee and documentation on 10/5/09 & 10/13/09.
Tierra Environmental Services	Cultural Resources		Called Patrick McGinnis on 10/7/09 to inquire about past experience. Requested Tierra to join project team on 10/9/09. Received scope & fee on 10/12/09 and letter of commitment on 10/14/09.
Urban Systems	Traffic Counts, Modeling & Report		Called Andy Schlaefli regarding past experience on 10/8/09. Send e-mail on 10/9/0/9 Inviting him to team. Received scope & fee on 10/13/09 and Letter of commitment on 10/14/09.
Allied Geotechnical Engineers	Geotechnical	UDBE	Called Sani Sutanto on 11/30/09 to discuss services and teaming opportunities. Sent e-mail to Sani on 12/1/09 to invite him to the team. Requested information and received scope & fee on 12/4/09. Received letter of commitment on 12/16/09.

Copies of email correspondence attached.

### Back To Query Form

### Search Returned 12 Records

Fri Sep 18 09:44:16 PST 2009

Query Criteria Area Code: 858

Naics Category: 54 Professional, Scientific, and Technical Services

Naics Codes: 541310 Architectural Services 541320 Landscape Architectural Services 541330

Engineering Services 541340 Drafting Services 541370 Surveying and Mapping (except Geophysical)

Reviewed firm's website (http://www.arc-g.

com). They provide architecture services,

not contacted.

which are not required for this proposal. Firm

Firm provides engineering services that are not required

for this proposal. Firm not contacted.

Services 541620 Environmental Consulting Services

Ethnicity: ASIAN PAC BLACK NAT AM

Firm Type: DBE

Firm ID 36802

Firm/DBA Name ARC GINC
Address Line1 9265 DOWDY DRIVE, SUITE 203

Address Line2

City SAN-DIEGO

 State
 GA

 Zip-Code1
 92126

Zip Code2 Mailing Address Line1

Mailing Address Line2 Mailing City

Mailing-City
Mailing-State
Mailing-Zip-Code1
Mailing-Zip-Code2
Certification-Type

EMail conrado@arc-g-com

 Area-Code
 (858-)

 Phone-Number
 578-4027

Fax Area Code (-858-)
Fax Phone Number 578-4820

Agency Name CITY OF LOS ANGELES

Counties 90%

Districts 90;

**DBE NAICS** 541310; 541340; 541410; 541420; 541490;

ACDBE NAICS

Work Codes C8704 ARCHITECTURAL; C8765 DRAFTING; 17388 INTERIOR DECORATING & DESIGN;

Licenses ARG-Architect;

Gender M

Ethnicity ASIAN-PACIFIC

Firm Type DBE

Firm ID 3274

Firm/DBA Name EPIC-CONSULTING-ENGINEERS
Address-Line1 3242-WEST-CANYON-AVENUE

Address Line2

 City
 SAN-DIEGO

 State
 GA

 Zip-Code1
 92423

Zip-Code2 Mailing Address Line1 Mailing Address Line2

Mailing-City
Mailing-State
Mailing-Zip-Code1
Mailing-Zip-Code2

Certification-Type

EMail TCHANG@EPICENGINEERS.COM

09/18/2009

Area Code **Phone Number** Fax Area Code

(-858-) 565-4185 (-858-) 565-3845

Fax Phone Number Agency Name

DEPARTMENT OF TRANSPORTATION

Counties **Districts** 

DBE NAICS

238990; 423610; 541330; 541618; 541990;

**ACDBE NAICS** 

Work-Codes

C0686 ELECTRICAL & SIGNALS; C8700 CONSULTANT; C8701 BUSINESS ADMINISTRATION; C8702 MANAGEMENT INFORMATION SYSTEMS; C8703 TRAFFIC ENGINEER; C8707 FEASIBILITY STUDIES; C8716 ARCHITECTURAL ENGINEER; C8720 CIVIL ENGINEERING; C8730 SAFETY STUDIES; C8740

Firm provides civil engineering, survey, land

planning and environmental studies. No

previous relationship with this firm.

ELECTRICAL ENGINEERS; C8742-MECHANICAL ENGINEERS; F5060-ELECTRICAL-GOODS; 18740 MANAGEMENT & PUBLIC RELATIONS;

Licenses

EE Electrical Engineer;

Gender

M ASIAN PACIFIC

**Ethnicity** 

Firm-Type

DBE

Firm ID

16243

Firm/DBA Name Address Line1

ERB ENGINEERING, INC. 12320 STOWE DRIVE, STE. E

Address Line2

POWAY

City State Zip Code1

CA 92064

Zip Code2

Mailing Address Line1 Mailing Address Line2

Mailing City Mailing State Mailing Zip Code1 Mailing Zip Code2

DBE

**Certification Type EMail** dla@erbengr.com

Area Code (858) **Phone Number** 748-2130 Fax Area Code (858) Fax Phone Number 748-6089

DEPARTMENT OF TRANSPORTATION Agency Name

М

Counties 19: 30: Districts 07; 12;

**DBE NAICS** 541330; 541340;

**ACDBE NAICS** 

Work Codes

C8710 ENGINEERING; C8720 CIVIL ENGINEERING;

Licenses

EC Civil Engineer;

Gender Ethnicity

NATIVE AMERICAN

Firm Type DBE

Firm ID

Firm/DBA Name

G2D-RESOURCES, LLC 7966 ARJONS DRIVE, STE 204

Address Line1 Address Line2 City

SAN-DIEGO CA 92126 1551

State Zip-Code1 Zip Code2

Mailing-Address-Line1 Mailing Address Line2

Mailing City Mailing State Mailing Zip Code1 Firm provides civil and value engineering services. No previous relationship with this firm.

Mailing Zip Code2

**Certification Type** 

**EMail** 

griglesia@g2dresources.com

Area-Code (-858-) Phone Number 547-4423 Fax Area Code (-858)Fax Phone Number 547 4325

DEPARTMENT OF TRANSPORTATION Agency Name

Counties 30;-37; Districts 11:12:

**DBE-NAICS** 541330; 541340; 541490; 541512; 541519; 541618;

ACDBE NAICS

C8700 CONSULTANT; C8702 MANAGEMENT INFORMATION SYSTEMS; C8705 DESIGN; C8707

this firm.

FEASIBILITY STUDIES; C8710 ENGINEERING; C8720 CIVIL ENGINEERING; C8730 SAFETY STUDIES; Work-Codes 68742-MECHANICAL-ENGINEERS: 68765-DRAFTING: 17373-INTEGRATED SYSTEMS & CAD/CAM

SYSTEMS

Licenses EC Civil Engineer: EGT Geotechnical Engineer:

Gender

ASIAN PAGIFIC Ethnicity

DBE Firm Type

Firm ID

Firm/DBA Name LAWHART ENGINEERS Address Line1 15310 PALOMINO MESA ROAD

Address Line2

SAN DIEGO City

State CA Zip Code1 92127

Zip Code2 P.O. BOX 501830

Mailing Address Line1

Mailing Address Line2 SAN DIEGO

Mailing City **Mailing State** CA Mailing Zip Code1 92150

Mailing Zip Code2

**Certification Type** DBE

**EMail** lwharton@lawharteng.com

Area Code (858)**Phone Number** 715-6731 Fax Area Code (858) Fax Phone Number 430-2755

**Agency Name** DEPARTMENT OF TRANSPORTATION

Counties Districts 00:

**DBE NAICS** 541330; 541512; 541618;

**ACDBE NAICS** 

C8700 CONSULTANT; C8701 BUSINESS ADMINISTRATION; C8702 MANAGEMENT INFORMATION SYSTEMS; C8703 TRAFFIC ENGINEER; C8707 FEASIBILITY STUDIES; C8710 ENGINEERING; C8720 CIVIL Work Codes

ENGINEERING; C8730 SAFETY STUDIES; C8742 MECHANICAL ENGINEERS; I7373 INTEGRATED

SYSTEMS & CAD/CAM SYSTEMS;

Licenses EC Civil Engineer;

Gender Μ **Ethnicity BLACK** DBE Firm Type

Firm ID

Firm/DBA Name MATALON ARCHITECTURE & PLANNING, INC.

Address Line1

Address Line2

SAN-DIEGO City State GA Zip-Code1 92109

Zip Code2

910-GRAND-AVENUE #203

Firm provides landscape architecture and interior design services, which are not required for this proposal

No website available to verify services

offered/specialty. No previous relationship wa

Mailing Address Line1 Mailing-Address-Line2

Mailing-City Mailing State Mailing Zip Code1 Mailing Zip Code2

**Certification Type** 

**EMail** 

mbm@matalonarch.com

DBE

Area Code (-858)**Phone Number** 483-6587 Fax Area Code (-858)Fax Phone Number 483-6787

**Agency Name** 

DEPARTMENT OF TRANSPORTATION

Counties Districts

10; 13; 14; 16; 16; 19; 24; 30; 33; 34; 36; 39; 40; 42; 54; 56;

03; 05; 06; 07; 08; 09; 10; 11; 12;

**DBE NAICS** 541310; 541340; 541410; 541430; 541490;

**ACDBE NAICS** 

C8704-ARCHITECTURAL; C8705-DESIGN; C8712-PUBLIC-RELATIONS; C8765-DRAFTING; I7336 Work-Codes

COMMERCIAL ART AND GRAPHIC DESIGN; 17388 INTERIOR DECORATING & DESIGN;

Licenses ARC Architect: Gender **Ethnicity** NATIVE AMERICAN

Firm-Type DBE

Firm ID 30500

Firm/DBA Name PAN ENVIRONMENTAL INC Address Line1 11551 CORTE PLAYA LAS BRISAS, SUITE 110

Address Line2

City SAN DIEGO State CA

Zip Code1 92124 Zip Code2

Mailing Address Line1 Mailing Address Line2

**Mailing City** Mailing State Mailing Zip Code1 Mailing Zip Code2

**Certification Type** 

**EMail** dbyrne@panenvironmental.com

Area Code (858)**Phone Number** 560-6585 Fax Area Code (858) 560-5962 Fax Phone Number

**Agency Name** DEPARTMENT OF TRANSPORTATION

Counties 00; Districts 00

**DBE NAICS** 541511; 541620; 541690; 541710;

**ACDBE NAICS** 

C8706 DESIGN BRIDGES; C8707 FEASIBILITY STUDIES; C8722 ENVIRONMENTAL ENGINEER; I7371 Work Codes

COMPUTER PROGRAMMING; 18730 RESEARCH & TESTING SERVICES; 18990 SERVICES, NEC;

Licenses Gender Ethnicity ASIAN PACIFIC Firm Type DBE

Firm ID 37390 Firm/DBA-Name R Z GROUP

Address Line1 9135 JUDICIAL DRIVE, APT 3422

Address Line2

City SAN DIEGO State CA Zip-Code1 92122

Firm provides water/wastewater engineering design services, which are not required for this proposal.

No website available to verify services

this firm.

offered/specialty. No previous relationship w/

Zip Code2

Mailing Address Line1 Mailing-Address-Line2

Mailing City Mailing State Mailing Zip Code1 Mailing Zip Code2

Certification Type

**EMail** 

rzhang74@hotmail.com

Area Code **Phone Number** Fax Area Code

(-858)336-6547 ·····

DBE

Fax Phone Number

Agency Name

DEPARTMENT OF TRANSPORTATION

Counties 19; 30; 33; 36; 37; 56; **Districts** 07: 08: 11: 12: **DBE NAICS** 541330; 924110;

ACDBE NAICS

Work-Codes Licenses Gender

C8700 CONSULTANT; C8720 CIVIL ENGINEERING; C8722 ENVIRONMENTAL ENGINEER:

this firm.

EG-Civil-Engineer;

SKS ENGINEERING, INC.

36577

92064

**Ethnicity** ASIAN PACIFIC

DBE Firm Type

Firm ID

Firm/DBA Name Address Line1

15008 ESPOLA ROAD Address Line2 City POWAY CA

State Zip Code1 Zip Code2

Mailing Address Line1 Mailing Address Line2

Mailing City Mailing State Mailing Zip Code1 Mailing Zip Code2 **Certification Type** 

DBF

**EMail** engeda@gmail.com

Area Code (858)**Phone Number** 395-7368 Fax Area Code (858) Fax Phone Number 883-2511

DEPARTMENT OF TRANSPORTATION **Agency Name** Counties 19; 30; 33; 36; 37; 40; 42; 56; 58;

**Districts** 03; 05; 07; 08; 11; 12;

**DBE NAICS** 541330;

**ACDBE NAICS** 

Work Codes C8720 CIVIL ENGINEERING:

Licenses EC Civil Engineer;

Gender M BLACK Ethnicity Firm Type DBE

Firm-ID

Firm/DBA Name

Address Line1

6994

TESHIMA DESIGN GROUP

9903 BUSINESS PARK AVENUE, SUITE 100

Address Line2

City State Zip Code1 SAN DIEGO **GA** 

92131

Firm provides landscape architecture services. which are not required for this proposal.

Firm provides contractor environmental support;

construction administration and owner support, wetland,

and shipping port services. No previous relationship with

aquatic and upland ecology surveys, arborist services;

Zip-Code2

Mailing Address Line1 Mailing Address Line2

**Mailing-City** Mailing State Mailing Zip Code1 Mailing Zip Code2 Certification Type

DBE

**EMail** 

ron@teshimadesign.com

Area Code (-858-) **Phone Number** 693-8824 Fax Area Code (-858-)Fax Phone Number Agency Name

693-1182 DEPARTMENT OF TRANSPORTATION

Counties Districts DBE-NAICS 13; 14; 19; 26; 30; 33; 36; 37; 56;

07; 08; 09; 11; 12; 541320; 541490; 541618;

ACDBE NAICS

Work-Codes Licenses

C8700 CONSULTANT; C8744 LANDSCAPE ARCHITECTS;

LA-Landscape Architect;

Gender **Ethnicity** 

ASIAN PACIFIC

Firm Type

DBE

Firm ID

12878

Firm/DBA Name Address Line1

**UNIVERSAL STRUCTURES** 12883 FLINTWOOD WAY

Address Line2 City

SAN DIEGO

State Zip Code1 Zip Code2 CA 92130

Mailing Address Line1

Mailing Address Line2 Mailing City Mailing State Mailing Zip Code1 Mailing Zip Code2

DBE

**Certification Type EMail** 

wanyoung@worldnet.att.net

Area Code (858)**Phone Number** 259-6122 Fax Area Code (858) 259-6112

Fax Phone Number

**Agency Name** DEPARTMENT OF TRANSPORTATION

Counties 00; **Districts** 00:

**DBE NAICS** 541330; 541618; 541690;

**ACDBE NAICS** 

C8700 CONSULTANT; C8707 FEASIBILITY STUDIES; C8720 CIVIL ENGINEERING; C8730 SAFETY

Licenses Gender **Ethnicity** 

EC Civil Engineer, EST Structural Engineer,

**ASIAN PACIFIC** 

Firm Type

Work Codes

DBE

Firm ID

Firm/DBA Name

Address Line1

YOUNG CHEN ENGINEERING 12745 VIA NIEVE

Address Line2

SAN DIEGO

City State

CA

No website available to verify services offered/specialty. No previous relationship wa

Firm provides civil and structural

relationship with this firm.

engineering services. No previous

this firm.

Zip Code1

92130 2156

Zip Code2

Mailing Address Line1

Mailing Address Line2
Mailing City
Mailing State Mailing Zip Code1 Mailing Zip Code2

Certification Type

DBE

**EMail** 

ycheneng@sbcglobal.net

Area Code

(858)

**Phone Number** Fax Area Code

(858)

**Fax Phone Number** 

**Agency Name** 

DEPARTMENT OF TRANSPORTATION

Counties

Districts

**DBE NAICS** 

541330; 541340; 541490;

**ACDBE NAICS** 

Work Codes

C8703 TRAFFIC ENGINEER; C8704 ARCHITECTURAL; C8705 DESIGN; C8707 FEASIBILITY STUDIES; C8716 ARCHITECTURAL ENGINEER; C8720 CIVIL ENGINEERING; C8730 SAFETY STUDIES; C8742 MECHANICAL ENGINEERS; C8765 DRAFTING;

EC Civil Engineer;

Licenses Gender

Ethnicity

ASIAN PACIFIC

Firm Type

DBE

Back To Query Form

### Back To Query Form

### Search Returned 8 Records

Fri Sep 18 09:49:09 PST 2009

Query Criteria

Area Code: 760

Naics Category: 54 Professional, Scientific, and Technical Services

Naics Codes: 541310 Architectural Services 541320 Landscape Architectural Services 541330

Engineering Services 541340 Drafting Services 541370 Surveying and Mapping (except Geophysical)

Services 541620 Environmental Consulting Services

Firm Type: DBE

Gender: F

Firm-ID 30148

Firm/DBA Name

Address Line1

Address Line2 City

State Zip Code1 Zip Code2

**Mailing Address Line1** Mailing Address Line2

Mailing City Mailing-State Mailing Zip Code1 Mailing Zip Code2

**Certification Type** 

**EMail** 

Area Code **Phone Number** 

Fax Area Code Fax Phone Number

Agency Name

Counties **Districts** 

**DBE NAICS** 

GA

D-S-P. DESKTOP SOLUTIONS PLUS **504 CALLE CAPISTRANO** 

SAN-MARCOS

92069

Firm provides installation and maintenance of transit and public safety POS communications systems. These services are not required for this proposal.

Firm is located in La Quinta.

DBE

debbie@d-s-p.com

(-760)715-7782 (-760-)599-6629

DEPARTMENT OF TRANSPORTATION

00;

323114; 518210; 541340; 541430; 541618; 561499;

**ACDBE NAICS** 

Work Codes COMMERCIAL ART AND GRAPHIC DESIGN; 17370 COMPUTER & DATA PROCESSING SERVICES; 17373

INTEGRATED SYSTEMS & CAD/CAM SYSTEMS; 17380 MISC BUSINESS SERVICES;

C8700 CONSULTANT: C8765 DRAFTING: D2740 MISC PUBLISHING: 17310 ADVERTISING: 17336

Licenses

Gender **Ethnicity** Firm Type

HISPANIC

DBE

Firm-ID

Firm/DBA-Name Address Line1

36280

GONZALES ENVIRONMENTAL CONSULTING LLC

51-842 AVENIDA DAIZ

Address Line2

City State Zip-Code1

Zip-Code2 Mailing Address Line1 Mailing Address Line2

Mailing City **Mailing State** Mailing Zip Code1 Mailing Zip Code2

LA QUINTA

GA 92253 Certification Type

DBE

(-760-)

**EMail** 

teresa.gonzales@earthlink.net

Area-Code Phone Number Fax Area Code Fax Phone Number

777-1621 (-760-) 771 3698

Agency Name DEPARTMENT OF TRANSPORTATION

Counties 19; 30; 33; 36; **Districts** 07;-08;-12; DBE NAICS 541620;

ACDBE NAICS

Work Codes

C8700 CONSULTANT:

Licenses

Gender

**Ethnicity** Firm Type CAUCASIAN

DBE

Firm ID

36244

Firm/DBA Name Address Line1

LITHGOW ENVIRONMENTAL 1902 WRIGHT PLACE SUITE 200

Address Line2 City

CARLSBAD CA 92008

Zip Code1 Zip Code2

State

Mailing Address Line1

Mailing Address Line2

Mailing City Mailing State Mailing Zip Code1 CARLSBAD CA 92018

P.O. BOX 2092

Mailing Zip Code2 **Certification Type** 

DBE

**EMail** 

lithenv@sbcglobal.net

Area Code (760) **Phone Number** 729-9405 (760)Fax Area Code Fax Phone Number 729-9405

Agency Name DEPARTMENT OF TRANSPORTATION

Counties 37. Districts 11:

541620; 541710; **DBE NAICS** 

**ACDBE NAICS** 

Work Codes

C8700 CONSULTANT; C8707 FEASIBILITY STUDIES; C8722 ENVIRONMENTAL ENGINEER;

Licenses

Gender

CAUCASIAN

Ethnicity Firm Type

DBE

Firm ID

State

Firm/DBA Name

Address Line1

Address Line2 City

Zip Code1 Zip Code2 Mailing Address Line1

Mailing Address Line2 Mailing City **Mailing State** Mailing Zip Code1 Mailing Zip Code2

MARQUEZ & ASSOCIATES BIOLOGICAL CONSULTANTS

505 N. WILOWSPRING DRIVE

**ENCINITAS** CA 92024

No website available to verify services offered/specialty. No previous relationship w/

this firm.

No website available to verify services offered/specialty. No previous relationship w/ this firm.

**Certification Type** 

DBE

(760)

**EMail** 

vjmarquez@sbcglobal.net

Area Code **Phone Number** Fax Area Code Fax Phone Number

633-3066 (760) 633-3066

**Agency Name** 

**DEPARTMENT OF TRANSPORTATION** 

Counties **Districts** 

11:

**DBE NAICS** 

541620; 541710;

**ACDBE NAICS** 

Work Codes

C8721 RIGHT OF WAY ENGINEER; 18730 RESEARCH & TESTING SERVICES;

Licenses

Gender

Ethnicity Firm Type HISPANIC DBE

Firm ID

State

Zip Code1

32032

Firm/DBA Name

MEDLIN & ASSOCIATES, INC.

Address Line1

P. O. BOX 130941

Address Line2 City

CARLSBAD CA 92013

0941

Zip Code2 Mailing Address Line1 Mailing Address Line2

**Mailing City** Mailing State Mailing Zip Code1 Mailing Zip Code2 **Certification Type** 

**EMail** 

mail@medlin-acoustics.com

Area Code (760) **Phone Number** 930-6515 Fax Area Code (760)Fax Phone Number 930-6515

**Agency Name** DEPARTMENT OF TRANSPORTATION

Counties 00; Districts 00:

**DBE NAICS** 541330; 541490; 541618; 541620; 541690;

**ACDBE NAICS** 

Work Codes

C0612 SAFETY; C8700 CONSULTANT; C8702 MANAGEMENT INFORMATION SYSTEMS; C8707

FEASIBILITY STUDIES; C8730 SAFETY STUDIES;

Licenses

Gender

F

Ethnicity Firm Type CAUCASIAN DBE

Firm-ID

State

Firm/DBA Name Address Line1

MICHELE MC LAIN, AIA 25990-MESA-ROCK-ROAD

Address Line2 City

**ESCONDIDO** CA 92026

Zip Code1 Zip Code2

Mailing Address Line1 Mailing-Address-Line2 PO BOX 905

Mailing City

SAN MARCOS

Mailing State Mailing Zip Code1

GA 92079

Firm provides architectural services.

These services are not required for

this proposal.

Firm provides acoustic consulting services.

No previous relationship w/this firm.

Mailing Zip Code2

**Certification Type** 

DRE

**EMail** melainaia@aol.com

Area Code (-760)Phone Number 743-1955 Fax Area Code (760)Fax Phone Number 743 1919

DEPARTMENT OF TRANSPORTATION Agency Name

Counties 37: Districts 14:

**DBE NAICS** 541310; 541410; 541490;

ACDBE NAICS

Work Codes

C8700 CONSULTANT; C8704 ARCHITECTURAL; C8705 DESIGN;

Licenses ARC Architect;

Gender

Ethnicity **CAUCASIAN** Firm Type DBE

Firm-ID Firm/DBA Name

20282 PANGIS, INC

Address Line1

624-CHESTERFIELD-CIRCLE

Address Line2

City

SAN-MARCOS

State Zip-Code1 Zip Code2 CA. 92069

Mailing Address Line1 Mailing-Address-Line2

Mailing City Mailing-State Mailing Zip Code1 Mailing Zip Code2

DBE

Certification Type ALICE@PANGIS.COM

**EMail** Area-Code (-760-)Phone Number 613-3948 (-206)

Fax Area Code Fax Phone Number 339-9072

DEPARTMENT OF TRANSPORTATION Agency Name

Counties Districts

**DBE NAICS** 541340; 541511; 541519; 541620;

**ACDBE NAICS** 

Work-Codes Licenses

17370 COMPUTER & DATA PROCESSING SERVICES; J9510 ENVIRONMENTAL QUALITY;

Gender

E

CAUCASIAN **Ethnicity** Firm Type DBE

Firm-ID

Firm/DBA Name Address Line1 Address Line2

35695

SEAPORT LIGHTING 112 BEL CANTO COURT

PALM DESERT

City State Zip Code1 Zip Code2

92211

Mailing Address Line1 Mailing Address Line2

Mailing City Mailing State Mailing-Zip-Code1 CA

Firm provides lighting design services. These services are not required for this proposal.

Firm provides GIS services. These

services are not required for this

proposal.

Mailing Zip Code2

Certification Type

DBE

EMail

info@seaportlighting.com

Area Code Phone Number Fax Area Code (-760-) 772-5801 (-866-) 268-2547

Fax Phone Number Agency Name

CITY OF LOS ANGELES

Counties Districts

00; 00;

DBE-NAICS

423220; 423610; 541410; 541620;

**ACDBE NAICS** 

Work-Codes Licenses Gender C8604 LIGHTING; J9510 ENVIRONMENTAL QUALITY;

E

Ethnicity

CAUCASIAN

Firm-Type DBE

Back To Query Form

### Back To Query Form

### Search Returned 5 Records

Fri Sep 18 09:46:06 PST 2009

**Query Criteria** 

Area Code: 760

Naics Category: 54 Professional, Scientific, and Technical Services

Naics Codes: 541310 Architectural Services 541320 Landscape Architectural Services 541330

Engineering Services 541340 Drafting Services 541370 Surveying and Mapping (except Geophysical)

Services 541620 Environmental Consulting Services

Ethnicity: ASIAN PAC BLACK NAT AM

Firm Type: DBE

Firm ID

Firm/DBA-Name

LANDMARK CONSULTANTS, INC

780 N. 4TH STREET

Address Line1 Address Line2

EL-CENTRO

City State Zip Code1

**EMail** 

92243

LandMark Consultants, Inc. is a consulting firm providing geotechnical and construction materials testing services in the Imperial, Riverside, and San Bernardino County areas of Southern California, Firm does not provide services in San Diego area.

Zip Code2 Mailing Address Line1

Mailing Address Line2 Mailing City

Mailing State Mailing Zip Code1 Mailing-Zip-Code2 Certification Type

DBE

fwilliam@landmark-ca.com

Area Code **Phone Number** (-760-)Fax Area Code Fax Phone Number

(-760-) 370-3000

337-8900 Agency Name DEPARTMENT OF TRANSPORTATION

Counties 13; 30; 33; 36; 37; Districts 08; 11; 12;

**DBE NAICS** 541330; 541490; 541512; 541618; 541690;

**ACDBE NAICS** 

A0780 LANDSCAPE & HORTICULTURAL SERVICES; C0612 SAFETY; C8700 CONSULTANT; C8701 BUSINESS ADMINISTRATION; C8702 MANAGEMENT INFORMATION SYSTEMS; C8703 TRAFFIC ENGINEER, C8704 ARCHITECTURAL; C8705 DESIGN; C8706 DESIGN-BRIDGES; C8707 FEASIBILITY STUDIES; C8710 ENGINEERING; C8716 ARCHITECTURAL ENGINEER; C8720 CIVIL ENGINEERING; C8722 ENVIRONMENTAL ENGINEER: C8730-SAFETY-STUDIES; C8742-MECHANICAL-ENGINEERS; I7373 INTEGRATED-SYSTEMS-&-CAD/CAM-SYSTEMS; 18740-MANAGEMENT-&-PUBLIC-RELATIONS; 18900

SERVICES, NEC;

Licenses Gender

Work-Codes

NATIVE AMERICAN **Ethnicity** 

Firm Type

DBE

Firm ID Firm/DBA Name 8718 MORAES/PHAM & ASSOCIATES

2031 PALOMAR AIRPORT ROAD, SUITE 120

Address Line1 Address Line2 City

CARLSBAD

State Zip Code1 Zip Code2 CA 92011

Mailing Address Line1 Mailing Address Line2

**Mailing City** 

Mailing-State Mailing Zip Code1 Mailing Zip Code2

Certification Type

**EMail** 

Area Code (-760)**Phone Number** 431 7177 (-760-) Fax Area Code Fax-Phone Number 431-7179

Agency Name

DEPARTMENT OF TRANSPORTATION

Counties 19:30:33;36;37; Districts 07; 08; 11; 12;

**DBE NAICS** 238210; 541330; 541340; 541490; 541618; 541990;

DBE

ice@moraespham.com

PARAS & ASSOCIATES

31238 VIA MARGARITA

ACDBE NAICS

Licenses

Work-Codes

C8700 CONSULTANT: C8707 FEASIBILITY STUDIES: C8710 ENGINEERING: C8730 SAFETY STUDIES:

this firm.

G8740 ELECTRICAL ENGINEERS; C8742 MECHANICAL ENGINEERS; EE-Electrical-Engineer ; EM-Mechanical-Engineer ;

Gender

**Ethnicity** ASIAN-PACIFIC

Firm Type DBE

Firm ID 30117

Firm/DBA Name Address Line1

Address Line2

City **BONSALL** State CA Zip Code1 92003 Zip Code2

Mailing Address Line1 Mailing Address Line2

**Mailing City** Mailing State Mailing Zip Code1 Mailing Zip Code2

**Certification Type** DBE

**EMail** rickparas@sbcglobal.net

Area Code (760) **Phone Number** 630-2039 Fax Area Code (760) Fax Phone Number 724-9364

**Agency Name** DEPARTMENT OF TRANSPORTATION

Counties **Districts** 

**DBE NAICS** 238990; 541330; 541340; 541490; 541512; 541618;

**ACDBE NAICS** 

C8700 CONSULTANT; C8701 BUSINESS ADMINISTRATION; C8702 MANAGEMENT INFORMATION SYSTEMS; C8703 TRAFFIC ENGINEER; C8704 ARCHITECTURAL; C8705 DESIGN; C8707 FEASIBILITY STUDIES; C8710 ENGINEERING; C8716 ARCHITECTURAL ENGINEER; C8720 CIVIL ENGINEERING; C8730 SAFETY STUDIES; C8742 MECHANICAL ENGINEERS; C8765 DRAFTING; I7373 INTEGRATED SYSTEMS & Work Codes

CAD/CAM SYSTEMS; 18740 MANAGEMENT & PUBLIC RELATIONS;

Licenses EC Civil Engineer; EST Structural Engineer;

30743

Gender

ASIAN PACIFIC Ethnicity

Firm Type DBE

Firm ID Firm/DBA Name

Address Line1 Address Line2 Q & S ENGINEERING

205 WEST 5TH AVENUE, SUITE 104

City **ESCONDIDO** 

State CA Zip Code1 92025 oceanographic fields. No previous relationship

Firm provides services in

the environmental.

geotechnical, and

with this firm.

not required for this proposal.

No website available to verify services

offered/specialty. No previous relationship w/

Firm provides mechanical and electrical

engineering services. These services are

Zip Code2

Mailing Address Line1 Mailing Address Line2

Mailing City Mailing State Mailing Zip Code1 Mailing Zip Code2

**Certification Type** DBE

**EMail** 

cleslie@qsengineering.com

Area Code (760)**Phone Number** 480-9972 Fax Area Code (858) 509-9508 Fax Phone Number

DEPARTMENT OF TRANSPORTATION **Agency Name** 

Counties 00; **Districts** 00:

**DBE NAICS** 541618; 541620; 541690; 541710;

**ACDBE NAICS** 

C0612 SAFETY; C8700 CONSULTANT; C8701 BUSINESS ADMINISTRATION; C8702 MANAGEMENT Work Codes

INFORMATION SYSTEMS; C8722 ENVIRONMENTAL ENGINEER; I8730 RESEARCH & TESTING SERVICES;

Previous relationship with this firm. Provide civil

engineering and survey services. These

services are not required for this proposal.

18990 SERVICES, NEC:

Licenses Gender BLACK Ethnicity DBE Firm Type

Firm ID 37488

Firm/DBA Name SAMPO ENGINEERING, INC. Address Line1 1034-SECOND-STREET

Address Line2

**ENCINITAS** City State CA Zip Code1 92075

Zip Code2

Mailing Address Line1 Mailing Address Line2

**Mailing City** Mailing-State Mailing Zip Code1 Mailing Zip Code2

Certification Type

**EMail** vince@sampoengineering.com

Area Code (-760)Phone Number 436-0660 Fax Area Code (-760)Fax Phone Number 436-0659

DEPARTMENT OF TRANSPORTATION Agency Name

Counties 19; 30; 33; 36; 37; 07:-08:-11:-12: **Districts** 

**DBE NAICS** 221310; 237210; 237990; 541330; 541340; 541360; 541370; 561410;

ACDBE NAICS

Licenses

C2065-IRRIGATION-SYSTEM; C8710-ENGINEERING; C8720-CIVIL-ENGINEERING; C8760-SURVEYOR; Work Codes

C8765-DRAFTING; C9826-LAND-SURVEYING; E4940-WATER-SUPPLY; E4970-IRRIGATION-SYSTEMS;

H6550 SUBDIVIDERS & DEVELOPERS; EC Civil Engineer; LS Land Surveyor;

Gender

NATIVE AMERICAN **Ethnicity** 

Firm Type DBE

Back To Query Form

### Back To Query Form

### Search Returned 19 Records

Fri Sep 18 09:48:28 PST 2009

Query Criteria

Area Code: 619

Naics Category: 54 Professional, Scientific, and Technical Services

Naics Codes: 541310 Architectural Services 541320 Landscape Architectural Services 541330

Engineering Services 541340 Drafting Services 541370 Surveying and Mapping (except Geophysical)

Services 541620 Environmental Consulting Services

Firm Type: DBE

Gender: F

Firm ID 37004

Firm/DBA Name CAMPBELL AND SPANGLER, INC.

Address Line1 2535 BOUNDARY STREET

Address Line2 SAN DIEGO City

State CA Zip Code1 92104 Zip Code2

Mailing Address Line1

Mailing Address Line2 Mailing City

**Mailing State** Mailing Zip Code1 Mailing Zip Code2

**Certification Type** DBE

**EMail** jkunkler@camspainc.com

Area Code (619)**Phone Number** 992-7483 Fax Area Code (619)280-2267

Fax Phone Number **DEPARTMENT OF TRANSPORTATION** 

**Agency Name** Counties 19; 37; 38; 42; 56; Districts 04; 05; 07; 11;

**DBE NAICS** 541614; 541620; 541690;

**ACDBE NAICS** 

Work Codes 18990 SERVICES, NEC;

Licenses Gender CAUCASIAN Ethnicity

Firm Type DBE

Firm-ID

Firm/DBA Name ESTRADA LAND PLANNING, INC. Address Line1 755-BROADWAY-CIRCLE, SUITE-300 Address Line2

City SAN DIEGO State GA

Zip Code1 92101 Zip Code2 6161 Mailing-Address-Line1

Mailing Address Line2 Mailing City Mailing State Mailing-Zip-Code1 Mailing Zip Code2

**Certification Type** DBE

**EMail** econsaul@estradalandplan.com

http://www.dot.ca.gov/ucp/QuerySubmit.do

offered/specialty. No previous relationship w/ this firm.

No website available to verify services

Previous relationship with this firm, Estrada

not required for this proposal.

provides landscape architecture services, which are

Area-Code (-619-) **Phone Number** 236-0143 Fax Area Code (619)Fax Phone Number 236-0578

DEPARTMENT OF TRANSPORTATION Agency Name

Counties Districts 00+ **DBE-NAICS** 541320;

ACDBE-NAICS

Work-Codes **C8744-LANDSCAPE-ARCHITECTS:** 

Licenses LA-Landscape-Architect;

Gender HISPANIC **Ethnicity** DBE Firm Type

Firm ID

Firm/DBA Name GARBINI & GARBINI LANDSCAPE ARCHITECTURE, INC

Address Line1 715 J STREET, SUITE 307

Address Line2

SAN DIEGO City State CΑ Zip Code1 92101

Zip-Code2 5121 Mailing Address Line1

Mailing Address Line2 Mailing City

Mailing State Mailing Zip Code1 Mailing Zip Code2

**Certification Type** 

**EMail** GGARBINI@GARBINIANDGARBINI.COM

Area-Code (-619-) Phone-Number 232 4747 Fax Area Code (619)232 9856 Fax Phone Number

Agency Name DEPARTMENT OF TRANSPORTATION

19; 30; 37; Counties Districts 07: 11: 12: **DBE NAICS** 541320;

**ACDBE NAICS** 

Work Codes **C8744 LANDSCAPE ARCHITECTS**;

Licenses LA Landscape Architect;

Gender

**Ethnicity** CAUCASIAN Firm Type DBE

Firm ID 33050

Firm/DBA Name HON CONSULTING INC Address Line1 2226 DWIGHT STREET

Address Line2 City SAN DIEGO

State CA 92104 Zip Code1 Zip Code2

Mailing Address Line1 Mailing Address Line2

Mailing City Mailing State Mailing Zip Code1 Mailing Zip Code2

DBF **Certification Type** 

khon@honconsultinginc.com **EMail** 

Previous relationship with this firm. Contacted Katherine Hon and asked her to join the RBF Team based on her experience working on the CEQA documentation for this project. She declined.

Previous relationship with this firm, Garbini

not required for this proposal.

provides landscape architecture services, which are

09/18/2009

Area Code **Phone Number** Fax Area Code

(619)294-8990 (619) 269-5515

Fax Phone Number **Agency Name** 

DEPARTMENT OF TRANSPORTATION

Counties Districts **DBE NAICS** 

13; 30; 33; 37; 08; 11; 12; 541330; 541618;

**ACDBE NAICS** 

Work Codes

C8700 CONSULTANT; C8707 FEASIBILITY STUDIES; C8720 CIVIL ENGINEERING; C8730 SAFETY

Checked firm's website (www.ir2.

services. These services are not

No website available to verify services

this firm.

offered/specialty. No previous relationship w/

required for this proposal.

com). Firm provides interior design

STUDIES:

Licenses

A General Engineering Contractor:

Gender

**Ethnicity** Firm Type CAUCASIAN

DBE

Firm ID

City

Firm/DBA-Name Address Line1

IR2 INTERIOR RESOURCE, INC

4460-MONAGO-STREET

Address Line2

SAN-DIEGO

State Zip Code1 CA 92107

Zip Code2

Mailing Address Line1 Mailing Address Line2

Mailing City Mailing State Mailing Zip Code1 Mailing Zip Code2

DBE

**Certification Type EMail** 

k-ohollearn@ir2-com

Area-Code **Phone Number** Fax Area Code Fax-Phone-Number (-619)325 1881 (-888-) 800-0363

Agency Name

DEPARTMENT OF TRANSPORTATION

Counties Districts

02; 13; 19; 30; 33; 37; 07: 08: 10: 11: 12:

**DBE NAICS** 

541340; 541410; 541490; 541611;

ACDBE NAICS

Work Codes

C8705-DESIGN: C8765-DRAFTING: 17388-INTERIOR DECORATING & DESIGN:

Licenses

Gender

F CAUCASIAN

**Ethnicity** Firm Type

DBE

Firm ID

Firm/DBA Name

32145 ISAACSON PLANNING

Address Line1

5639 MADRA AVENUE

Address Line2 City

SAN DIEGO

State Zip Code1

92120

Zip Code2

Mailing Address Line1 Mailing Address Line2

Mailing City Mailing State Mailing Zip Code1 Mailing Zip Code2 **Certification Type** 

DBE

CA

http://www.dot.ca.gov/ucp/QuerySubmit.do

**EMail** 

Area Code Phone Number Fax Area Code (619) 582-3117 (619) 582-3117

Fax Phone Number Agency Name

DEPARTMENT OF TRANSPORTATION

Counties Districts 00; 00:

**DBE NAICS** 

541330; 541820; 925120;

**ACDBE NAICS** 

Work Codes

C8703 TRAFFIC ENGINEER; C8707 FEASIBILITY STUDIES; C8711 COMPUTER; C8716 ARCHITECTURAL ENGINEER; C8720 CIVIL ENGINEERING; C8730 SAFETY STUDIES; C8742 MECHANICAL ENGINEERS; J9530 HOUSING & URBAN DEVELOPMENT;

this firm.

No website available to verify services

offered/specialty. No previous relationship w/

Licenses Gender

F

Ethnicity Firm Type

CAUCASIAN

DBE

Firm ID

24885

Firm/DBA Name Address Line1 JBG ENVIRONMENTAL CONSULTING

4368 NIAGARA AVE

Address Line2 City

SAN DIEGO

State
Zip Code1

CA 92107

Zip Code2

Mailing Address Line1
Mailing Address Line2

Mailing City Mailing State Mailing Zip Code1 Mailing Zip Code2

DBE

00;

00;

541620;

Certification Type
EMail
Area Code

juliegaa@cox.net

Area Code Phone Number Fax Area Code Fax Phone Number (619) 224-6684 (619) 393-0528

Agency Name

DEPARTMENT OF TRANSPORTATION

Counties
Districts
DBE NAICS

**ACDBE NAICS** 

Work Codes

C8700 CONSULTANT;

Licenses Gender

F

Ethnicity Firm Type CAUCASIAN DBE

Firm-ID

37409

Firm/DBA-Name Address-Line1 JL-STORM-WATER-CONSULTANTS, INC 1720-BRIDGEHAMPTON-PLACE

Address Line2

EL-CAJON

State Zip Code1 Zip Code2

City

GA 92019

Mailing Address Line1

PMB 127, 2650 JAMACHA RD., STE 147

Mailing Address Line2 Mailing City

CA EF-CV1ON

Mailing State Mailing Zip Code1

92019

Mailing-Zip-Code2

Certification Type

**EMail** 

DRE jane@jlstormwater.com

Area Code Phone Number Fax Area Code

Fax Phone Number

922 6511 (-619-) 579-5063

(-619-)

541620:

15461

CA

92106

SAN DIEGO

C8700-CONSULTANT:

JNE & ASSOCIATES

2608 SHELTER ISLAND DRIVE, SUITE 200

Agency-Name

**DEPARTMENT OF TRANSPORTATION** 19: 30: 33: 37: Counties 07; 08; 11; 12;

Districts **DBE NAICS** 

ACDBE NAICS

Work Codes Licenses

Gender

CAUCASIAN **Ethnicity** Firm Type DBE

Firm ID

Firm/DBA Name Address Line1

Address Line2

City State Zip Code1 Zip Code2

Mailing Address Line1 Mailing Address Line2

**Mailing City Mailing State** Mailing Zip Code1 Mailing Zip Code2

**Certification Type** 

**EMail** jnichols@jneassociates.com Area Code (619)

**Phone Number** 222-0016 Fax Area Code (619)Fax Phone Number 222-5736

DEPARTMENT OF TRANSPORTATION **Agency Name** Counties

**Districts** 

**DBE NAICS** 541618; 541620; 924110;

**ACDBE NAICS** 

Work Codes

C8700 CONSULTANT; C8701 BUSINESS ADMINISTRATION; C8702 MANAGEMENT INFORMATION SYSTEMS; C8721 RIGHT OF WAY ENGINEER; I8740 MANAGEMENT & PUBLIC RELATIONS; J9510 **ENVIRONMENTAL QUALITY**;

Licenses

Gender **Ethnicity** Firm Type

CAUCASIAN DBE

Firm ID

Firm/DBA Name Address Line1

Address Line2

City State Zip-Code1 Zip Code2 Mailing Address Line1

Mailing Address Line2

Mailing City

LINTVEDT, MCCOLL & ASSOCIATES 2810 CAMINO DEL RIO SOUTH, STE 200

SAN DIEGO

GA 92408 3820

Looked up firm's website (www.jlstormwater.com). Firm provides storm water consulting services such as SWPPP and SWMP preparation. These services are not required for this proposal.

Looked up firm's website (www.ilstormwater.com). Firm provides permitting, monitoring, biological and site assessment services. No previous relationship with this firm.

> Lintvedt, McColl & Associates has merged with David Evans and Associates, Inc.

Mailing State Mailing Zip Code1 Mailing Zip Code2

Certification Type DBE

**EMail** info@Imaengineering.com

Area Code (619) 294 4440 **Phone Number** Fax Area Code (-619)Fax-Phone-Number 294-4442

DEPARTMENT OF TRANSPORTATION Agency Name

Counties 00: **Districts** 00;

DBE NAICS 541330; 541370; 541490; 541618;

ACDBE NAICS

Work Codes C8700 CONSULTANT; C8705 DESIGN; C8720 CIVIL ENGINEERING; C8760 SURVEYOR;

Licenses EC Civil Engineer;

Gender

Ethnicity **CAUCASIAN** 

Firm Type DBE

Firm ID 12924

Firm/DBA Name MARIE-BURKE-LIA, ATTORNEY AT LAW

427 "C" STREET, SUITE #416 Address Line1

Address Line2 City SAN DIEGO

State CA. Zip Code1 92101 Zip-Code2

Mailing Address Line1 Mailing Address Line2

Mailing City Mailing State Mailing Zip Code1 Mailing Zip Code2

Certification Type DBE

**EMail** mbllaw@earthlink.net

Area Code (-619)**Phone Number** 235-9766 Fax Area Code (-619)Fax Phone Number 235 4410

Agency-Name DEPARTMENT OF TRANSPORTATION

Counties 13; 19; 30; 33; 36; 37; 56;

Districts 07; 08; 11; 12;

**DBE NAICS** 541110; 541618; 541620; 925120;

ACDBE NAICS

C8700 CONSULTANT: C8701-BUSINESS ADMINISTRATION: C8702-MANAGEMENT INFORMATION Work-Codes

SYSTEMS; 18110 LEGAL SERVICES; 18740 MANAGEMENT & PUBLIC RELATIONS; 19510 ENVIRONMENTAL

QUALITY: J9530 HOUSING & URBAN DEVELOPMENT:

Licenses L-Lawyer; F Gender Ethnicity CAUCASIAN

Firm Type DBE

Firm ID 24185

Firm/DBA Name MARTIN & LIBBY Address Line1 4452 GLACIER AVE

Address Line2 SAN DIEGO City State

Zip Code1 92120 Zip Code2 3381

Mailing Address Line1

Firm provides structural engineering services. No previous relationship with this firm.

No website available to verify services

this proposal.

offered/specialty. No previous relationship w/

this firm. No attorney services required for

Mailing Address Line2

Mailing City Mailing State Mailing Zip Code1 Mailing Zip Code2

**Certification Type** 

DRE

**EMail** Area Code jlibby@martinandlibby.com (619)

**Phone Number** Fax Area Code Fax Phone Number

280-9307 (619)284-3533

**Agency Name** 

DEPARTMENT OF TRANSPORTATION

Counties **Districts DBE NAICS**  00: 00; 541330;

**ACDBE NAICS** 

Work Codes

C8707 FEASIBILITY STUDIES; C8720 CIVIL ENGINEERING; C8730 SAFETY STUDIES;

Licenses

EC Civil Engineer:

Gender

F CAUCASIAN

**Ethnicity** Firm Type

DBE

Firm-ID

Firm/DBA Name

13977 PARTERRE

Address Line1

1221 HAYES AVENUE

1223 HAYES AVENUE

Address Line2 City

SAN DIEGO

State Zip-Code1 CA 92103

DBE

296-3702

Zip-Code2

Mailing-Address-Line1

Mailing Address Line2 Mailing City

SAN DIEGO Mailing State CA Mailing Zip Code1 92103

Mailing Zip Code2

Certification Type

**EMail** 

Area-Code **Phone Number** 

(-619-)296-3713 (-619)

Fax Area Code Fax-Phone Number

Agency Name

**DEPARTMENT OF TRANSPORTATION** 

Counties

31; 32; 33; 34; 35; 36; 37; 38; 39; 40; 41; 42; 43; 44; 45; 46; 47; 48; 49; 50; 51; 52; 53; 54; 55; 56; 57; 58;

this proposal.

Firm provides landscape architecture

services. These services are not required for

Districts **DBE-NAICS**  01; 02; 03; 04; 05; 06; 07; 08; 09; 10; 11; 12; 541320; 541340; 541490;

LILIPARTERRE@COX.NET

**ACDBE NAICS** 

Work Codes

C8705 DESIGN; C8707 FEASIBILITY STUDIES; C8744 LANDSCAPE ARCHITECTS;

Licenses

LA Landscape Architect; E.

Gender **Ethnicity** 

CAUCASIAN

Firm Type

DBE

Firm ID

35214

Firm/DBA Name Address Line1

SMR & ASSOCIATES PLAN REVIEW SERVICES, INC. 3569 FIFTH AVE., SUITE 100

Address Line2

City

SAN DIEGO

State Zip Code1 CA

Zip Code2

92103

Firm provides structural engineering services.

No previous relationship with this firm.

Mailing Address Line1 Mailing Address Line2

Mailing City
Mailing State
Mailing Zip Code1
Mailing Zip Code2
Certification Type

DBE

EMail anne@smr-eng.com

 Area Code
 (619)

 Phone Number
 294-6600

 Fax Area Code
 (619)

 Fax Phone Number
 294-6800

Agency Name DEPARTMENT OF TRANSPORTATION

 Counties
 19; 33; 34; 36; 37; 38; 42; 56;

 Districts
 03; 04; 05; 07; 08; 11;

 DBE NAICS
 541310; 541340;

**ACDBE NAICS** 

Work Codes C8700 CONSULTANT; C8704 ARCHITECTURAL; C8716 ARCHITECTURAL ENGINEER;

**Licenses** ARC Architect;

Gender F

**Ethnicity** CAUCASIAN Firm Type DBE

Firm ID 32936

Firm/DBA Name SUSAN HECTOR CONSULTING, LLC

Address Line1 7226 VIAR AVE

Address Line2

City SAN DIEGO State CA

Zip Code1 92120 Zip Code2

Mailing Address Line1 Mailing Address Line2

Mailing City
Mailing State
Mailing Zip Code1
Mailing Zip Code2

Certification Type DBE

EMail shectorsd@cox.com

 Area Code
 (619)

 Phone Number
 583-8164

 Fax Area Code
 (619)

 Fax Phone Number
 501-6005

Agency Name DEPARTMENT OF TRANSPORTATION

Counties 00; Districts 00;

**DBE NAICS** 541330; 541618;

**ACDBE NAICS** 

**Work Codes** 

C8700 CONSULTANT; C8701 BUSINESS ADMINISTRATION; C8702 MANAGEMENT INFORMATION SYSTEMS; C8703 TRAFFIC ENGINEER; C8707 FEASIBILITY STUDIES; C8716 ARCHITECTURAL ENGINEER; C8720 CIVIL ENGINEERING; C8730 SAFETY STUDIES; C8742 MECHANICAL ENGINEERS;

18740 MANAGEMENT & PUBLIC RELATIONS;

Licenses Gender

Ethnicity CAUCASIAN

Firm Type DBE

Firm ID 36032

Firm/DBA Name T3W BUSINESS SOLUTIONS, INC Address Line1 591 CAMINO DE LA REINA

Address Line2 SUITE 600
City SAN DIEGO
State CA

Reviewed firm's website (http://www.t3w.com). They provide GIS / GPS Solutions, Document Management, Business Solutions and Environmental Services. No previous relationship with this firm.

Firm provides archeology and cultural

with this firm.

resources services. No previous relationship

Zip Code1 Zip Code2 92108

Mailing Address Line1

1366 CORTE DE LAS PIEDRAS

Mailing Address Line2

**Mailing City** Mailing State Mailing Zip Code1

CA 92019

EL CAJON

Mailing Zip Code2 Certification Type

DBE

**EMail** 

lcarman@t3w.com (619)

Area Code Phone Number Fax Area Code Fax Phone Number

517-0068 (619)328-0589

Agency Name

SAN DIEGO COUNTY REGIONAL AIRPORT AUTHORITY

Counties Districts

00: 00;

**DBE NAICS** 

518210; 541330; 541370; 541511; 541512; 541611; 541614; 541618; 541922; 561110; 561410; 611430;

**ACDBE NAICS** 

Work Codes

C8700 CONSULTANT; C8701 BUSINESS ADMINISTRATION; C8702 MANAGEMENT INFORMATION SYSTEMS; C8707 FEASIBILITY STUDIES; C8710 ENGINEERING; C8765 DRAFTING; I7370 COMPUTER & DATA PROCESSING SERVICES; 17371 COMPUTER PROGRAMMING; 17373 INTEGRATED SYSTEMS &

TJP Engineering

specializes in aquatic

System (LSS) services

and water-related civil

These services are not

engineering projects.

required for this

proposal.

animal Life Support

CAD/CAM SYSTEMS;

Licenses

Gender

F CAUCASIAN

**Ethnicity** Firm Type

DBE

Firm ID Firm/DBA-Name 34589 TJP, INC.

Address Line1

137 ELDER AVENUE

Address Line2

City

IMPERIAL BEACH

State Zip-Code1 Zip Code2 GA 91932

Mailing-Address-Line1 Mailing Address Line2

Mailing-City Mailing-State Mailing Zip Code1 Mailing Zip Code2

**Certification Type** 

**EMail** 

DBE tjohnson@tjpengineering.com

Area-Code (619) **Phone Number** 423-8539 Fax Area Code (-619)Fax Phone Number 423 8563

**Agency Name** DEPARTMENT OF TRANSPORTATION

Counties 37. **Districts** 444 **DBE NAICS** 541330;

ACDBE NAICS

Work Codes

C8707 FEASIBILITY STUDIES; C8720 CIVIL ENGINEERING; C8730 SAFETY STUDIES;

EC-Civil-Engineer;

Gender

CAUCASIAN

DBE

**Ethnicity** Firm Type

Firm ID

Licenses

35330

Firm/DBA-Name Address Line1

URBANA PRESERVATION & PLANNING

2911 ADAMS AVENUE #4

Address Line2

Urbana Preservation & Planning specializes in urban planning, historic preservation, history and architectural history services. These services are not required for this

proposal

Previous relationship with this firm, ZTA provides

proposal.

architecture services, which are not required for this

City SAN-DIEGO State GA

Zip-Code1 92446

Zip Code2

Mailing Address Line1

Mailing Address Line2

Mailing-CitySAN-DIEGOMailing-StateGAMailing-Zip-Code192404

Mailing Zip Code2

Certification Type DBI

EMail wendy@urbanapreservation.com

 Area-Code
 (-619-)

 Phone-Number
 543-0693

 Fax-Area-Code
 (-800-)

 Fax-Phone-Number
 880-4434

Agency Name DEPARTMENT OF TRANSPORTATION

Counties 90%
Districts 90%

**DBE-NAICS** 541620; 541720; 541990;

**ACDBE NAICS** 

Work Codes C8700 CONSULTANT; C8722 ENVIRONMENTAL ENGINEER;

255 G STREET #399

Licenses Gender

ender

Ethnicity GAUGASIAN Firm-Type DBE

**Eirm ID** 13126

Firm/DBA Name ZAGRODNIK & THOMAS ARCHITECTS

Address Line1 3958-30TH-STREET

Address Line2

 City
 SAN-DIEGO

 State
 GA

 Zip-Code1
 92106

Zip-Code2 Mailing-Address-Line1

Mailing Address Line2
Mailing City
Mailing State
Mailing Zip Code1
Mailing Zip Code2

Certification-Type DBE

 EMail
 jean@ztare.com

 Area Code
 (€19-)

 Phone Number
 528-1499

 Fax Area Code
 (€19-)

 Fax Phone Number
 528-8181

 Agency Name
 DEPARTMENT OF TRANSPORTATION

 Counties
 43; 49; 27; 30; 33; 37; 40; 42; 56;

 Districts
 05-07-08-11-12 

 DBE-NAICS
 541310-541490-541618

**ACDBE NAICS** 

Work Codes C8700 CONSULTANT; C8704 ARCHITECTURAL; C8705 DESIGN;

Licenses ARC-Architect

Gender F

Ethnicity CAUCASIAN
Firm Type DBE

Back To Query Form

### Back To Query Form

### Search Returned 6 Records

Fri Sep 18 09:45:35 PST 2009

No website available to verify services

offered/specialty. No previous relationship w/

Query Criteria

Area Code: 619

Naics Category: 54 Professional, Scientific, and Technical Services

Naics Codes: 541310 Architectural Services 541320 Landscape Architectural Services 541330

Engineering Services 541340 Drafting Services 541370 Surveying and Mapping (except Geophysical)

Services 541620 Environmental Consulting Services

Ethnicity: ASIAN PAC BLACK NAT AM

Firm Type: DBE

Firm ID 32363

Firm/DBA Name AMERICAN CONCURRENT ENGINEERING SERVICES

539 TELEGRAPH CANYON ROAD

Address Line1 539 TELEGRAPH CANYON ROAD #144

Address Line2

City CHULA VISTA

State CA Zip Code1 91910

Zip Code2

Mailing Address Line1

Mailing Address Line2

Mailing City SAN DIEGO Mailing State CA Mailing Zip Code1 91910

Mailing Zip Code2

**Certification Type** DBE

**EMail** 

Area Code (619)**Phone Number** 491-4168 Fax Area Code (619)Fax Phone Number 426-2908

**Agency Name** DEPARTMENT OF TRANSPORTATION

Counties 00: Districts

**DBE NAICS** 238990; 511210; 518111; 518210; 541330; 541340; 541490; 541511; 541512; 541519; 541618;

**ACDBE NAICS** 

C8700 CONSULTANT; C8701 BUSINESS ADMINISTRATION; C8702 MANAGEMENT INFORMATION SYSTEMS; C8703 TRAFFIC ENGINEER; C8704 ARCHITECTURAL; C8705 DESIGN; C8707 FEASIBILITY STUDIES; C8710 ENGINEERING; C8716 ARCHITECTURAL ENGINEER; C8720 CIVIL ENGINEERING; C8730 SAFETY STUDIES; C8742 MECHANICAL ENGINEERS; C8765 DRAFTING; 17370 COMPUTER & DATA PROCESSING SERVICES; I7371 COMPUTER PROGRAMMING; I7372 PREPACKAGED SOFTWARE; I7373 INTEGRATED SYSTEMS & CAD/CAM SYSTEMS; 17375 INFORMATION RETRIEVAL SYSTEMS; 18740

MANAGEMENT & PUBLIC RELATIONS;

Licenses

Work Codes

Gender Μ **Ethnicity** BLACK Firm Type

Firm ID

Firm/DBA Name

Address Line1

Address Line2

City State Zip Code1

Zip Code2 Mailing Address Line1 Mailing Address Line2 Mailing City

ALLIED GEOTECHNICAL ENGINEERS, INC.

9500 CUYAMACA ST., STE 102

SANTEE

CA 92071 2685

Previous relationship w/this firm. Not contacted for proposal submitted 1016/09/ Contacted for "second round" (proposal submitted 12/18/09 to meet City's UDBE requirement. Agreed to join RBF Team.

**Mailing State** Mailing Zip Code1 Mailing Zip Code2

**Certification Type** 

**EMail** 

DBE

alliedgeo@aol.com

Area Code (619)Phone Number 449-5900 Fax Area Code (619) Fax Phone Number 449-5902

Agency Name LOS ANGELES COUNTY METRO TRANSPORTATION AUTHORITY (MTA) Counties 30; 33; 37;

Districts 08; 11; 12; **DBE NAICS** 541330: 541380: 541620:

**ACDBE NAICS** 

Work Codes

C8707 FEASIBILITY STUDIES; C8716 ARCHITECTURAL ENGINEER; C8730 SAFETY STUDIES; C8742

MECHANICAL ENGINEERS; 18734 LABORATORY TESTING AND ANALYSIS;

Licenses ES Soils Engineer; RG Registered Geologist;

Gender ASIAN PACIFIC

Ethnicity Firm Type DBE

Firm ID

Firm/DBA-Name ESKINDER-BERHANU & ASSOCIATES

Address Line1 1465-C-STREET, UNIT-3504

Address Line2 City SAN DIEGO

State  $C_{\alpha}A$ Zip-Code1 92191 Zip Code2

Mailing Address Line1 Mailing Address Line2

Mailing City Mailing State Mailing Zip Code1 Mailing Zip Code2

**Certification Type** 

**EMail** EEBKS@AOL:COM

Area Code (-619-) **Phone Number** 338-9395 Fax Area Code (-619)Fax Phone Number 338-9396

Agency Name DEPARTMENT OF TRANSPORTATION

Counties 00: **Districts** aa:

**DBE NAICS** 541330; 541618;

**ACDBE NAICS** 

C8700 CONSULTANT; C8701 BUSINESS ADMINISTRATION; C8703 TRAFFIC ENGINEER; C8707 Work Codes FEASIBILITY STUDIES; C8710-ENGINEERING; C8716 ARCHITECTURAL ENGINEER; C8720-CIVIL

ENGINEERING; C8742 MECHANICAL ENGINEERS;

Licenses EM-Mechanical-Engineer-

Gender M BLACK **Ethnicity** Firm Type DBE

Firm ID

Firm/DBA Name PETIL CONSTRUCTION & ENGINEERING, INC. Address Line1 1755 BRISTOL COURT

Address Line2

BONITA City State CA Zip Code1 91902 Zip Code2 4005

Mailing Address Line1

Checked firm's website (http://petilpce.com). Firm provides Architectural

Checked firm's website (http://www.ebaenergy.com/)

and renewable technologies as well as Sustainable

evaluation." These services are not required for this

buildings and facilities mechanical design thus

conducting research, analysis, planning, and

proposal. Firm not contacted.

Website states "An independent consulting Engineering firm specializing in energy efficiency

Engineering, Civil & Highway

Engineering, Traffic Engineering. No previous relationship with this firm.

Mailing Address Line2

Mailing City Mailing State Mailing Zip Code1 Mailing Zip Code2

Certification Type DBE

EMail netedwmp@netscape

 Area Code
 (619)

 Phone Number
 962-8389

 Fax Area Code
 (619)

 Fax Phone Number
 482-1493

Agency Name DEPARTMENT OF TRANSPORTATION

 Counties
 09; 19; 30; 33; 34; 37; 38;

 Districts
 03; 04; 07; 08; 11; 12;

 DBE NAICS
 236115; 541330;

ACDBE NAICS

Work Codes C8720 CIVIL ENGINEERING; C9801 BUILDING CONSTRUCTION;

**Licenses** A General Engineering Contractor; EC Civil Engineer;

Gender M

Ethnicity ASIAN PACIFIC

Firm Type DBE

Firm ID

34129

Firm/DBA Name

TEZA-DESIGN

Address Line1

233 A STREET SHITE 1103

Website states "Teza Design is a Professional

Address Line 1 233 A STREET, SUITE 1103 Website states "Texa Design is a Professional Mechanical Engineering Firm specializing & providing to the states of the states of

 City
 SAN-DIEGO

 State
 GA

 Zip-Code1
 92414

Zip-Code2

Mailing-Address-Line1 Mailing-Address-Line2

Mailing-City Mailing-State Mailing-Zip-Code1 Mailing-Zip-Code2

Certification-Type DB

EMail sam@teza-design.com

 Area-Code
 (619-)

 Phone-Number
 955-6834

 Fax-Area-Code
 (619-)

 Fax-Phone-Number
 209-7798

Agency-Name DEPARTMENT OF TRANSPORTATION

Counties 00; Districts 00;

**DBE NAICS** 238220; 238990; 541330; 541340; 541490; 541512; 541618;

ACDBE-NAICS

C8700 CONSULTANT; C8701 BUSINESS ADMINISTRATION; C8702 MANAGEMENT INFORMATION SYSTEMS; C8703 TRAFFIC ENGINEER; C8704 ARCHITECTURAL; C8705 DESIGN; C8707 FEASIBILITY STUDIES; C8710 ENGINEERING; C8716 ARCHITECTURAL ENGINEER; C8720 CIVIL ENGINEERING; C8730

complete Mechanical Engineering Services including:

typically Architectural Firms, Building Developers and

Building Owners." None of these services required for

Heating, Ventilating and Air Conditioning (HVAC) as

well as Plumbing & Piping Design. Our Clients are

Work Codes SAFETY STUDIES; C8742 MECHANICAL ENGINEERS; C8765 DRAFTING; C8901 AIR

CONDITIONING/SHEET-METAL; C9862 RESIDENTIAL AIR CONDITIONING & SHEET-METAL; C9866 HEATING & AIR CONDITIONING; I7373-INTEGRATED-SYSTEMS & CAD/CAM-SYSTEMS; I8740

this proposal.

MANAGEMENT & PUBLIC RELATIONS;

Licenses EM-Mechanical-Engineer-;
Gender M

Ethnicity BLACK
Firm-Type DBE

Firm ID 3603

Firm/DBA Name WALLER CONSULTING LLC
Address Line1 2111 WEST CALIFORNIA STREET
Address Line2

City State Zip Code1 Zip Code2 SAN-DIEGO GΑ

92110

Looked up firm's website (http://www.wallerconsulting. com/). Firm provides programming, conceptual, schematics, design development, construction documents, construction services. These services are not required for this proposal.

Mailing Address Line2 Mailing City Mailing State Mailing Zip Code1 Mailing Zip Code2

Mailing Address Line1

Certification Type **EMail** 

don@wallerconsulting.com (-619-)

Area Code **Phone Number** Fax Area Code Fax Phone Number

849-9356 (-619)819-9364

Agency Name

SAN-DIEGO COUNTY REGIONAL AIRPORT AUTHORITY

Counties Districts

**DBE NAICS** 

541310; 541320; 541330; 541611; 541618; 541890;

ACDBE NAICS

Work-Codes

C8700 CONSULTANT;

Licenses Gender

**Ethnicity** 

ASIAN PACIFIC DBE

Firm Type

Back To Query Form

## Back To Query Form

### Search Returned 17 Records

Fri Sep 18 09:47:43 PST 2009

**Query Criteria** 

Area Code: 858

Naics Category: 54 Professional, Scientific, and Technical Services

Naics Codes: 541310 Architectural Services 541320 Landscape Architectural Services 541330

Engineering Services 541340 Drafting Services 541370 Surveying and Mapping (except Geophysical)

Services 541620 Environmental Consulting Services

Firm Type: DBE

Gender: F

Firm-ID 35900

Firm/DBA-Name ALLIANCE CONSULTING ENGINEERS, INC.

Address Line1 9665-CHESAPEAKE-DRIVE

Address Line2

City SAN DIEGO State Zip Code1 92423 Zip Code2

Mailing Address Line1 Mailing Address Line2

Mailing-City Mailing State Mailing Zip Code1 Mailing Zip Code2

Certification Type DBE

**EMail** tamara@alliance-engineers.com

Area Code (-858-) **Phone Number** 268-2323 Fax Area Code (-858-) Fax Phone Number 279-2626

**Agency Name** DEPARTMENT OF TRANSPORTATION

Counties 00: **Districts** 

**DBE NAICS** 541330; 541340; 541370;

ACDBE NAICS

Address Line1

C8700 CONSULTANT; C8710 ENGINEERING; C8716 ARCHITECTURAL ENGINEER; C8742 MECHANICAL Work Codes

ENGINEERS; C8765 DRAFTING; EM-Mechanical-Engineer:

Licenses Gender

Æ **Ethnicity** CAUCASIAN

Firm Type DBE

Firm ID 34622 Firm/DBA Name ARCHAEOS

11209 GOLDEN BIRCH WAY Address Line2

City SAN DIEGO State Zip Code1 92131

Zip-Code2 Mailing Address Line1

Mailing Address Line2 Mailing City Mailing State Mailing Zip Code1 Mailing Zip Code2

DBE **Certification Type** 

Reviewed firm's website (http://www.archaeossandiego. com/). They provide historic building assessments. This service is not required for this proposal. Firm not contacted

Reviewed firm's website (http://www.alliance-engineers.

plumbing and energy engineering design. None of these

services are required for this proposal. Firm not contacted.

com/). Services offered include mechanical, electrical,

**EMail** 

archaeos@msn.com

Area-Code **Phone Number** Fax Area Code Fax Phone Number

549-7648 (-858-) 549-2181

(-858-)

Agency-Name

DEPARTMENT OF TRANSPORTATION

Counties **Districts DBE NAICS** 

44; 541620;

### **ACDBE NAICS**

Work Codes Licenses

C8721 RIGHT OF WAY ENGINEER:

Gender

F. CAUCASIAN

**Ethnicity** Firm Type

DBE

Firm ID

35800

Firm/DBA Name

**ELLIS ENVIRONMENTAL CONSULTING** 

Address Line1

5566 CALLE MIRAMAR

Address Line2 City

LA JOLLA CA 92037

Zip Code1

State

Zip Code2

Mailing Address Line1 Mailing Address Line2

Mailing City Mailing State Mailing Zip Code1 Mailing Zip Code2 **Certification Type** 

DBE

**EMail** 

EllisEnvironmentalConsulting@hotmail.com

Area Code (858)**Phone Number** 869-7972 Fax Area Code (858)

Fax Phone Number 272-8011 DEPARTMENT OF TRANSPORTATION **Agency Name** 

Counties 37; **Districts** 11; **DBE NAICS** 541620:

### **ACDBE NAICS**

Work Codes Licenses

C8700 CONSULTANT;

Gender

**Ethnicity** 

CAUCASIAN

Firm Type

DBE

Firm ID

Firm/DBA Name Address Line1

24735 HVAC ENGINEERING, INC.

5402 RUFFIN ROAD, STE. 210

Address Line2

SAN DIEGO City State GΑ Zip Code1 92123

Zip Code2

Mailing Address Line1 Mailing Address Line2 Mailing-City

Mailing State Mailing Zip Code1 Mailing Zip Code2

**Certification Type** DBE Have a previous relationship with this firm. However, HVAC services are not required for this proposal so firm not contacted.

No website available to verify services

offered/specialty. No previous relationship w/

**EMail** patriciah@hvaceng.com

Area Code (-858-) **Phone Number** 569-6594 Fax Area Code (-858-) Fax Phone Number 569-7051

DEPARTMENT OF TRANSPORTATION Agency Name

Counties 02: 10: 19: 27: 30: 33: 34: 36: 37: 38: 42: 43: 46: 56:

Districts 03; 04; 05; 06; 07; 08; 10; 11; 12;

DBE NAICS 541330; 541618;

**ACDBE NAICS** 

C8700-CONSULTANT; C8701-BUSINESS-ADMINISTRATION; C8707-FEASIBILITY-STUDIES; C8742 Work Codes

MECHANICAL ENGINEERS:

Licenses EM-Mechanical Engineer;

Gender

CAUCASIAN **Ethnicity** 

Firm Type DBE

Firm ID 32360

Firm/DBA-Name INFRASTRUCTURE ENGINEERING-CORPORATION

Address Line1 16787 BERNARDO CENTER DRIVE #9

Address Line2

City SAN DIEGO State CA 92128 Zip-Code1

Zip Code2

Mailing Address Line1 Mailing Address Line2

Mailing City Mailing State Mailing Zip Code1 Mailing Zip Code2

**Certification-Type** DBE

**EMail** amyczajkowski@iecorporation.com

Area Code (-858-)**Phone Number** 451-6704 Fax Area Code (-858--) Fax Phone Number 451-6707

DEPARTMENT OF TRANSPORTATION **Agency Name** 

Counties **Districts** 00: **DBE NAICS** 541330:

**ACDBE NAICS** 

C8703 TRAFFIC ENGINEER; C8707 FEASIBILITY STUDIES; C8716 ARCHITECTURAL ENGINEER; C8720 Work-Codes

CIVIL ENGINEERING; C8730 SAFETY STUDIES; C8742 MECHANICAL ENGINEERS;

Licenses Gender

**Ethnicity** CAUCASIAN

Firm Type DBE

Firm ID Firm/DBA Name

Address Line1

Address Line2

Zip-Code1

City State

Zip-Code2 Mailing Address Line1

Mailing Address Line2 **Mailing-City** Mailing State Mailing-Zip-Code1 Mailing Zip Code2

16564

IS ARCHITECTURE

5649-LA-JOLLA-BLVD

FV-10FFV CA 92037

Reviewed firm's website (http://www.lsarchitecture. com/). Architectural services for custom homes or historic preservation are not required for this

Have a previous relationship with this firm. However.

water/wastewater systems engineering services are

not required for this proposal so firm not contacted.

proposal. Firm not contacted,

Certification-Type

DBE

**EMail** 

istiegler@isarchitecture.com

Area-Code **Phone Number** Fax Area Code

456-8555 (-858-)

Fax Phone Number

456-8566

(-858-)

Agency Name

SAN DIEGO COUNTY REGIONAL AIRPORT AUTHORITY

Counties Districts

00:

**DBE NAICS** 

541310; 541340; 541490; 541618; 712120;

ACDBE NAICS

Work Codes

C8700 CONSULTANT: C8701 BUSINESS ADMINISTRATION: C8702 MANAGEMENT INFORMATION SYSTEMS; C8704-ARCHITECTURAL; C8705-DESIGN; C8712-PUBLIC RELATIONS; C8765-DRAFTING; I8740

not contacted.

Firm specializes in constructability reviews for food service

projects. This service is not required for this proposal. Firm

MANAGEMENT & PUBLIC RELATIONS;

Licenses

ARC Architect;

Gender

**Ethnicity** Firm Type CAUCASIAN

DBE

Firm-ID

31292

Firm/DBA-Name Address Line1

JCI-SERVICES, INC

1720-B-THOMAS STREET

Address Line2 City

SAN-DIEGO

State Zip-Code1 Zip-Code2 CA 92109

Mailing Address Line1

Mailing Address Line2

Mailing City **Mailing State** Mailing Zip Code1 Mailing Zip Code2

DRE

Certification Type **EMail** 

jancarter@jcisi.com

Area Code Phone Number Fax Area Code

(-858-)270 9567 (-858-)

Fax Phone Number **Agency Name** 

270-9541 DEPARTMENT OF TRANSPORTATION

Counties **Districts** 

aa: 00:

**DBE NAICS** 

238990; 541330; 541340; 541410; 541430; 5414490; 541618; 561499; 611110; 611310; 622110; 712110;

712130;

**ACDBE NAICS** 

C8700 CONSULTANT: C8701 BUSINESS ADMINISTRATION: C8702 MANAGEMENT INFORMATION SYSTEMS; C8703 TRAFFIC ENGINEER; C8704 ARCHITECTURAL; C8705 DESIGN; C8707 FEASIBILITY STUDIES; C8716 ARCHITECTURAL ENGINEER; C8720 CIVIL ENGINEERING; C8730 SAFETY STUDIES; C8742-MECHANICAL ENGINEERS; C8765-DRAFTING; I7336-COMMERCIAL ART AND GRAPHIC DESIGN; 17380 MISC BUSINESS SERVICES; 17388 INTERIOR DECORATING & DESIGN; 18060 HOSPITALS; 18210 ELEMENTARY & SECONDARY SCHOOLS; 18220 COLLEGES & UNIVERSITIES; 18410 MUSEUMS & ART

GALLERIES; 18420 BOTANICAL & ZOOLOGICAL GARDENS; 18740 MANAGEMENT & PUBLIC RELATIONS;

Work-Codes

Licenses Gender

CAUCASIAN **Ethnicity** 

Firm ID

Firm Type

27623

DBE

Firm/DBA Name Address Line1

K2 ENGINEERING, INC. 12595 RAGWEED STREET Firm specializes in geotechnical engineering. No previous relationship with this firm.

Address Line2

City State SAN DIEGO

CA 92129

Zip Code1

Zip Code2

Mailing Address Line1 Mailing Address Line2

Mailing City **Mailing State** Mailing Zip Code1 Mailing Zip Code2

**Certification Type** DRF

**EMail** skemmerrer@san.rr.com

Area Code (858)Phone Number 484-2347 Fax Area Code (858) Fax Phone Number 484-8682

**Agency Name** DEPARTMENT OF TRANSPORTATION

Counties **Districts** 

**DBE NAICS** 541330; 541380; 541512; 541618;

**ACDBE NAICS** 

C8700 CONSULTANT; C8701 BUSINESS ADMINISTRATION; C8702 MANAGEMENT INFORMATION Work Codes

SYSTEMS; C8710 ENGINEERING; I7373 INTEGRATED SYSTEMS & CAD/CAM SYSTEMS; I8740

Firm is no longer in business.

MANAGEMENT & PUBLIC RELATIONS:

Licenses EC Civil Engineer; EGT Geotechnical Engineer;

Gender

HISPANIC Ethnicity DBE Firm Type

Firm ID

30152

Firm/DBA Name Address Line1

L.K.S. & ASSOCIATES 10387 RUE FINISTERRE

Address Line2

SAN-DIEGO

City State Zip Code1

CA 92131

Zip-Code2

Mailing Address Line1

Mailing Address Line2 Mailing City Mailing State Mailing Zip Code1 Mailing Zip Code2

**Certification Type** DBE

**EMail** 

Area-Code (-858-)Phone Number 530-9988 Fax Area Code (-858-)Fax Phone Number 530-9922

Agency Name DEPARTMENT OF TRANSPORTATION

Counties 13; 19; 30; 33; 36; 37; Districts 07; 08; 11; 12;

**DBE-NAICS** 238990; 541330; 541512; 541618; 541820;

**ACDBE NAICS** 

C8700 CONSULTANT; C8701 BUSINESS ADMINISTRATION; C8702 MANAGEMENT INFORMATION SYSTEMS; C8703 TRAFFIC ENGINEER; C8707 FEASIBILITY STUDIES; C8710 ENGINEERING; C8711 COMPUTER, C8716 ARCHITECTURAL ENGINEER; C8720 CIVIL ENGINEERING; C8730 SAFETY STUDIES;

C8742 MECHANICAL ENGINEERS; 17373 INTEGRATED SYSTEMS & CAD/CAM SYSTEMS; 18740

MANAGEMENT & PUBLIC RELATIONS:

Licenses Gender

Work-Codes

**Ethnicity CAUCASIAN** Firm-Type DBE

Firm ID

Firm/DBA Name

LEPORE CONSULTING

No website available to verify services offered/specialty. No previous relationship w/ this firm.

Address Line1

279 STRATFORD CT

Address Line2

DEL MAR CA 92014

Zip Code1 Zip Code2

City

State

Mailing Address Line1 Mailing Address Line2

Mailing City Mailing State Mailing Zip Code1 Mailing Zip Code2

**Certification Type** DBF

**EMail** lisalepore@yahoo.com

Area Code (858)**Phone Number** 259-1062 Fax Area Code (858)Fax Phone Number 259-1062

DEPARTMENT OF TRANSPORTATION **Agency Name** 

Counties 00: **Districts** 

**DBE NAICS** 531210; 541330; 541490;

**ACDBE NAICS** 

C8703 TRAFFIC ENGINEER; C8704 ARCHITECTURAL; C8705 DESIGN; C8707 FEASIBILITY STUDIES; Work Codes C8716 ARCHITECTURAL ENGINEER; C8720 CIVIL ENGINEERING; C8730 SAFETY STUDIES; C8742

MECHANICAL ENGINEERS; C8750 REAL ESTATE; H6531 REAL ESTATE APPRAISERS & BROKERS;

Previous relationship with this firm. They are a

toxicology. These services are not required

water quality laboratory specializing in

for this proposal. Firm not contacted

Licenses

Gender

CAUCASIAN Ethnicity

Firm Type DBE

Firm ID

Firm/DBA Name NAUTILUS ENVIRONMENTAL, LLC Address Line1 5560 MOREHOUSE DRIVE., SUITE 150

Address Line2

City SAN-DIEGO State CA Zip Code1 92121 Zip Code2

Mailing Address Line1

Mailing Address Line2

Mailing City

Mailing State Mailing Zip Code1 Mailing Zip Code2

Certification-Type

**EMail** inpo@nautilusenvironmental.com

(-858-) Area Code **Phone Number** 587 7333 Fax Area Code (-858-)Fax Phone Number 587-3961

DEPARTMENT OF TRANSPORTATION Agency Name

Counties 13; 19; 30; 33; 37; 42; 56; 05; 07; 08; 11; 12; Districts 541380; 541620; 541690; **DBE NAICS** 

**ACDBE NAICS** 

18730 RESEARCH & TESTING SERVICES; 18734 LABORATORY TESTING AND ANALYSIS; J9510 Work-Codes

ENVIRONMENTAL QUALITY;

Licenses Gender

CAUCASIAN **Ethnicity** 

Firm Type

No website available to verify services

offered/specialty. No previous relationship w/

Firm ID

Firm/DBA Name Address Line1

30500 PAN ENVIRONMENTAL INC

11551 CORTE PLAYA LAS BRISAS, SUITE 110

Address Line2

City State Zip Code1 SAN DIEGO CA

92124 Zip Code2

Mailing Address Line1 Mailing Address Line2 Mailing City

Mailing State Mailing Zip Code1 Mailing Zip Code2 **Certification Type** 

DBF

**EMail** 

dbyrne@panenvironmental.com

Area Code (858) **Phone Number** 560-6585 Fax Area Code (858) Fax Phone Number 560-5962

**Agency Name** DEPARTMENT OF TRANSPORTATION

Counties Districts 00;

**DBE NAICS** 541511; 541620; 541690; 541710;

**ACDBE NAICS** 

Work Codes

C8706 DESIGN BRIDGES; C8707 FEASIBILITY STUDIES; C8722 ENVIRONMENTAL ENGINEER; I7371

Reviewed the firm's website (http://www.therzgroup.

com). Design water/wastewater facility design. This

service is not required for this proposal. Firm not

COMPUTER PROGRAMMING, 18730 RESEARCH & TESTING SERVICES, 18990 SERVICES, NEC.

contacted.

Licenses Gender Ethnicity

**ASIAN PACIFIC** 

Firm Type

DBF

GA

37390

SAN-DIEGO

Firm-ID

Firm/DBA-Name

R Z GROUP 9135 JUDICIAL DRIVE, APT 3422

Address Line1

Address Line2

City

State Zip Code1

92122

Zip-Code2 Mailing Address Line1 Mailing Address Line2

Mailing City Mailing State Mailing-Zip-Code1 Mailing Zip Code2

**Certification Type** DBE

**EMail** rzhang74@hotmail.com

Area Code (-858-)Phone Number 336-6547 Fax Area Code <del>(---)</del>

Fax Phone Number

Agency Name DEPARTMENT OF TRANSPORTATION

Counties 19; 30; 33; 36; 37; 56; Districts 07; 08; 11; 12; **DBE NAICS** 541330; 924110;

**ACDBE NAICS** 

Work Codes

C8700 CONSULTANT; C8720 CIVIL ENGINEERING; C8722 ENVIRONMENTAL ENGINEER;

Licenses Gender

EC Civil Engineer;

**Ethnicity** 

ASIAN-PACIFIC

Firm Type

DBE

Familiar with the firm's principal from her previous position at

Haley & Aldrich. Reviewed her firm's website (http://www.

roreinc.com/). Provide environmental (Registered PE/PG.

construction services. The services offered are not required

Previous relationship with this firm. Architectural services

not required for this proposal. Firm not contacted for this

CIH, CAC), engineering (ArcGIS, AutoCAD), and

for this proposal.

opportunity.

Firm-ID Firm/DBA-Name Address-Line1

Address Line2

City State Zip-Code1 Zip-Code2

Mailing Address Line1 Mailing Address Line2

Mailing-City
Mailing-State
Mailing-Zip-Code1
Mailing-Zip-Code2
Cortification Type

Certification-Type DBE

EMail gmurthy@roreinc.com

RORE, INC.

SAN DIEGO

GA

92109

2239

1640-COLLINGWOOD-DR

 Area-Code
 (-858-)

 Phone-Number
 483-8625

 Fax-Area-Code
 (--)

Fax Phone Number

Agency Name CITY OF LOS ANGELES

Counties 00; Districts 00;

**DBE NAICS** 541620; 541690;

ACDBE NAICS

Work-Codes

18990 SERVICES, NEC; J9510 ENVIRONMENTAL QUALITY;

Licenses Gender

nder

Ethnicity ASIAN SUBCONTINENT

F.

Firm Type D

Firm ID 37824

Firm/DBA Name SEMA ARCHITECTS
Address Line1 SEMA ARCHITECTS
3640-TORREY VIEW COURT

Address-Line2

 City
 SAN-DIEGO

 State
 CA

 Zip-Code1
 92430

Zip-Code1 Zip-Code2

Mailing Address Line1 Mailing Address Line2

Mailing-City Mailing-State Mailing-Zip-Code1 Mailing-Zip-Code2

Certification-Type DBE

EMail sema@semarch.com

 Area Code
 (858-)

 Phone Number
 205-4370

 Fax Area Code
 (858-)

 Fax Phone Number
 724-2727

Agency Name DEPARTMENT OF TRANSPORTATION

Counties 90%

Districts 00

**DBE NAICS** 541310; 541340; 541440; 541430; 541490;

**ACDBE NAICS** 

Work-Codes C8704-ARCHITECTURAL;

Licenses ARC-Architect;

Gender F
Ethnicity GAUCASIAN
Firm Type DBE

09/18/2009

Firm ID

37539

Firm/DBA Name Address Line1

THE ECOLOGIC STUDIO LLC 649-VALLEY AVENUE, SUITE B

649-VALLEY AVENUE, SUITE B

ckeith@theecologicstudio.com

Address Line 2

City

SOLANA BEACH

SOLANA-BEACH

State Zip Code1 CA 92075

C,A

92075

DBE

(-858-)

(-858-)

335-3757

Zip Code2 Mailing Address Line1

Mailing Address Line2 Mailing City

**Mailing State** Mailing Zip Code1

Mailing Zip Code2

Certification Type

**EMail** Area Code

**Phone Number** Fax Area Code Fax Phone Number

Agency-Name

Counties

Districts DBE NAICS 689-9319 DEPARTMENT OF TRANSPORTATION

contacted.

Unfamiliar with this firm, Reviewed their website

sustainable design project management/LEED

building project consulting. These services are

not required for this proposal. Therefore, firm not

(www.theecologicstudio.com). They provide

38; 39; 40; 41; 42; 43; 44; 46; 56; 01; 02; 03; 04; 05; 06; 07; 08; 09; 10; 11;

541310; 541350; 541410; 541618; 541620; 541690; 611691; 611699;

ACDBE NAICS

Work-Codes

C8700 CONSULTANT; C8704 ARCHITECTURAL; C8705 DESIGN;

Licenses ARC-Architect:

Gender

**Ethnicity** CAUCASIAN Firm Type

Firm ID

GΑ

92122

DBE

SAN DIEGO

Firm/DBA Name Address-Line1

VERA-BERGER, PH.D., P.E. 4020 PORTE DE PALMAS #41

Address Line 2

City State Zip Code1 Zip Code2

Mailing Address Line1 Mailing Address Line2

Mailing-City Mailing-State Mailing-Zip-Code1 Mailing Zip Code2

**Certification Type** 

**EMail** 

Area-Code (-858-)**Phone Number** 464-1952 Fax Area Code (-855-)

Fax Phone Number **Agency Name** 

DEPARTMENT OF TRANSPORTATION

Counties 00; Districts OO: **DBE-NAICS** 541330

ACDBE NAICS

Work Codes

C8703 TRAFFIC ENGINEER; C8707 FEASIBILITY STUDIES; C8716 ARCHITECTURAL ENGINEER; C8720

CIVIL ENGINEERING; C8730 SAFETY STUDIES; C8742 MECHANICAL ENGINEERS;

Licenses Gender

EA Architectural Engineer; EGT Geotechnical Engineer; E

Ethnicity

CAUCASIAN

Firm Type

DBE

Back To Query Form

# SUBCONSULTANTS LIST

# INFORMATION REGARDING SUBCONSULTANTS PARTICIPATION:

Subconsultant's List shall include name and complete address of all Subconsultants who will receive more than one half of one percent (0.5%) of the Prime Consultant's fee.

Proposer shall also submit subconsultant commitment letters on subconsultant's letterhead, no more than one page each, from subconsultants listed below to acknowledge their commitment to the team, scope of work, and percent of participation in the project.

Subconsultants shall be used for scope of work listed. No changes to this Subconsultants List will be allowed without prior written City approval.

NAME AND ADDRESS SUBCONSULTANTS	SCOPE OF WORK	PERCENT OF CONTRACT	DOLLAR AMOUNT OF CONTRACT	* MBE/ WBE/DBE/ DVBE/OBE	** WHERE CERTIFIED
Entech Northwest dba Entech Consulting Group 43410 Business Park Dr Temecula, CA 92590	Noise studies	2.5%	(1)	UDBE - DBE, MBE, WBE	UCP
Nordby Biological Consulting 5173 Waring Rd #171 San Diego, CA 92120	Biology	5%	(1)	OBE	
Konecny Biological Services 1501 E Grand Ave #2403 Escondido, CA 92027	Avian biology	2.5%	(1)	OBE SBE	
KTU+A 3916 Normal St. San Diego, CA 92103	Visual studies	1.5%	(1)	OBE SBE	
Rick Engineering Company 5620 Friars Rd San Diego, CA 92110	Hydrology / hydraulics, Project liaison	12%	(1)	OBE	
Tierra Environmental Services 9915 Businesspark Ave Suite C San Diego, California 92131	Cultural resources studies	2.5%	(1)	MBE	Caltrans
T.Y. Lin International 5030 Camino de la Siesta Suite 204 San Diego, CA 92108	Structural engineering	2.5%	(1)	OBE	

### Attachment II

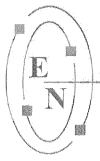
Urban Systems Associates 4540 Kearny Villa RD Ste 106 San Diego, CA 92123	Traffic engineering	6%	(1)	OBE	
Allied Geotechnical Engineers, Inc. 9500 Cuyamaca Street, Suite 102 Santee, CA 92071-2685	Geotechnical engineering	1.8 - 2.25%	(1)	UDBE - DBE, MBE	Caltrans

<sup>\*</sup> For information only. As appropriate, Proposer shall identify Subconsultants as:

Certified Minority Business Enterprise	MBE
Certified Woman Business Enterprise	WBE
Certified Disadvantaged Business Enterprise	DBE
Certified Disabled Veteran Business Enterprise	DVBE
Other Business Enterprise	OBE

<sup>\*\*</sup> For information only. As appropriate, Proposer shall indicate if Subconsultant is certified by:

City of San Diego CITY
State of California Department of Transportation CALTRANS



# ENTECH CONSULTING GROUP

PLANNING \* ENVIRONMENTAL \* CONSTRUCTION MANAGEMENT

October 5, 2009

Lorraine Ahlquist, M.S., CEM Regional Environmental Services Manager - Transportation RBF Consulting 9755 Clairemont Mesa Boulevard, Suite 100 San Diego, CA 92124

Re: City of San Diego As-Needed Environmental Planning Services Proposal

Dear Ms. Ahlquist:

Entech Consulting Group is pleased to participate with RBF Consulting as a part of the proposal team for El Camino Real Widening Project for the City of San Diego. Entech will provide air quality services for this project. Entech anticipates performing a minimum of 2.5% of the total contract value.

Entech's headquarter office is located in Temecula, California at 43410 Business Park Dr. Temecula, California 92590. Our local office has eight staff members, including management, technical and administrative personnel who have all worked together for a number of years. I will be the Project Manager for this project and we have a track record of delivering projects ontime and on-budget. I am confident that our environmental services will enhance the City's ability to delivery this project successfully. We can be reached at the following:

43410 Business Park Dr. Temecula, CA 92590 Telephone (951) 506-0055x303

Fax: (951) 506-0102

mjones@entechnorthwest.com

Thank you for the opportunity to join your team. We look forward to working with you.

Sincerely,

Michelle Jones

Whitelles & Jones

President



October 6, 2009

Ms. Lorraine Ahlquist RBF Consulting 9755 Clairemont Mesa Boulevard, Suite 100 San Diego, CA 92124

Subject:

**Letter of Commitment** 

El Camino Real Bridge/Road Widening – Environmental

Documentation

Dear Ms. Ahlquist:

Nordby Biological Consulting is pleased to commit its services to the RBF Consulting team for the El Camino Real Bridge/Road Widening — Environmental Documentation project. Nordby Biological Consulting will provide biological resources support for the project in the form of updating the project NES and resource agency coordination, and will assist RBF in the preparation of final mitigation plans, specifications and estimates per Option No. 1 of the request for Proposals. The level of participation is estimated to be approximately 5 percent, but will be based on the final negotiated scope of services and contract price should RBF be selected by the City of San Diego for this contract.

All work by Nordby Biological Consulting will be performed by Mr. Chris Nordby, President and Principal Biologist. Mr. Nordby has experience with similar City of San Diego bridge/road improvement projects, including past studies conducted in support of the current El Camino Real Bridge/Road Widening project.

Thank you for the opportunity to join the RBF Consulting team. I look forward to working with you.

Sincerely,

Chris Nordby

anday

President and Principal Biologist

# Konecny Biological Services

Biological Consulting, Research, Conservation

October 7, 2009

RBF Consulting Regional Environmental Services Manager - Transportation 9755 Clairemont Mesa Boulevard, Suite 100 San Diego, California 92124-1324

Attn: Ms. Lorraine Ahlquist

Re: El Camino Bridge/Road Widening NEPA Proposal

Dear Ms. Ahlquist:

Konecny Biological Services (KBS) is pleased to participate with RBF Consulting (RBF) as a part of the proposal team for NEPA documentation at the El Camino Real Bridge/Road Widening project for the City of San Diego. KBS will provide as-needed biological resource investigation and expertise on the light-footed clapper rail as required by task order assignments at a level of 2.5 % of total contract.

KBS is a sole proprietor and small business located at: 1501 East Grand Avenue, # 2403, Escondido, California, 92027. KBS has been in business since 1998.

KBS is prepared to commit the necessary resources and efforts in whatever manner appropriate to assure the successful completion of the proposed projects covered by this proposal. This letter serves as verification of commitment to provide environmental services as a member of the RBF team. Thank you for the opportunity to join your team. We look forward to working with you.

Sincerely,

John K. Konecny

Dolk Koney

Principal/Wildlife Biologist

Konecny Biological Services



3916 Normal Street San Diego, CA 92103 619.294.4477 fax • 619.294.9965 www.ktua.com RLA 2342 • 2386

October 13, 2009

Ms. Lorraine Ahlquist, M.S., CEM RBF Consulting 9755 Clairemont Mesa Blvd., Suite 100 San Diego, CA 92124

Re: Environmental Services for El Camino Real Bridge/Road Widening Environmental Documentation (H104890)

Dear Ms. Ahlquist,

KTU+A is pleased to join your team to provide assistance in the preparation of the visual impact assessment in accordance with FHWA guidelines for the El Camino Real Bridge/Road Widening project.

I will be responsible for the preparation of the visual technical study. I will be supported by KTU+A's team of 29 landscape architects, planners, graphic designers and CAD specialists. KTU+A's scope of work is anticipated to be approximately 1.5% of the contract total.

KTU+A is a small business enterprise, certified by the State of California and the Small Business Administration. We attest that the information contained in our submittal is truthful, accurate, and complete. We appreciate your interest in KTU+A and look forward to working with RBF Consulting should you receive a contract from the City of San Diego.

Respectfully submitted,

Michael L. Singleton, LEED AP, AICP, ASLA

California Landscape Architect 2386

Principal



October 7, 2009

Ms. Lorraine Ahlquist, MS, CEM Regional Environmental Services Manager - Transportation RBF Consulting 9755 Clairemont Mesa Boulevard, Suite 100 San Diego, California 92124-1324

RE: City of San Diego El Camino Real Bridge/Road Widening – Environmental Documentation Services Proposal (H104890)

Dear Ms. Ahlquist:

We are pleased to be a member of your team for the El Camino Real Bridge/Road Widening Environmental Documentation Project with the City of San Diego. We agree to provide civil engineering, water resources, and hydrology/hydraulics in accordance to the scope of services. We understand that our estimated percentage of work will be 12% of the total contract.

We look forward to working together with you. Please contact me if you require any additional information.

Sincerely,

RICK ENGINEERING COMPANY

Mr. Edgar Camerino, PE

Project Manager



October 12, 2009

Ms. Lorraine Ahlquist Regional Services Manager, Transportation RBF Consulting 9755 Clairemont Mesa Boulevard San Diego, CA 92124

Re: Cultural Resources Subconsultant Commitment to RBF Consulting for the City of San Diego Engineering and Capital Projects Department El Camino Real Bridge/Road Widening Project

Dear Ms. Ahlquist:

Tierra Environmental Services (Tierra) is pleased to be a part of your team and to offer professional services relevant to the City of San Diego El Camino Real Road/Bridge Widening Project. Tierra will dedicate qualified personnel to provide cultural resources services as required for the duration of the project.

Our firms level of participation is estimated to be approximately 2.5 percent, but will be based on the final negotiated scope of services and contract price should RBF be selected by the City of San Diego for this contract. Our availability for the needed services is one-hundred percent.

Tierra is committed to providing the required level of resources and expertise to the RBF Team and the City of San Diego to successfully achieve the goals set forth for this project.

Sincerely,

Patrick McGinnis, RPA Senior Archaeologist

Patrick Mª Himis



October 14, 2009

Ms. Lorraine Ahlquist, M.S., CEM Regional Environmental Services Manager - Transportation RBF Consulting 9755 Clairemont Mesa Boulevard, Suite 100 San Diego, California 92124-1324

Subject:

**Letter of Commitment** 

El Camino Real Bridge/Road Widening Environmental Documentation

Dear Ms. Ahlquist:

T.Y. Lin International (TYLI) is pleased to commit its services to the RBF Consulting Team for the El Camino Real Bridge/Road Widening Environmental Documentation project. TYLI will provide bridge engineering planning studies and consultation services. TYLI is estimated to receive 2.5% of the overall contract.

TYLI offers RBF Consulting and the City of San Diego the experience, dedication, and commitment to make this project a success. All TYLI work will be performed under the direction of Mr. Jim Rucker who has over 20 years of bridge engineering experience, including the preparation of the current El Camino Real Bridge planning studies.

Thank you for the opportunity to join the RBF Consulting Team. Should you have any questions, please do not hesitate to contact the undersigned.

Sincerely,

T.Y. Lin International

Joe Tognoli, PE
Vice President



CONSULTANTS TO INDUSTRY AND GOVERNMENT

December 2, 2009

Ms. Lorraine Ahlquist, M.S., CEM RBF Consulting 9755 Clairemont Mesa Blvd., Suite 100 San Diego, CA 92124

Re: Transportation Planning Services for El Camino Real Bridge/Road Widening Environmental Documentation

Dear Ms. Ahlquist,

Urban Systems Associates, Inc. is pleased to join your team to provide assistance in the preparation of the traffic engineering & planning in accordance with FHWA guidelines for the El Camino Real Bridge/Road Widening project.

I will be responsible for the preparation of the traffic assessment technical study. I will be supported by Urban Systems traffic specialists. Urban Systems scope of work is anticipated to be approximately 6% of the contract total.

Respectfully submitted.

Andrew P. Schlaefli, P.E.

Vice President



December 16, 2009

Ms. Lorraine Ahlquist, M.S., CEM RBF Consulting 9755 Clairemont Mesa Blvd., Suite 100 San Diego, CA 92124

Subject:

LETTER OF COMMITMENT

Environmental Services for El Camino Real Bridge/Road Widening

**Environmental Documentation (H104890)** 

Dear Ms. Ahlquist:

Allied Geotechnical Engineers, Inc. (AGE) is pleased to join your team to provide assistance in the preparation of the hazardous waste/Phase I assessment in accordance with FHWA guidelines for the El Camino Real Bridge/Road Widening project. AGE is committed to the provide geotechnical engineering support services to RBF Consulting for the preparation of the Phase I assessment technical study. AGE scope of work is anticipated to be approximately 1.2 to 1.5% of the total contract amount.

AGE is certified as a Small, Minority-owned and Disadvantaged Business Enterprise (S/M/DBE) under the California Unified Certification Program (CUCP). We attest that the information contained in our submittal is truthful, accurate, and complete. We appreciate your interest in AGE and look forward to working with RBF Consulting should you receive a contract from the City of San Diego.

Sincerely,

ALLIED GEOTECHNICAL ENGINEERS, INC.

Sani Sutanto, P.E. Senior Engineer

# SUBCONSULTANT SUMMARY DATA

(1) Legal Name & Address	(2) Address of Office Located in San Diego County	(3) # of Years Firm has Maintained Office in San Diego County	(4) # of Employees in San Diego County	(5) City of San Diego Business License #	(6) Name, Title, Address & Telephone # of Contact Person	(7) Approximate % Participation of Overall Project Cost
Entech Northwest dba Entech Consulting Group 43410 Business Park Dr Temecula, GA 92590	Not Applicable (NA)	NA	NA	NA	Michelle A. Jones President/Principal Engineer Entech Consulting Group 43410 Business Park Dr Temecula, CA 92590 951-506-0055 x303	2.5%
Nordby Biological Consulting 5173 Waring Rd #171 San Diego, CA 92120	5173 Waring Rd #171 San Diego, CA 92120	4	1	B2008013668	Chris Nordby President and Principal Biologist Nordby Biological Consulting 5173 Waring Rd #171 San Diego, CA 92120 619-582-2771	5%
Konecny Biological Services 1501 E Grand Ave #2403 Escondido, GA 92027	1501 E Grand Ave #2403 Escondido, CA 92027	11	· · · · · · · · · · · · · · · · · · ·	NA	John Konecny Principal/Wildlife Biologist Konecny Biological Services 1501 E Grand Ave #2403 Escondido, CA 92027 760-489-5276	2.5%
KTU+A 3916 Normal St. San Diego, CA 92103	3916 Normal St. San Diego, CA 92103	39	29	B1974008054	Mike Singleton Principal KTU+A 3916 Normal St. San Diego, CA 92103 619-294-4477 x134	1.5%
Glenn A Rick Engineering and Development Company 5620 Friars Rd San Diego, CA 92110	5620 Friars Rd San Diego, CA 92110	54	d on of	B1974001388	Edgar Camerino Associate Rick Engineering Company 5620 Friars Rd San Diego, CA 92110 619-291-0707	12%

1'8 - 5'52%	Mr. Tlong J. Liem President 9500 Cuyamaca Street, Suite 102 Santee, CA 92071 619-449-5900	AN	6	62	9500 Cuyamaca Street, Suite 102 Santee, CA 92071-2685	Ailled Geotechnical Engineers, Inc. 9500 Cuyamaca Street, Suite 102 Santee, CA 92071
%9	Andy Schlaefli Project Manager Urban Systems Associates 4540 Kearny Villa Rd Ste 106 San Diego, CA 92123 858-560-4911	<b>B</b> 1380169142	01	30	4540 Keamy Villa Rd Ste 106 San Diego, CA 92123	Urban Systems Associates 4540 Kearny VIIIa Rd San Diego, CA 92123
%S'Z	Jim Rucker Supervising Bridge Engineer T.Y. Lin International 5030 Camino de la Siesta San Diego, CA 92108	B2003017167	OÞ	TE.	5030 Camino de la Siesta Suite 204 San Diego, CA 92108	T.Y. Lin International 5030 Camino de la Siesta San Diego, CA 92108
%\$`Z	Patrick McGinnis Senior Archaeologist Tierra Environmental Services 9915 Businesspark Ave Suite C San Diego, California 92131 858-578-9064	B1993007833	9	91	9915 Businesspark Ave Suite C San Diego, California 92131	Tierra Environmental Services 9915 Businesspark Ave Suite C San Diego, California 92131

LPP 06-03

Page 17-25

July 21, 2006

STATE OF CALIFORNIA -- DEPARTMENT OF TRANSPORTATION DISADVANTAGED BUSINESS ENTERPRISES (DBE) CERTIFICATION STATUS CHANGE CP-CEM-2403(F) (New. 10/99) CONTACT NUMBER COUNTY ROUTE POST MILES ADMINISTERING AGENCY CONTRACT COMPETION DATE PRIME CONTRACTOR BUSINESS ADDRESS ESTIMATED CONTRACT AMOUNT Prime Contractor: List all DBEs with changes in certification status (certified/decertified) while in your employ, whether or not firms were originally listed for good credit. Attach DBE certification/Decertification letter in accordance with the Special Provisions CERTIFICATIO CONTRACT SUBCONTRACT NAME AND BUSINESS CERTIFICATION AMOUNT PAID ITEM NO. BUSINESS ADDRESS PHONE NUMBER WHILE DECERTIFICATI CERTIFIED ON DATE Letter attached \$ \$ \$ \$ \$ \$ \$ \$ Comments: I CERTIFY THAT THE ABOVE INFORMATION IS COMPLETE AND CORRECT CONTRACTOR REPRESENTATIVE SIGNATURE TITLE BUSINESS PHONE NUMBER DATE TO THE BEST OF MY KNOWLEDGE, THE ABOVE INFORMATION IS COMPLETE AND CORRECT RESIDENT ENGINEER **BUSINESS PHONE NUMBER** DATE Distribution Original copy -DLAE Copy -1) Business Enterprise Program 2) Prime Contactor 3) Local Agency 4) Resident Enginee



City of San Diego.

### ATTACHMENT KK

# **Equal Opportunity Contracting (EOC)**

1010 Second Avenue · Suite 500 · San Diego, CA 92101

Phone: (619) 533-4464 · Fax: (619) 533-4474

# WORK FORCE REPORT

### **ADMINISTRATIVE**

The objective of the *Equal Employment Opportunity Outreach Program*, San Diego Municipal Code sections 22.3501 through 22.3517, is to ensure that contractors doing business with the City, or receiving funds from the City, do not engage in unlawful discriminatory employment practices prohibited by state and federal law. Such employment practices include, but are not limited to unlawful discrimination in the following: employment, promotion or upgrading, demotion or transfer, recruitment or recruitment advertising, layoff or termination, rate of pay or other forms of compensation, and selection for training, including apprenticeship. Contractors are required to provide a completed Workforce Report (WFR).

### **CONTRACTOR IDENTIFICATION**

Type of Contractor:	<ul><li>□ Construction</li><li>☑ Consultant</li></ul>	☐ Vendor/Supplier☐ Grant Recipient	☐ Financial Institution☐ Insurance Company	☐ Lessee/Lessor ☐ Other
Name of Company: RBF Consu				
AKA/DBA: NA				
Address (Corporate Headquarters, v	where applicable): 1	4725 Alton Parkway		
City Irvine	Count	y Orange	State CA	Zip92618
Telephone Number: (949) 472-35	05	FAX Number	<u>: (949) 472-8373</u>	
Name of Company CEO: S. Robe	ert Kallenbaugh / Jar	nes E. McDonald		
Address(es), phone and fax number	r(s) of company facilities	es located in San Diego	County (if different from abo	ve):
Address: 9755 Clairemont Mesa	a Blvd., San Diego, 9	2124 / 5050 Avenida	Encinas, Ste. 260, Carlsba	d, 92008
City San Diego	Count	y San Diego	State CA	Zip_92124
Telephone Number: (858) 614-500	00	FAX Number	: (858) 614-5001	
Type of Business: Consulting Eng	ineer	Type of Licer	ise: NA	
The Company has appointed: Kath	erine Burdick			
as its Equal Employment Opportuni	ity Officer (EEOO). T	he EEOO has been giv	en authority to establish, disse	minate, and enforce
equal employment and affirmative a	action policies of this	company. The EEOO n	nay be contacted at:	
Address: 14725 Alton Parkway,	Irvine, CA 92618	- Adam to describe the second		
Telephone Number: (949) 472-350	05	FAX Number	: (949) 472-8373	
	☑ One San	Diego County (or Mo	ost Local County) Work For	ce - Mandatory
	☐ Branch V	Vork Force *		
	☐ Managin	g Office Work Force		
Check the box above tha	<del>-</del>	8		
		participating branches	Combine WFRs if more than	one branch per county
I, the undersigned representative of		F		
		(Firn	ı Name)	
San Diego	, , , , , , , , , , , , , , , , , , , ,	California		formation provided
(County)		(State)		
herein is true and correct. This doc	ument was executed or	n this15th	day ofDecember	, 2010
X. Lilhase	L.			
		Kathlee	<u>n Silhasek, Human Resour</u>	ces Manager
(Authorized Signat	ture)		(Print Authorized Signature)	

WORK FORCE REPORT – NA	AME O	FIRM	: RBF	Cons	sulting					D	ATE: _	12/15	<u>/2010</u>	
OFFICE(S) or BRANCH(E	S) <u>: Sa</u> ı	n Dieg	0						COUN	VTY: §	San Di	iego		
INSTRUCTIONS: For each of Total columns in row provided employed by your company or categories listed in columns be  (1) Black, African-American (2) Hispanic, Latino, Mexican-A (3) Asian, Pacific Islander (4) American Indian, Eskimo	I. Sum on either llow:	of all to a full o	otals sl or part	nould b	e equa easis. T (5) (6)	al to yo The foll Filipin White	our tota lowing	l worl group sian	of are to	Includ be in	e all th	in eth		
(4) American Indian, Eskimo  OCCUPATIONAL CATEGORY  (1) (2) (3) American (5) (6)  Hispanic Asian Indian Filipino White									(7) Other Ethnicities					
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*RBF does not ask employees representation.	s to self	-identi	fy as F	· ilipino	. Emp	oloyees	s of Fil	pino o	descent	t are in	cluded	in ou	L Asian	
Grand Total All Employees		109												
Non-Profit Organizations Only:						· · · · · · · · · · · · · · · · · · ·							<del></del>	***************************************
Board of Directors		1		<u> </u>		!								

Volunteers

Artists

# RBF CONSULTING'S SUPPLEMENT TO WORK FORCE REPORT

# RBF Consulting's Work Force Report

Since submitting the June 23, 2006 San Diego County Work Force Report, RBF had increased from 97 employees to a high in March of 2009 of approximately 142, primarily due to the acquisition of another engineering company, Hirsch & Company. That acquisition, which occurred on June 28, 2006, increased RBF staff by approximately 42 people. Since that time our population has remained relatively flat with minimal turnover and there has been little need to recruit through normal methods and hire individually. Where there has been opportunity to recruit, RBF has applied affirmative action in sourcing and hiring and continues to hire, retain, train and promote qualified women and minorities. We remain committed to the principals and achievement of equal opportunity employment and to investing in the activities, organizations and outreach described more fully below to achieve our Affirmative Action goals and objectives.

# RBF's Commitment to Equal Opportunity

RBF is committed to the recruitment, retention and promotion of qualified women and minorities. We believe that a diversely populated, managed and led company is a rich company – rich in knowledge, ideas, perceptions, points of view, creativity, understanding, respect and compassion. It translates to open communication and collaboration, constant innovation and quality assurance, client satisfaction and profitability.

RBF extends itself in all of the usual ways to hire, retain and promote women and minorities; in recruitment, through vast, easily accessible employment advertising and ensuring that the employment agencies that we work with – and the hiring managers at RBF – understand our commitment to affirmative action in recruiting, hiring and promoting women and minority candidates. However, RBF's youth and community outreach; approach to recruiting graduates; internal candidate referral program; immigration assistance program; RBF's training, education and professional development programs; RBF's involvement in discipline and diversity special interest groups; and our internal volunteer group, RBF Good Works, have made, and continue to make, a significant difference in the makeup of the firm, as described below.

<sup>1</sup> RBF Consulting also acquired a smaller, local, woman-owned traffic engineering and transportation planning firm called O'Rourke Engineering.

In March of 2009, RBF had approximately 142 employees, as reflected in RBF's March 11, 2009 Work Force Report. However, RBF had a reduction in staff in mid-March of 2009, which brought the total number of staff down to 133 (as reflected in the May 15, 2009 report). Since that date, there have been a few voluntary resignations, two market leader hires, an internal transfer from another RBF office and, unfortunately, additional reductions in staff throughout 2010, which brought the total staff number to 109 people, as reflected in the October 19, 2010 report. Also, a number of staff members who were in the "A&E" category have been re-classified as "Professionals", due to receipt of professional registrations.

# RBF's Outreach to Local Schools

We start our "recruiting" process early. Civil engineering and planning are not well understood by middle school or even high school students — mostly it's not even on their radar screen. When they pass through their daily world, they have no idea that planners and civil engineers planned, designed and built the roads they travel, the housing development they live in, the water supply to their house, the labyrinth of water/wastewater systems underneath their houses and streets, dams, storm water systems and bridges. They're all just there. You can't get excited about what you don't know about. RBF professionals (of all ages, minorities and women) get involved in educational programs in local middle schools, high schools, even grade schools, which mirror the diversity of the communities around us. They go into classes to teach students about our professions, host RBF office tours, and guide student field trips.

RBF is currently working with San Diego Unified School District – IDEA (Invention and Design Educational Academy) on a variety of outreach programs. We host day-long Job Shadow Days for IDEA students, as do our employees serve as guest speakers and presenters at IDEA. We participated in IDEA's Hire-A-Youth Internship Program this summer, where a high school student interned in our engineering, construction management, planning and GIS departments. Our engineers also serve on various high-school advisory committees, including the ACE Mentor Program in San Diego. Brian Oliver, one of our firm's Vice-Presidents, is currently serving on Patrick Henry High School's Engineering Academy Advisory Board.

RBF also routinely hosts high-school interns in semester-long internship programs from such schools as High Tech High, High Tech High International and Construction Tech Academy at Kearney High in San Diego.

# RBF's College and University Campus Outreach Program

Our college and university Campus Outreach program has been very successful in attracting women and minorities to the firm. RBF hired over 230 graduates and interns company-wide over the last four years. 34% of the 178 graduates hired in the last three years were minorities and 39% were women (some of these also minority). We visit about 20 campuses each year, many of which are accredited colleges of engineering that are recognized as having diverse student bodies, such as San Diego State University, Cal Poly Pomona, Cal State Fullerton, Cal State Long Beach and UC-Irvine, as well as the local community colleges, such as Cuyamaca Community College and Mira Costa Community College. Our representatives always include women and minority employees who came to RBF through the Campus Outreach program. They return to their college campuses to

tell stories of the career growth and opportunities that they have experienced at RBF, the kinds of projects that they are involved in and the responsibility they have been given, and attract soon-to-be graduates to our firm.

Not only does RBF recruit heavily from these schools, RBF's employees serve on their advisory boards, alumni association boards, adjunct staff and as mentors for senior design projects. Locally, John Harris of the San Diego office serves on the advisory board for San Diego State University's (SDSU) College of Civil and Environmental Engineering. He, along with others in the office, serves as mentors for SDSU's senior design projects. RBF also employs numerous college interns from schools such as these during the school and summer months.

# RBF Employee Referral Program and Immigration Assistance

We also have a modest employee referral program: \$500 to thank our people for referring friends and colleagues to the firm. Through referrals and employee involvement in bringing new people to RBF, we understand that the more female and multi-ethnic your company becomes, the more it continues to grow that way. RBF also began providing immigration assistance several years ago and we always have about a dozen people in some stage of the immigration process.

# RBF's Training, Education and Promotion Opportunities

RBF provides pro-active equal employment opportunity for all employees in training, education and promotion opportunities. RBF focuses on mentoring, training and education, and reviews the performance and career growth and goals of every employee every six months. There is a tremendous emphasis on employee development and leadership development — our philosophy being that the more we invest in our people, the stronger, and more successful the company will be. One of our well-understood Core Principles is that "We are each other's greatest resource." There is a huge emphasis on helping one another to be successful — it is recognized in our performance evaluation process and in our Excellence in Teamwork and Mentorship Awards Programs.

RBF is built around one profit center so there is no internal competition. This may not sound like a retention element, but it allows a free and open exchange of ideas and collaboration, and fosters creativity and initiative. If there are no walls in the basic organizational structure of the company, there are fewer walls among individuals and everyone has relatively the same opportunity to access our experts, be heard, be recognized and grow their careers. It also underscores the firm's strong commitment to one of our Core Principles that "We are each other's greatest resource."

RBF is committed to working in partnership with each employee to achieve excellence in their individual roles, develop organizational understanding and involvement, and provide opportunities for career and professional growth and satisfaction. RBF's Professional Development and Performance Evaluation Program provides all staff with meaningful performance feedback and promotes individual professional development coaching. The programs helps employees chart their future direction and plan the education, training, experience and exposure they will need along the way to achieve their goals. Employees provide their managers with draft professional development plans and written input prior to their reviews twice each year so that semi-annual face-to-face review discussions are meaningful dialogues. In this way, our employees are able to develop plans for their futures in common understanding and with the full support, approval and partnership of their supervisors.

RBF's formal Professional Development planning program enables all employees, in collaboration with their supervisors to establish, review and adjust their career goals and action items and timetables to achieve them. Obtaining educational degrees, technical training and training certifications, coupled with performance achievement, is recognized in pay progression, incentive plan rewards and increased career opportunity within the organization. Managers from each office and leaders of each discipline gather twice annually, in one room, to review every single employee within the company individually, and discuss salary and promotion recommendations. This is done to ensure fair treatment and respect from a career standpoint, from a personal standpoint and from a company-wide perspective.

RBF's continuing education programs offer employees the opportunity to further their technical education, complete or earn additional college degrees, complete higher degree programs, attend in-house education, take custom-designed seminars and undertake leadership development programs. Below is just a sampling of the training and educational opportunities provided by RBF to our employees:

education through an extensive and generous education assistance program that applies to all employees within the firm. Employees wishing to further their education to achieve additional or higher degrees, certificates or technical knowledge are supported by RBF financially and from a career standpoint. The courses and programs selected by the employee and authorized by his or her supervisor as being directly related to the employee's career at RBF are paid for (including college tuition) by the company as a part of a scholarship program with a course completion of a "B" or better.

- The University of RBF: The University of RBF is the umbrella organization that offers over 100 courses for employees. The University of RBF course catalog is available on our internal website, the RBF Intranet, which is available to all employees. All employees can browse the course catalog on the Intranet, as can they complete a Training Interest Form on the Intranet, requesting training and courses offered both in and outside of the course catalogue. Employee's supervisors and our Professional Development representatives are automatically advised of employees' training requests via email upon the employee's completion of this form.
- **RBF Academy**: This in-house multi-disciplinary training program is held annually to offer RBF employees education regarding the full spectrum of multi-disciplinary services offered by the firm. The Academy consists of 6-8 modules and is held in all RBF offices either live or by videotape. This program allows all employees to gain a full understanding of the firm's breadth of capabilities, technology and geographical scope, which, in turn, allows them to plan their careers in the focus areas best suited to their interests.
- RBF Center for Excellence: The Center for Excellence was installed as a training center at RBF for technology training within the firm. The Center for Excellence is a full classroom setup with computers that allow groups of 12-20 participants to take part in training activities. Experts are brought in to teach sessions, and RBF technical experts are called upon to lead classes in the Center for Excellence. Some of these classes include: General Software Training; CAD Orientation; CAD Training; Autodesk Land Desktop Fundamentals; Autodesk Raster Design; Microstation Courses; Civil 3D Courses; and InRoads Basic Design.
- Computer Skills Training Program: Online and live training programs are available to all RBF team members to enhance their computer skills. Some of these training programs include: Access; Excel; Internet Explorer; PC Skills; PowerPoint; Windows, Word; and RBF Academy.
- In-House Programs/Technical Training: In-house programs done on a department-by-department basis are undertaken individually based on specific discipline needs. Additional in-house seminars and team building events are provided, including monthly seminars in Land Development, Water Resources, Transportation Planning and other RBF Departments. These seminars include Construction Staking, Entitlement and Signal Design, among others.

- **RBF Institute**: RBF Institute is designed to improve the broad knowledge of employees through a variety of employee-taught training and development classes. Classes are open to all employees and range from administrative to technical development. Recent RBF Institutes have included classes on Water Desalinization; Marketing and Business Development techniques and Green Design.
- P.E. Review Course: To formally encourage professional registration of engineers, RBF sponsors an in-house Professional Engineering Review Course (PE Review Course). This course is offered at no cost to RBF employees. The 13-week, Saturday course is taught by RBF experts and invited instructors. A large number of RBF professionals and experts from outside the company (i.e., public agencies and colleague firms) complete this program each year. In providing this resource, RBF participates in the professional development of RBF employees while reaching beyond the firm to other professionals in the field. In addition, RBF pays for professional registration review course and offers many incentives for achieving professional registration. A Professional Milestone bonus of \$1000-1500 is offered when any employee achieves his or her PE, AICP, PLS or other significant professional registration. These team members are also recognized via press releases in local and regional publications and employee publications.
- Leadership Development Programs: RBF has developed an extensive and highly sought-after series of Leadership Development Programs. The first program is a six-day course that provides new and upcoming project managers with the information, tools and skills they need to successfully bring a project to completion, including contract negation, communication, controlling scope creep and improving writing skills. The second program is a two-day course that focuses on developing project managers into better leaders and mentors. It focuses on mentoring and coaching, risk management, developing client relationships and further negotiation and communication training.
- Supervisor Training Courses: Supervisors also receive additional training in preparing, writing and conducting performance evaluations, coaching professional development planning, creating a respectful environment and harassment prevention and interviewing. Our Human Resources managers specifically train our hiring and managing supervisors on RBF's commitment to affirmative action in recruiting, retaining and promoting women and minority candidates and employees.

- Toastmasters International: RBF began its own Toastmasters International group to accommodate the growing needs or employees to improve their communication skills and presentation abilities. All new members of the RBF team are invited to join the group within their first year, if they wish to improve in these areas. RBF offices hold meetings weekly or bi-weekly.
- Graduate Orientation Program: Every year RBF brings together all new graduates from all of our offices that have joined the firm in the prior 12 months for the Annual Grad Orientation and Event. During this two-day program, our experts expose these new graduate professionals to all of the planning, engineering, survey and construction management services that RBF offers. The orientation typically involves about 100 individuals and a myriad of team building exercises and field trips. The grads make a presentation to a mock planning commission and of our clients, all culminating in a seaside dinner with the Executive team. professionals come away with a basic understanding of what is involved in developing an RBF project from concept to construction and how one project can literally involve every discipline in the company. importantly, they emerge from this orientation experience with a better understanding of the depth of resources around them, more closely connected to one another and with newly forged relationships that will serve them throughout their RBF careers. In 2008, 11 universities were represented. Twenty-two graduates company-wide took part in the program.

RBF has been recognized nationally and locally for its exceptional training, education and professional development programs. In 2005, RBF was selected by CE News Magazine as "The #1 Best Firm to Work For" in the nation and again in 2006, RBF was ranked #2. RBF has recently been recognized as one of the Best Places to Work in San Diego by the San Diego Business Journal for four consecutive years (2007 – 2010), as were we honored in both 2007 and 2008 with a Peak Performance Award given by National University's Center for Organizational Excellence, in conjunction with The San Diego Daily Transcript. These awards underscore RBF's pro-active equal opportunity commitment to working in partnership with each employee to achieve excellence in their individual roles, develop organizational understanding and involvement, and provide opportunities for career and professional growth and satisfaction.

## RBF Involvement in Discipline and Diversity Special Interest Groups

RBF encourages all team members to join and actively participate in technical and professional societies – many of which are diversity special interest groups. RBF

pays for membership to one professional organization for any employee, and multiple organizations as needed. During RBF's formal Professional Development planning program, employees are encouraged to not only participate, but to take on leadership roles with professional organizations to enhance their own professional development and leadership skills. The best encouragement is to lead by example. The senior executives at RBF are all actively involved in technical and professional societies. Professional society participation and leadership receives internal publicity in the company newsletter and on the RBF Intranet, as well as in community and industry publications.

RBF's mentoring program also encourages professional organization activity by informing newer employees about the professional organizations in which they can participate. Managers within RBF are responsible for inviting newer employees to attend technical and professional society events, giving them the opportunity to become involved very early in their careers.

Valuable information regarding professional organization involvement is contained and continually updated in an online database. On the RBF Intranet, employees can find information and links to professional organizations as well as view, which team members belong to their organization and are involved in the leadership. A Professional Organization Calendar is also posted on the Intranet. All employees have access to the calendar, which includes event information, and, in many cases, a link for additional information and reservations. Professional society and technical links are also provided to all who visit the RBF website as an industry outreach. Top professional societies are listed with links, as well as a myriad of technical links.

Locally, RBF employees are involved in a variety of discipline and diversity special interest groups, such as SWE (Society of Women Engineers) and Women's Transportation Seminar (WTS), as well as American Society of Civil Engineers (ASCE) and SAME (Society of Military Engineers), as such organizations provide RBF with an avenue to attract qualified diverse candidates. For example, Lorraine Ahlquist of our Environmental Planning department is the Communication Chair of the San Diego chapter of WTS; Dawn Wilson of our Transportation department is the Secretary of the Institute of Transportation Engineers (ITE) and member of the San Diego chapter of WTS; and Monica Kling of our Environmental Planning/Transportation department serves as Vice-President of Programs for the San Diego chapter of the Association of Environmental Planners (AEP).

RBF pays for employees' memberships dues in these groups and time for their participation in the organization meetings and activities, as does RBF contribute to and award scholarships sponsored by organizations like these.

## **RBF Good Works**

One important way that RBF creates and encourages an environment that is sensitive to all aspects of diversity is through the RBF Good Works. RBF Good Works is a program that was started by two employees several years ago. The program assists all RBF staff in sharing information about opportunities for community service and organizing hands-on service activities. Many RBF employees give their time, knowledge and experience to dozens of community service programs throughout the year. These programs range from beach and neighborhood clean-ups and re-vegetation/restoration projects, to youth mentoring, teaching ESL, volunteering at hospitals and working in homeless shelters, to name a few. It is not the intent or purpose of this program to recommend or promote any particular community service(s) but rather, to share information about community service organizations and efforts that RBF staff are involved in, and to link those in the RBF family who may have similar interests.

Below is just a sampling of the local volunteer outreach activities that the San Diego Good Works group has organized in the past few years:

- St. Vincent de Paul /Fr. Joe's Village: Good Works members, their family members and friends ("Good Workers") regularly donate their time serving meals to those at this shelter. Good Works also organizes Blanket, Coat and Sleeping Bag drives in conjunction with serving meals at this shelter.
- Jenna Druck Foundation Young Women's "Spirit of Leadership" Conference: Good Workers participate in this annual conference, which is designed to create "mentor" relationships between professional women in a variety of fields with a diverse group of over 500 young women of high school age who exhibit leadership potential.
- Ronald McDonald House Charities of San Diego: Good Workers donate their culinary efforts and time serving meals (while also providing musical entertainment) to those families staying here when their young family members are at the hospital.
- Mira Mesa Library "Homework Helpers": Good Workers volunteer their time at local libraries after work during the work week to help tutor children in reading and math.
- **Facelift Project**: Good Workers help senior citizens, disabled persons and low-income families in City Heights that need assistance paint the exterior of their homes, provide minor landscaping and remove trash and debris from the area.

- Angel's Depot: Good Works organizes regular food drives for this organization, which feeds balanced box meals to seniors in need.
- San Diego Food Bank & Feeding America Food Bank: RBF Good Workers regularly volunteer at these food banks, where we have packed boxes of food for needy seniors, stacked palates of food to be delivered to local churches and shelters and sorted donated canned goods.
- Thanksgiving Food Drive: Good Works organized a Thanksgiving meal drive for local families in need of some extra help in order to ensure that their family members received a good meal at Thanksgiving. RBFers donated turkeys and all the traditional Thanksgiving fixings to each family, as well as gift cards to local grocery stores.
- Toys for Tots: During this annual event held in December, we collect new toys for needy children.
- La Casa Esperanza: Good Workers regularly donate time at this Tijuana orphanage. Good Works has also organized a "Back to School" and "Heart & Soles" drive to collect new school supplies and new shoes for the resident children.
- Tecolote Canyon Natural Park Restoration: Good Works organized a canyon clean up and restoration project at this local national park.
- I Love A Clean San Diego: Good Works organizes regular Beach, Bay and Creek clean-ups.
- "The Bookman" Used Book Drive: RBF Good Works held a book donation drive where all books will be donated to "The Bookman," a global charitable organization based in San Diego, CA. Operated with all volunteer labor, the organization gives away almost one million new and used books per year, primarily to the underprivileged, teachers and other charitable groups.
- Vocational Visions: Good Works organized and participated in Valentine's Day and St. Patrick's Day parties for the members of this center, which helps developmentally disabled adults find jobs.
- **Best Buddies Organization**: Good Workers volunteer their time with the Best Buddies Citizens program, which pairs people with intellectual disabilities in one-to-one friendships with other individuals in the corporate and civic communities.

- **Operation Home for the Holidays**: Good Works organized a drive to send Holiday Care Packages to the Sailors of Airwing 3 stationed onboard the USS HARRY TRUMAN over the holidays.
- The San Diego office contributes approximately 25-30 pints of blood during their quarterly blood drives with the **San Diego Blood Bank**.
- Cell Phone Drive for Soldiers: Good Works held a cell-phone "Spring Cleaning" drive where we collected old cell-phones for donation to U.S. Soldiers.

## CONSULTANT CERTIFICATION FOR A DRUG-FREE WORKPLACE

PROJECT TITLE:	El Camino Real Bridge/Road Widening -
	Environmental Documentation
•	am familiar with the requirement of San Diego City Council Policy No. 100-17 regarding as outlined in the request for proposals, and that:
RBF Consulting	
Name under which bu	siness is conducted
subcontract agreemen	se workplace program that complies with said policy. I further certify that each at for this project contains language which indicates the Subcontractors agreement to abide ection 4.9.1 subdivisions A through C of the policy as outlined.  Signed  Printed Name Jeffrey C. Barfield  Title Vice President  Date 12/20/2010

## INSTRUCTION SHEET FOR

# DISCLOSURE DETERMINATION FOR CONSULTANT (Form CC-1671)

Use the "Disclosure Determination for Consultant" form (CC-1671) to report the disclosure requirement for any consultant hired to provide services to the City of San Diego or the boards, commissions and agencies that fall under the City of San Diego's jurisdiction.

2 California Code of Regulations defines a "consultant" as an individual who, pursuant to a contract with a state or local government agency, either makes a governmental decision or serves in a staff capacity with the state or local government agency and in that capacity participates in making a governmental decision. For the complete definition of "consultant", refer to Government Code section 18701(a)(2). This section can be located at:

http://www.fppc.ca.gov/index.html?ID=52&r id=/legal/regs/18701.htm

The "Disclosure Determination for Consultant" form is completed for all consultants under contract with the City of San Diego or the boards, commissions and agencies that fall under the City of San Diego's jurisdiction. Please follow the step-by-step directions:

- 1. List the department, board, commission or agency requesting the consultant service.
- 2. List the consulting company. If known, also list the individual(s) who will be providing the consultant services.
- 3. List the mailing address.
- 4. List the e-mail address of individual(s) providing the consultant service.
- 5. Provide the date the individual(s) will start providing the consultant service.
- 6. List all duties/responsibilities the consultant will have. This list will enable you to determine the disclosure requirement for the consultant.
- 7. Determine the consultant's disclosure category. Your consultant should be required to disclose only those economic interests which could potentially create a conflict of interest as he/she performs his/her contractual obligations. For ideas about possible disclosure categories, review those in your department's, board's, commission's or agency's conflict of interest code, available at:

www.sandiego.gov/city-clerk/elections/eid/codes.shtml

Please fill out the entire "Disclosure Determination for Consultant" form, and have it signed by the appropriate authority. (Individuals with signing authority are described in your conflict of interest code as part of the disclosure requirement for Consultants.) Forward the original form to the City Clerk's Office, MS 2A.

## DISCLOSURE DETERMINATION FOR CONSULTANT

\*Must be signed by department director, agency president or other individual authorized by the appropriate conflict of interest code regarding consultants. Department / Board / Commission / Agency Name: 2. Name of Specific Consultant & Company: RBF Consulting 9755 Clairemont Mesa Blvd, Suite 100 3. Address, City, State, ZIP San Diego, CA 92124 E-mail Address: 5. Date of Assuming Office: Project Title (as shown on 1472, "Request for El Camino Real Bridge/Road Widening -Council Action") Environmental Documentation 7. Consultant Duties for Project: 8. Disclosure Determination [select applicable disclosure requirement]: Consultant will not be "making a governmental decision" or "serving in a staff capacity." 1 No disclosure required. - or -Consultant will be "making a governmental decision" or "serving in a staff capacity." Consultant is required to file a Statement of Economic Interests with the City Clerk of the City of San Diego in a timely manner as required by law. [Select consultant's disclosure category.] Full: Disclosure is required pursuant to the broadest disclosure category in the appropriate Conflict of Interest Code. Limited: Disclosure is required to a limited extent. [List the specific economic interests the consultant is required to disclose.] By: [Name/Title]\* [Date]

Once completed, with all questions answered and an authorized signature affixed, please forward the original form to the City Clerk's Office, MS 2A. Keep a copy with the contract.

CC-1671 (12/07)

## DEFINITION OF "CONSULTANT"

2 California Code of Regulations defines a "consultant" as an individual who, pursuant to a contract with a state or local government agency:

- (A) Makes a governmental decision whether to:
  - 1. Approve a rate, rule or regulation;
  - 2. Adopt or enforce a law;
  - 3. Issue, deny, suspend, or revoke any permit, license, application, certificate, approval, order, or similar authorization or entitlement:
  - 4. Authorize the City to enter into, modify, or renew a contract provided it is the type of contract that requires City approval;
  - 5. Grant City approval to a contract that requires City approval and to which the City is a party, or to the specifications for such a contract;
  - 6. Grant City approval to a plan, design, report, study, or similar item;
  - 7. Adopt, or grant City approval of, policies, standards, or guidelines for the City, or for any subdivision thereof; or
- (B) Serves in a staff capacity with the City and in that capacity participates in making a governmental decision as defined in Regulation 18702.2 or performs the same or substantially all the same duties for the City that would otherwise be performed by an individual holding a position specified in the City's Conflict of Interest Code.

An individual "serves in a staff capacity" if he or she performs substantially all the same tasks that normally would be performed by staff member of a governmental entity. In most cases, individuals who work on only one project or a limited range of projects for an agency are not considered to be working in a "staff capacity." The length of the individual's service to the agency is relevant. Also, the tasks over the relevant period of time must be substantially the same as a position that is or should be specified in the City's conflict of interest code.

An individual "participates in making a governmental decision" if he or she: (1) negotiates, without substantive review, with a governmental entity or private person regarding the decision; or (2) advises or makes recommendations to the decision-maker, by conducting research or an investigation, preparing or presenting a report, analysis or opinion which requires the exercise of judgment on the part of the individual and the individual is attempting to influence the decision.

Regulation 18701 (a)(2) <a href="http://www.ftppc.ca.gov/index.html?ID=52&r\_id=/legal/regs/18701.htm">http://www.ftppc.ca.gov/index.html?ID=52&r\_id=/legal/regs/18701.htm</a> 1/28/2006

SUBJECT:

SUSTAINABLE BUILDING POLICY

POLICY NO.:

900-14

EFFECTIVE DATE: May 20, 2003

## BACKGROUND:

Existing buildings and the building development industry consume nearly half of the total energy used in the United States. The City of San Diego's commitment to become increasingly efficient with resources, including energy, water, and materials associated with construction projects, is demonstrated in Council Policy 900-14 "Green Building Policy" adopted in 1997, Council Policy 900-16 "Community Energy Partnership," adopted in 2000, and the updated Council Policy 900-14"Sustainable Buildings Expedite Program" adopted in 2001.

On April 16, 2002, the Mayor and City Council adopted CMR 02-060 which requires City projects to achieve the U.S. Green Building Council's LEED silver standard for all new buildings and major renovations over 5,000 square feet. This places San Diego among the most progressive cities in the nation in terms of sustainable building policies.

As a participant in the International Council for Local Environmental Initiatives (ICLEI) Cities for Climate Protection Program, as a Charter member in the California Climate Action Registry and as an active member of the U.S. Green Building Council, the City of San Diego is committed to reducing greenhouse gas emissions by implementing more sustainable practices, including green building technologies.

## PURPOSE:

The purpose of this policy is to reassert the City's commitment to green building practices in City facilities, and to provide leadership and guidance in promoting, facilitating, and instituting such practices in the community.

## POLICY:

The following principles will be required for all newly constructed facilities and major building renovation projects for City facilities:

## LEED (Leadership in Energy and Environmental Design):

The LEED (Leadership in Energy and Environmental Design) Green Building Rating System is a voluntary, consensus-based national standard for developing high-performance, sustainable uildings. Members of the U.S. Green Building Council representing all segments of the building industry developed LEED and continue to contribute to its evolution.

The City of San Diego is committed to achieving LEED "Silver" Level Certification for all new City facilities and major building renovation projects over 5,000 square feet.

## SUSTAINABLE BUILDING MEASURES:

In addition to achieving LEED "Silver" Level Certification, Council Policy 900-14 encourages the following sustainable building measures for all newly constructed facilities and major renovation projects regardless of square footage:

- 1. Design and construct mechanical and electrical systems to achieve the maximum energy efficiency achievable with current technology. Consultants shall use computer modeling programs, (Energy Pro) to analyze the effects of various design options and select the set of options producing the most efficient integrated design. Energy efficiency measures shall be selected to achieve energy efficiencies at least 22.51% better than California's Title 24.2001 standards for both new construction and major renovation projects.
- 2. Incorporate self-generation using renewable technologies to reduce environmental impacts associated with fossil fuel energy use. Newly constructed City facilities shall generate a minimum of 10%, with a goal of 20% from renewable technologies (e.g., photovoltaic, wind and fuel cells).
- 3. Eliminate the use of CFC based refrigerants in newly constructed facilities and major building renovations and retrofits for all heating, ventilation, air conditioning and refrigerant-based building systems.
- 4. Incorporate additional commissioning and measurement and verification procedures as outlined by LEED 2.0 Rating System, Energy and Atmospheres, credit 3 and credit 5 for all projects over 20,000 sq. ft.
- 5. Reduce the quantity of indoor air contaminates that are odorous or potentially irritating to provide installer(s) and occupant(s) health and comfort. Low-emitting materials will include adhesives, paints, coatings carpet systems, composite wood and agri-fiber products.
- 6. In order to maximize energy efficiency measures within these requirements, projects will combine energy efficiency measures requiring longer payback periods, with measures requiring shorter payback periods to determine the overall project period.

7. Comply with the storm water development requirements in the Storm Water Management and Discharge Control Ordinance (Municipal Code § 43.03), and the City's grading and drainage regulations and implementing documents (MC § 142.01 and 142.02, respectively).

In addition to achieving the minimum sustainable building measure this Council Policy encourages the following measures be incorporated into newly constructed facilities and major renovation projects whenever possible:

- 1. Use high efficiency irrigation technology, drought tolerant native plants and recycled site water to reduce potable water for irrigation by 50%. Additionally, building water consumption should be reduced by 30%.
- 2. Limit disruption of natural water flows and minimize storm water runoff by minimizing building footprints and other impervious areas, increasing on-site infiltration, preserving and/or restoring natural drainage systems, and reducing contaminates introduced into San Diego's bays, beaches and the ocean.
- 3. Facilitate the reduction of waste generated by building occupants that is hauled to and disposed of in landfills. Provide an easily accessible area that serves the entire building and is dedicated to the separation, collection and storage of materials for recycling. Recycling should include paper, glass, plastic and metals at a minimum.
- 4. Incorporate building products that have recycled content reducing the impacts resulting from the extraction of new materials. Newly constructed City facilities shall have a minimum of 25% of building materials that contain in aggregate, a minimum weighted average of 20% post consumer recycled content materials.
- 5. Reduce the use and depletion of finite raw and long-cycle renewable materials by replacing them with rapidly renewable materials. Newly constructed City facilities should consider incorporating rapidly renewable building materials for 5% of the total building materials.
- 6. Establish minimum indoor air quality (IAQ) performance to prevent the development of indoor air quality problems in buildings, maintaining the health and well being of the occupants. Newly constructed City facilities will comply with IAQ by conforming to ASHRAE 62-1999.
- 7. City buildings will be designed to take the maximum advantage of passive and natural sources of heat, cooling, ventilation and light.

The Environmental Services Department, Energy Conservation and Management Division has been designated by this Council Policy as the clearing authority for issues relating to energy for the City of San Diego. The Energy Conservation and Management Division will enter into a Memorandum of Understanding with those City Departments who design, renovate and build new city owned facilities to insure all new City facilities reflect the intent of Council Policy 900-14.

## PRIVATE-SECTOR/INCENTIVES:

It shall be the policy of the City Council to expedite the ministerial process for projects which meet the following criteria:

- 1. Residential projects that provide 50% of their projected total energy use utilizing renewable energy resources, (e.g., photovoltaic, wind and fuel cells).
- 2. Commercial and industrial projects that provide 30% of their projected total energy use utilizing renewable energy resources, (e.g., photovoltaic, wind and fuel cells).
- 3. Residential and commercial and industrial projects that exceed the State of California Title 24 energy requirements by:
  - a. 15% better than California's Title 24.2001 for Residential Buildings.
  - b. 10% better than California's Title 24.2001 for Commercial and Industrial Buildings.

It shall be the policy of the City Council to expedite the discretionary process for projects which meet the following criteria:

- 1. Incorporate the U.S. Green Building Council, Leadership in Energy and Environmental Design (LEED) 2.0 Rating System "Silver" Level Certification for commercial development projects.
- 2. Incorporate self-generation through renewable technologies (e.g., photovoltaic, wind and fuel cells) to reduce environmental impacts associated with fossil fuel energy use for commercial and industrial projects generating a minimum of 30% or more of the designed energy consumption from renewable technologies such as photovoltaic, wind and fuel cells.
- 3. Residential discretionary projects of 4 units or more within urbanized communities as defined in the Progress Guide and General Plan that provide 50% of their projected total energy use utilizing renewable energy resources.

## HEALTH AND RESOURCE CONSERVATION:

- 1. Projects will be designed to avoid inflicting permanent adverse impact on the natural state of the air, land and water, by using resources and methods that minimize pollution and waste, and do not cause permanent damage to the earth, including erosion.
- 2. Projects will include innovative strategies and technologies such as porous paving to conserve water, reduce effluent and run-off, thus recharging the water table.
- 3. When feasible, native plants will be used in landscaping to reduce pesticide, fertilizer, and water usage.
- 4. Buildings will be constructed and operated using materials, methods, mechanical and electrical systems that ensure a healthful indoor air quality, while avoiding contamination by carcinogens, volatile organic compounds, fungi, molds, bacteria, and other known toxins.

5. Projects will be planned to minimize waste through the use of a variety of strategies such as: a) reuse of materials or the highest practical recycled content; b) raw materials derived from sustainable or renewable sources; c) materials and products ensuring long life/durability and recyclability; d) materials requiring the minimum of energy and rare resources to produce and use; and e) materials requiring the least amount of energy to transport to the job site.

## **OUTREACH / EDUCATION:**

- 1. An education and outreach effort will be implemented to make the community aware of the benefits of "Green Building" practices.
- 2. The City will sponsor a recognition program for innovative Green Building projects implemented in the public as well as private sector in an effort to encourage and recognize outstanding environmental protection and energy conservation projects.

## IMPLEMENTATION:

The City will seek cooperation with other governmental agencies, public interest organizations, and the private sector to promote, facilitate, and implement Green Building and energy efficiency in the community.

## LEGISLATION:

The City will support State and Federal legislation that promotes or allows sustainable development, conservation of natural resources, and energy efficiency technology.

## REFERENCES:

Related existing Council Policies: 400-11, Water Conservation Techniques 400-12, Water Reclamation/Reuse 900-02, Energy Conservation and Management 900-06, Solid Waste Recycling

## HISTORY:

Adopted by Resolution R-289457 11/18/1997 Amended by Resolution R-295074 06/19/2001 Amended by Resolution R-298000 05/20/2003

## City of San Diego

**EXHIBIT H** 

Consultant Performance Evaluation

The purpose of this form is to provide historical data to City staff when selecting consultants.

Section I

1. PROJEC	Γ DATA	2. CO	NSULTANT DAT	A	
1a. Project (title, location and CIP	$\mathrm{No.)}$ ;	2a. Name and address of Consultant:			
		,			
1b. Brief Description:		2b. Consultant's Project N	Manager:		
1c. Budgeted Cost:			Phone ( )		
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3a. Department (include division):		3b. Project Manager (add		an salah dan	
4. CC	ONTRACT DATA (DI	ESIGN AND CONSTI	RUCTION)		
4. Design					
4a. Agreement Date:	Resolution #		\$	hanna anna	
4b. Amendments: \$	/#	(City) \$	/#	(Consultant)	
4c. Total Agreement (4a. & 4b.): \$					
4d. Type of Work (design, study, etc.):	4e. Key Contract Completi		makidi pergulanin inmanda dalah ing kawasa a amujukan kalukunan dapak untukuna a arakturu	NAMAN ISI AMBO IP PINSIN PERIMBINAN KEMBUKKAN KAMBANAN PINSIN KAMBANAN KAMBANAN KAMBANAN KAMBANAN KAMBANAN KAMB	
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	Agreement Delivery				
	Acceptance				
5. Construction			1997 (1998) (1998) (1998) (1998) (1998) (1998) (1998) (1998) (1998) (1998) (1998) (1998) (1998) (1998) (1998)		
5a. Contractor	The second secon		Phone ( )		
	(name and addre	ss)			
5b. Superintendent					
5c. Notice to Proceed	(date)	5f. Change Orders:			
5d. Working days	(number)	Errors/Omissions Unforeseen Conditions	% of const. cos	t \$	
	many diving the above trades and plants to make high consense.	Changed Scope	% of const. cos	t \$	
5e. Actual Working days	(number)	Changes Quantities Total Construction Cost \$	% of const. cos	t \$	
6. O	VERALL RATING (P	lease ensure Section II is	completed)		
add and the control of the control o		Excellent	Satisfactory	Poor	
6a. Plans/specification accuracy	***************************************			and the contract of the contra	
Consistency with budget Responsiveness to City Staff			And	No. of Control of Cont	
6b. Overall Rating	4-74-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1		ATTENDED TO THE PARTY OF THE PA		
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7a. Project Manager			Date		
7b. Deputy Director			Date		
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## SPECIFIC RATINGS

PLANS / SPECIFICATION ACCURACY	EXCELLENT	SATISPACTORY	POOR	N/A	RESPONSIVENESS TO STAFF	EXCELLENT	SATISPACTORY	POOŘ	N/A
Plan/Specification clear and precise					Timely Responses	dynamica de la companya de la compa			aleconomica casas
Plans/Specs Coordination					Attitude toward Client and review bodies				
Plans/Specs properly formatted					Follows direction and chain of responsibility				
Code Requirements covered					Work product delivered on time				
Adhered to City Standard Drawings/Specs					Timeliness in notifying City of major problems		AND STATE OF THE S		
Drawings reflect existing conditions					Resolution of Field problems				
As-Built Drawings					CONSISTENCY WITH BUDGET	EXCELLENT	SATISFACTORY	POOR	N/A
Quality Design					Reasonable Agreement negotiation		t. Desperation resident de description de la constitución de la constitución de la constitución de la constitución		6000-0000-000
Change Orders due to design deficiencies are minimized					Adherance to fee schedule		en de la companya de		
	Para Padridir and Mile added do the America Bellevine procession				Adherance to project budget				
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(*	Supporti	ng docume	ntation	attache	ed yes no	)	, manage or surregion of \$100,000.		



# The City of San Diego Purchasing and Contracting Department Contractor / Vendor Registration

All prospective bidders, as well as existing contractors and vendors, are required to complete this form.

PER VICIL S	Vendor II	);					
	Santana and an and an		Olifficiani Dissippemble professioni	[	ID numbe	r will be	provided by City]
Firm Info:							
Firm Name:		RBF C	Consu	lting			
Doing Business As:							
Firm Address:	9	755 Clairen	nont l	Mesa Bl	vd.		
City:	San Diego			State:	CA	Zip:	92124
Phone:	858-614-5000	Fax:		85	8-614-500	1	
Taxpayer ID:	952247293	Business	Licer	nse:		B19920	004568
Website:		www	rbf.c	com			
Contact Info:							
Contact Name:		Dia	ne Eli	ias			
Title:	Bu	siness Deve	lopm	ent Asso	ciate		
Email:		delias	@rbf.	.com			
Phone:	858-614-5025 Cell:						
☐ Alternate Add	lress (if different from above) to I	Receive R	emit	tance:			
Mailing Address:		Same	as ab	ove			
City:	State: Zip:						
☐ Alternate Ado	lress (if different from above) to I	Receive Bi	id/C	ontrac	t Oppor	tunitie	es:
Mailing Address:		Same	as ab	ove			
City:				State:		] Zip: [	
Contractor Lice	nses (If applicable)						
License Number:		License Ty	pe:				44
License Number:		License Ty	pe:				
License Number:		License Ty	ne:				

## **Contractor/Vendor Registration Form – Page 2**

Firm Name:	RBF Consulting				
Product/Service	s Description:				
	Civil Engineering Traffic Engineering Community Outreach Transportation Planning Water / Wastewater Engineering Stormwater Management Hydrology and Hydraulic Studies CCTV Inspection and Condition Assessment Civil Structures (bridges, earthen, flood control) Land Planning Environmental Planning (CEQA / NEPA) Urban Design Landscape Architecture Land Surveying and Mapping Geographic Information Systems Construction Management				
Product/Service	s Information:				
NAICS Codes:	* 541330,541310,541370,541320,541380, 541320				
	*find list of available NAICS Codes at http://www.census.gov/epcd/www/naics.html and select 2007 NAICS codes 6 digit only OR request hard copy from Purchasing & Contracting  The City requires this information for statistical purposes only.				
Primary Owner of Firm (51% ownership or n	Female or Partnership				
Ethnicity:					
Ethnicity: * Car	ucasian American				
	* select one from the following List of Ethnicities  AFRICAN AMERICAN ASIAN AMERICAN CAUCASIAN AMERICAN HISPANIC AMERICAN NATIVE AMERICAN PACIFIC ISLANDER AMERICAN				

## Contractor/Vendor Registration Form - Page 2

Classification:

\* OBE

<sup>\*</sup> select from the following List of Ownership Classification Codes (select all that apply):

	WBE	(Woman Owned Business Enterprise)
✓	OBE	(Other Business Enterprise)
	DBE	(Disadvantaged Business Enterprise)
	DVBE	(Disabled Veteran Business Enterprise)
	SLBE	(Small Local Business Enterprise)
	8(a)	(Small Business Administration 8(a) Enterprise)
	SDB	(Small Disadvantaged Business Enterprise)
	LBE	(Local Business Enterprise)
	MLBE	(Micro Local Business Enterprise)
	SBE	(Small Business Enterprise)
	MBE	(Minority Business Enterprise)
	DPBT	(Persons With a Disability or Disabilities Business Enterprise)
	LGBT	(Lesbian, Gay, Bisexual, Transsexual Business Enterprise)

Information regarding a vendor's racial or gender ownership status will not be used as a factor in the City's selection process for any contract.

Certified by an	Agency? [	⊠ No [	Yes (enter Certification Number	and Certifying Agency below)
Certification #:				
Agency:				
Certification #:				
Agency:		****		

Information regarding a vendor's racial or gender ownership status will not be used as a factor in the City's selection process for any contract.

Please mail this form to: Purchasing & Contracting Department

1200 Third Avenue, Suite 200

San Diego, CA 92101

or fax to: 619/236-5904

## Contractor/Vendor Registration Form - Page 2

# City of San Diego Purchasing & Contracting Department CONTRACTOR STANDARDS Pledge of Compliance

Effective December 24, 2008, the Council of the City of San Diego adopted Ordinance No. O-19808 to extend the Contractor Standards Ordinance to all contracts greater than \$50,000. The intent of the Contractor Standards clause of San Diego Municipal Code §22.3224 is to ensure the City of San Diego conducts business with firms that have the necessary quality, fitness and capacity to perform the work set forth in the contract.

To assist the Purchasing Agent in making this determination and to fulfill the requirements of §22.3224(d), each bidder/proposer must complete and submit this *Pledge of Compliance* with the bid/proposal. If a non-competitive process is used to procure the contract, the proposed contractor must submit this completed *Pledge of Compliance* prior to execution of the contract. A submitted *Pledge of Compliance* is a public record and information contained within will be available for public review for at least ten (10) calendar days, except to the extent that such information is exempt from disclosure pursuant to applicable law.

All responses must be typewritten or printed in ink. If an explanation is requested or additional space is required, respondents must use the *Pledge of Compliance Attachment "A"* and sign each page. The signatory guarantees the truth and accuracy of all responses and statements. Failure to submit this completed *Pledge of Compliance* may make the bid/proposal non-responsive and disqualified from the bidding process. If a change occurs which would modify any response, Contractor must provide the Purchasing Agent an updated response within thirty (30) calendar days.

## A. PROJECT TITLE:

El Camino Real Bridge/Road Widening	— Environmental Docur	nentation	
B. BIDDER/CONTRACTOR INFORMATION	ON:		***************************************
RBF Consulting		N/A	
Legal Name		DBA	
9755 Clairemont Mesa Blvd.	San Diego	CA	92124
Street Address	City	State	Zip
Lorraine Ahlquist, Project Manager	858-614-5044	858-614-500	01
Contact Person, Title	Phone	Fax	

## C. OWNERSHIP AND NAME CHANGES:

1. In the past five (5) years, has your firm changed its name?

□ res	<b>★</b> NO
	e of Compliance Attachment "A" to list all prior legal and DBA names, addresses and dates when used. Explai ons for each name change.

2. In the past five (5) years, has a firm owner, partner or officer operated a similar business?

☐ Yes X No

If **Yes**, use *Pledge of Compliance Attachment "A"* to list names and addresses of all businesses and the person who operated the business. Include information about a similar business only if an owner, partner or officer of your firm holds or has held a similar position in another firm.

on	<b>BUSINESS ORGANIZATIO</b> this page. Use <i>Pledge of Col</i>			al structure of your firm. Check one only equired.			
X	➤ Corporation Date incorporated: 11/22/1944 State of incorporation: California						
	List corporation's current of	Vice Pres: Secretary: Treasurer:		gh and James E. McDonald (CEOs Michael J. Rudinica (Exec. VPs)			
	If <b>Yes</b> , name those who own			X No ion's stocks:			
	Limited Liability Company List names of members who			of formation:			
	Partnership Date formed:		Ctate of formation				
	List names of all firm partne		State of formation.				
	Sole Proprietorship	Date started:					
	List all firms you have been ownership of stock in a publ			he past five (5) years. Do not include			
	Joint Venture	Date formed:					
	List each firm in the joint ver	nture and its perce	entage of ownership:				

E.	FIN	ANCIAL RESOURCES AND RESPONSIBILITY:
	1.	Is your firm in preparation for, in the process of, or in negotiations toward being sold?  ☐ Yes   X No
		If <b>Yes</b> , use <i>Pledge of Compliance Attachment "A"</i> to explain specific circumstances, including name of the buyer and principal contact information.
	2.	In the past five (5) years, has your firm been denied bonding?  ☐ Yes   No
		If <b>Yes</b> , use <i>Pledge of Compliance Attachment "A"</i> to explain specific circumstances; include bonding company name.
	3.	In the past five (5) years, has a bonding company made any payments to satisfy claims made against a bond issued on your firm's behalf or a firm where you were the principal?  □ Yes   No
		If Yes, use Pledge of Compliance Attachment "A" to explain specific circumstances.
F.	PEI	RFORMANCE HISTORY:
	1.	In the past five (5) years, has your firm been found civilly liable, either in a court of law or pursuant to the terms of a settlement agreement, for defaulting or breaching a contract with a government agency?   Yes  No
	2.	If <b>Yes</b> , use <i>Pledge of Compliance Attachment "A"</i> to explain specific circumstances. In the past five (5) years, has a government agency terminated your firm's contract prior to completion?  I Yes   No
		If <b>Yes</b> , use <i>Pledge of Compliance Attachment "A"</i> to explain specific circumstances and provide principal contact information.
G.	CO	MPLIANCE:
	1.	In the past five (5) years, has your firm or any firm owner, partner, officer, executives or management been criminally penalized or found civilly liable, either in a court of law or pursuant to the terms of a settlement agreement for violating any federal, state or local law in performance of a contract, including but not limited to laws regarding health and safety, labor and employment, wage and hours, and licensing laws which affect employees?  \[ \textstyle \text{Yes} \textstyle \textst
	2.	If <b>Yes</b> , use <i>Pledge of Compliance Attachment "A"</i> to explain specific circumstances surrounding each instance; include name of entity involved, specific infraction(s) or violation(s), dates of instances, and outcome with current status.  In the past five (5) years, has your firm been debarred or determined to be non-responsible by a government agency?

F.

G.

☐ Yes

**X** No

If **Yes**, use *Pledge of Compliance Attachment "A"* to explain specific circumstances of each instance; include name of entity involved, specific infraction, dates, and outcome.

H.	<b>BU</b> 1.					n a civil suit for making a false claim or
	2.	entity involved, s In the past five convicted of a cri	pecific infraction(s) (5) years, has you	or violation(s), or firm or any of emeanors, or bee	dates, outcome and its executives, man	mstances of each instance; include the current status. agement personnel, or owners been vil suit involving the bidding, awarding,
					explain specific circue and current status.	mstances of each instance; include the
I.		TYPE OF SUBM	ISSION: This docu	ıment is submitte	ed as:	
		☐ Initial submis	sion of Contractor	Standards Pledo	ge of Compliance.	
		X Update of pr	ior Contractor Star	ndards Pledge of	Compliance dated_	11/23/2009
Und con info	der p taine rmat	enalty of perjury t ed in this <i>Pledge o</i> ion provided is tr	under the laws of the found of the found in	he State of Califo that I am respons ny knowledge ar	ornia, I certify I have sible for completene	ment "A" page must be signed. e read and understand the questions ss and accuracy of responses and all ertify my agreement to the following
(a)	and				l laws, including hea performance of the	Ith and safety, labor and employment, contract.
(b)		ncy has begun an	chasing Agent with investigation of the stated in paragrap	e Contractor that	endar days upon red may result in a findi	ceiving notification that a government ng that the Contractor is or was not in
(c)	age	To notify the Purc	chasing Agent withi mpetent jurisdictior	n fifteen (15) cale n of a violation by	endar days when the v the Contractor of la	re has been a finding by a government aws stated in paragraph (a).
(d)	thirt				to the <i>Contractor St</i> modify any respons	andards Pledge of Compliance within e.
(e)	gov (a).	To notify the Pur ernment agency c	chasing Agent with r court of competer	in fifteen (15) dant nt jurisdiction of a	ys of becoming awa a violation by a subc	re of an investigation or finding by a ontractor of laws stated in paragraph
(f)	for i	To cooperate fully nformation within	y with the Purchasii ten (10) working da	ng Agent and the ays from the requ	City during any inve uest date.	stigation and to respond to a request
Fail	ure 1	to sign and subn	nit this form with t	:he bid/proposa	I shall make the bi	/proposal non-responsive.
<u>Jeff</u>	rey	C. Barfield, Vice	President	CAK	Dufull	<u>December 20, 2010</u>
	Pr	int Name, Title		Signatu	ire /	Date

## City of San Diego Purchasing & Contracting Department **CONTRACTOR STANDARDS**

Pledge of Compliance Attachment "A"

Provide additional information in space below. Use additional Pledge of Compliance Attachment "A" pages as needed:

sign each page. Print in ink or type responses and indicate question being answered. Inf available for public review, except if exempt from disclosure pursuant to applicable law.					
Under penalty of perjury under the laws of the State of California, I certify I have read and understand the questions contained in this <i>Contractor Standards Pledge of Compliance</i> and that I am responsible for completeness and accuracy of responses on this <i>Pledge of Compliance Attachment "A"</i> page and all information provided is true to the best of my knowledge.					
• 1/					
Jeffrey C. Barfield, Vice President Print Name, Title	December 20, 2010 Date				

## CALIFORNIA LABOR CODE

## **EXISTING LAW**

## ' 1771. Payment of general prevailing rate

Except for public works projects of one thousand dollars (\$1,000) or less, not less than the general prevailing rate of per diem wages for work of a similar character in the locality in which the public work is performed, and not less than the general prevailing rate of per diem wages for holiday and overtime work fixed as provided in this chapter, shall be paid to all workers employed on public works.

This section is applicable only to work performed under contract, and is not applicable to work carried out by a public agency with its own forces. This section is applicable to contracts let for maintenance work.

## **AMENDMENT**

## ' 1720. Public works; use of public funds

As used in this chapter, "public works" means:

- (a) Construction, alteration, demolition, or repair work done under contract and paid for in whole or in part out of public funds, except work done directly by any public utility company pursuant to order of the Public Utilities Commission or other public authority. For purposes of this subdivision, "construction" includes work performed during the design and preconstruction phases of construction including, but not limited to, inspection and land surveying work.
- (b) Work done for irrigation, utility, reclamation, and improvement districts, and other districts of this type. "public works" shall not include the operation of the irrigation or drainage system of any irrigation or reclamation district, except as used in Section 1778 relating to retaining wages.
- (c) Street sewer, or other improvement work done under the direction and supervision or by the authority of any officer or public body of the state, or of any political subdivision or district thereof, whether the political subdivision or district operates under a freeholder's charter or not.
- (d) The laying of carpet done under a building lease-maintenance contract and paid for out of public funds.

- (e) The laying of carpet in a public building done under contract and paid for in whole or in part out of public funds.
- (f) Public transportation demonstration projects authorized pursuant to Section 143 of the Streets and Highways Code.

(Amended by Stats.1989, c. 278, § 1, eff. Aug. 7, 1989; Stats.2000, c. 881 (S.B.1999), § 1.)

# EQUAL BENEFITS ORDINANCE CERTIFICATION OF COMPLIANCE

# EQUAL BENEFITS ORDINANCE CERTIFICATION OF COMPLIANCE

Receipt Date:

EBO Analyst:



# For additional information, contact: CITY OF SAN DIEGO EQUAL BENEFITS PROGRAM

202 C Street, MS 9A, San Diego, CA 92101 Phone (619) 533-3948 Fax (619) 533-3220

COMPANY INFORMATION	And the state of t
Company Name:	Contact Name:
Company Address:	Contact Phone:
	Contact Email:
CONTRACT INFORMATION	A Mediande de la companya de la comp
Contract Title:	Start Date:
Contract Number (if no number, state location):  SUMMARY OF EQUAL BENEFITS ORDINANCE REC	End Date:
The Equal Benefits Ordinance [EBO] requires the City to enter into contracts only wir maintain equal benefits as defined in SDMC \$22.4302 for the duration of the contract. To  ■ Offer equal benefits to employees with spouses and employees with domestic partne  ■ Benefits include health, dental, vision insurance; pension/401(k) plans; bereavem travel/relocation; employee assistance programs; credit union; or any other benefit.  ■ If a contractor does not offer a benefit to an employee with a spouse, that same be with a domestic partner.  ■ Post notice of firm's equal benefits policy in the workplace and notify employees at tir  ■ Allow City access to records, when requested, to confirm compliance with EBO requires Submit EBO Certification of Compliance, signed under penalty of perjury, prior to away.	th contractors who certify they will provide and maintain equal benefits a contractor shall: rs in accordance with the EBO. ent, family, parental leave; discounts, child care; nefit is not required to be offered to an employee ne of hire and during open enrollment periods. rements.
This summary is provided for convenience. Full text of the EBO and Rules Implementing to	he EBO are available at www.sandiego.gov.
CONTRACTOR EQUAL BENEFITS ORDINANCE CE	AND SECTION AND ASSESSMENT OF SECURITY AND ASSESSMENT OF SECTION ASSESSMENT OF SECURITY AND ASSESSMENT OF SECURITY AND ASSESSMENT OF SECURITY ASSESSMENT OF SECURITY ASSESSMENT OF SECURITY AND ASSESSMENT OF SECURITY ASSESSMENT OF
Please indicate your firm's compliance status with the EBO by selecting A, B, or C below.	The City may request supporting documentation.
A. ☑ I affirm compliance with the EBO because my firm (contractor must select one real ☑ Provides identical benefits to spouses and domestic partners. ☐ Provides no benefits to spouses or domestic partners. ☐ Has no employees.	son):
B. ☐ I am not now in compliance, but request approval of <b>provisional compliance</b> with ☐ Until my firm completes administrative steps to implement compliance (3 mc ☐ Until effective date of my firm's first open enrollment period (1 year maximus ☐ Until expiration of current collective bargaining agreement(s) with my firm.	onth maximum).
C.  I request approval to pay affected employees a cash equivalent in lieu of equal be all):	nefits and verify my firm (contractor must select
<ul> <li>☐ Made reasonable efforts to comply, but will not be able to comply with EBO</li> <li>☐ Will amend policies to extend benefits for which a cash equivalent is not availability of a cash equivalent in lieu of equal</li> </ul>	ailable to domestic partners.
Under penalty of perjury under laws of the State of California, I certify I understand a Benefits Ordinance in the manner affirmed above in accordance with San Diego Munic the Rules Implementing the Equal Benefits Ordinance.	
Kathleen Silhasek/H.R. Manager X. Li Name/Title of Signatory Signature	Chasek 1-18-2011
FOR OFFICIAL CITY USE ONLY	Date

□ Approved

□ Not Approved – Reason:

## CERTIFICATION OF LOCAL AGENCY

THE	KEBY CEKIIFY that I am ti	of the Local Agency of
	, and that t	ne consulting firm of RBF Consulting, or its representative has n
been require	d (except as herein expressly	stated), directly or indirectly, as an express or implied condition in
connection v	with obtaining or carrying out	this Agreement to:
(a)	employ, retain, agree to en	nploy or retain, any firm or persons; or
(b)	pay or agree to pay, to any	firm, person or organization, any fee, contribution, donation, or
consideratio	n of any kind.	
I ack	nowledge that this Certificate	to be furnished to the California Department of Transportation
(CALTRAN	(S) in connection with this Ag	reement involving participation of Federal-aid Highway funds, and is
subject to ap	plicable State and Federal la	vs, both criminal and civil.
www.peage.everspepactipicity.com/chick	addicional dan especial de la companya de la compa	
	(Date)	(Signature)

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## CERTIFICATION OF CONSULTANT

I HEREBY CERTIFY that I am the <u>Vice President</u> and duly authorized representative of the firm	of
RBF Consulting , whose address is 9755 Clairemont Mesa Blvd, Suite 100, San Diego, CA 9	<u>2124,</u>
and that, except as hereby expressly stated, neither I nor the above firm that I represent have:	

- employed or retained for a commission, percentage, brokerage, contingent fee, or other (a) consideration, any firm or person (other than a bona fide employee working solely for me or the above consultant) to solicit or secure this Agreement; nor
- agreed, as an express or implied condition for obtaining this contract, to employ or retain the (b) services of any firm or person in connection with carrying out the agreement; nor
- paid, or agreed to pay, to any firm, organization or person (other than a bona fide employee working solely for me or the above consultant) any fee, contribution, donation, or consideration of any kind for, or in connection with, procuring or carrying out the agreement.

I acknowledge that this Certificate is to be furnished to the California Department of Transportation (CALTRANS) in connection with this Agreement involving participation of Federal-aid Highway funds, and is subject to applicable State and Federal laws, both criminal and civil.

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